

# NEW JERSEY TRANSPORTATION ASSET MANAGEMENT PLAN



**Pavement Data Collection  
Data Quality Management Programs  
2020**

# New Jersey Pavement Data Quality Management Programs

## Network-Level Quality Control and Quality Assurance Pavement Condition Data Collection

### Foreword

In New Jersey, the National Highway System (NHS) Pavement data collections are been handled by three entities namely:

1. New Jersey Department of Transportation, Bureau of Pavement and Drainage Management and Technology- Collects all pavement data from the NJDOT owned roadways and some toll roadways.
2. New Jersey Toll Authority (NJTA) – Collects all pavement data from the New Jersey Turnpike and Garden State Parkway roadways – **HNTB/AID Consultant**.
3. New Jersey Department of Transportation – Highway Performance Monitoring System (HPMS) Unit – Collects all pavement data from the County and Township roadways under NHS - **Michael Baker International Consultant**

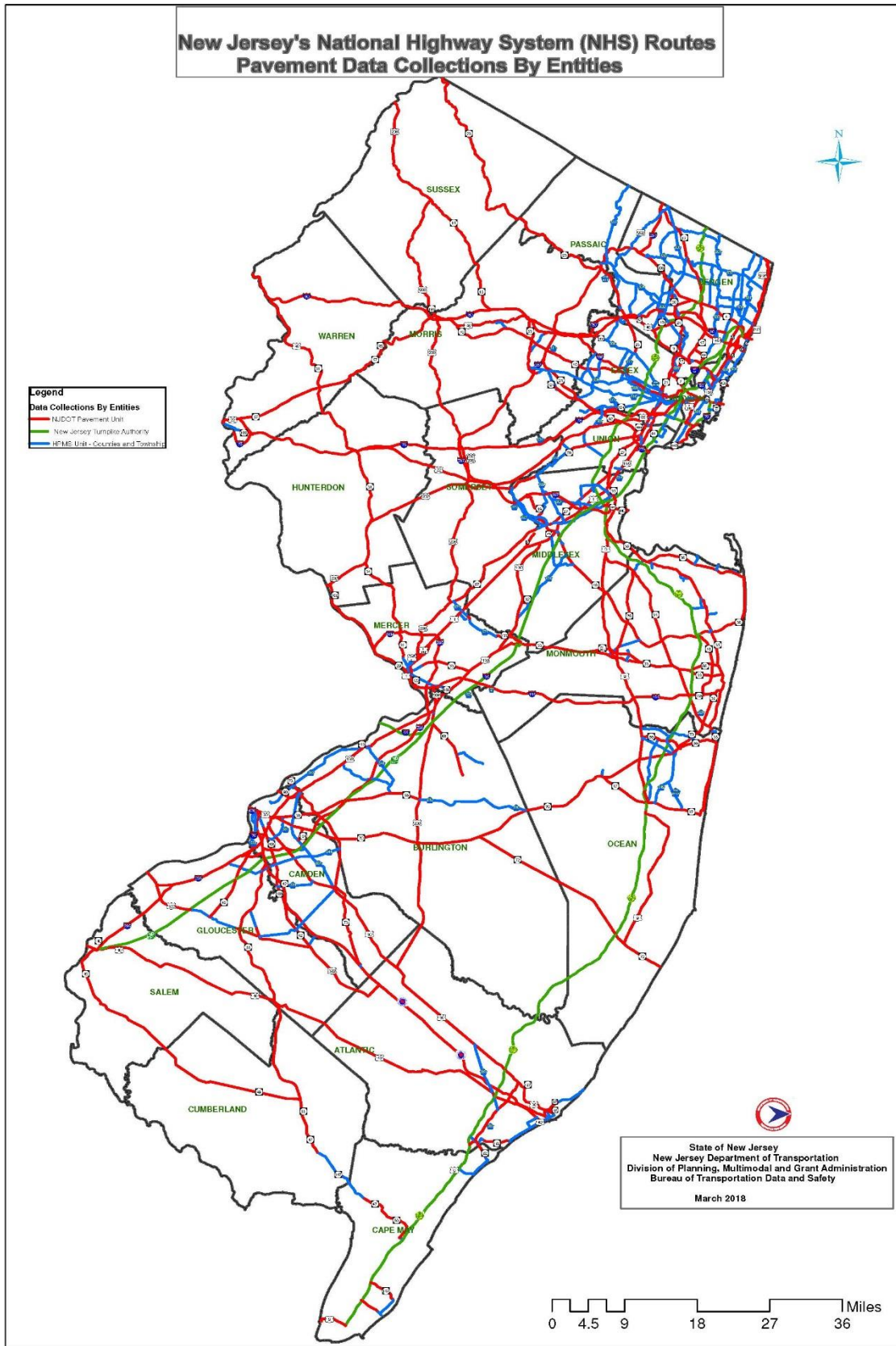
In developing the New Jersey State's Pavement Data Quality Management Program, the three entities listed above enumerated their Data Quality Management Programs in compliance with the guideline on section 490.301 Federal Register/ Vol. 82 Rules and Regulations Performance Measures (PM2) as follows:

- i. Data Collection equipment, calibration and certification
- ii. Certification process for persons performing data collection
- iii. Data quality control measures
- iv. Data sampling, review and checking processes
- v. Error resolution procedures

The reported Pavement Data Quality Management Programs are in accordance with American Association of State Highway Transportation Officials (AASHTO) Standards, Standard Specification for Transportation Materials and Methods of sampling and testing, Standard Equipment Specification for Inertia Profiler, Standard Practice for Certification of Inertial Profiling Systems. The reported IRI (International Roughness Index), Rutting, Faulting, and Cracking Percent are also computed in accordance with AASHTO Standard.

New Jersey Department of Transportation (Pavement Management Unit) in addition to collecting the State Highway System (SHS) pavement data will conduct reviews of the consultants or other entities data as explained throughout this report with the explicit purpose of ensuring the alignment of reported metrics for data acceptance for NHS sections. Each consultant or third party Data Quality Management manual is appended for reference.

# Map showing each entity roadway locations



## **What's new in New Jersey Pavement Data Quality Management Plan 2020 Documentation**

### **NJDOT Data Quality Management Manual Section**

On page 13, Quality Management Staff responsibility – a new data collection team leader has been included.

On page 31, Office preparation of Annual updates - Introduces a new Network Inventory.

On page 34, Table 5. Equipment Verification Quality Standards has been updated.

On page 35, information about the software verification list of files have been updated.

On page 41, information about the Pavement Type Verification (BC/CO/RC) has been updated.

On page 54, Data Collection Team Leader has been included

On pages 57 – 59 Information about Data Collection Verification Procedure for NJDOT and Third Party Data Collectors have been revised.

### **Advanced Infrastructure Design, Inc. Quality Management Supporting Documents.**

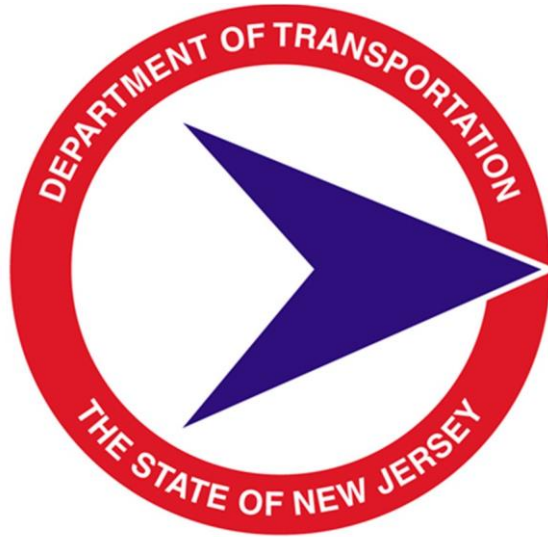
#### **(New Jersey TurnPike Authority Pavement Sections)**

Pages 5, 6, and 9. Distresses are being identified using automated methods (Pavemetrics software and in-house developed software by AID).

The section where windshield reviews were described was completely removed.

Also, we made a note that a new SDI equation was applied.

# New Jersey Department of Transportation Pavement Data Collection Data Quality Management Manual



## **Network-Level Quality Control and Quality Assurance Pavement Condition Data Collection**

**August 2020**

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2	Team Roles and Responsibilities	The quality-related responsibilities of the data collection team.	12
3	Pre-Collection: “Before” Phase - Preparation	Verification of measurement equipment, identification of verification sites, training & qualification of personnel, and establishing pavement condition criteria.	14
4	Collection: “During” Phase	Activities include measurement equipment verification, testing of verification sites, real-time data checks, daily verification of pavement condition data completeness and reasonableness, periodic checks of processed pavement condition data (e.g., smoothness, rutting, and faulting), and distress ratings. The QC activities that monitor, provide feedback, and verify that the data collection data items meet the defined quality standards.	33
5	Post-Collection: “After” Phase - Deliverables, Protocols, and Quality Standards and Reviews	Data Review and Sampling -The data collection data items subject to quality review, protocols used to collect, and quality standards that are the measures used to determine a successful outcome for a deliverable. The criteria to describe when each deliverable is considered complete and correct are defined by the pavement management engineer. Data items are evaluated against these criteria before they are formally approved.	37
6	Acceptance: Quality Reporting and Error Resolution Plan	The documentation of all QM activities—including quality standards, QC, acceptance, and a process to address error resolution with corrective actions. This section also addresses the requirements of the final QM reporting criteria.	45

# 1. Introduction - NJDOT Quality Management Approach

## Overview

Pavement management systems are more reliable, accurate, and complete when higher quality data is used. Substandard data can result in poor decisions, resulting in wasted money or a reduction in the viewed worthiness of a pavement management system.<sup>1</sup>

The purpose of this Quality Management (QM) Manual is to provide the tools, procedures, and practices required to ensure the highest level of pavement condition data for use in the Department's network-level Pavement Management System. The QM plan identifies key activities, processes, and procedures for ensuring quality of collected data to support the Pavement Management System. This manual outlines processes for systematically implementing QM practices and responsibilities throughout the data collection effort; before, during and after the pavement data collection activities.

Taking the time and effort to implement a quality management plan for pavement condition data collection to ensure data quality for the Pavement Management System has the following benefits:<sup>2</sup>

- Improved accuracy and consistency of data;
- Better credibility within the organization;
- Better compliance with external data requirements;
- Better integration with other internal agency data;
- Cost-savings from more appropriate treatment recommendations; and
- Improved decision support for managers.

Pavement behavior and performance is highly variable due to many factors, such as pavement structural design, age, climate, traffic levels, materials, subgrade, and construction quality. These factors contribute to changes in pavement performance that are reflected in the results of a pavement condition survey. Minimizing the impact of data variability on pavement condition data helps ensure that survey results reflect real changes in pavement performance rather than variations in data due to poor data quality and collection practices.<sup>3</sup>

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<sup>1</sup> Pierce, Linda M., 2014, "Quality Management for Pavement Condition Data Collection", TRB, Washington, DC.

<sup>2</sup> Shekharan, R., D. Frith, T. Chowdhury, C. Larson, and D. Morian. 2006. "The Effects of a Comprehensive QA/QC Plan on Pavement Management." Transportation Research Record No. 1990. Transportation Research Board, Washington, DC.

<sup>3</sup> Pierce, L. M., G. McGovern, and K. A. Zimmerman. 2013. *Practical Guide for Quality Management of Pavement Condition Data Collection*. Report No. FHWA-HIF-14-006, Federal Highway Administration, Washington, DC.

Data for pavement condition assessment are combined and converted into condition indices to describe current pavement condition of the network. Large differences between the data collected and actual pavement condition may result in a completely different treatment recommendation, treatment timing and have a significant impact on the associated cost.

Pavement condition data quality supports a wide variety of decisions and has direct and indirect impacts on agency processes. Some of the major uses of pavement condition data include:<sup>4</sup>

- Characterizing current condition;
- Developing models of predicted pavement deterioration;
- Projecting future conditions;
- Developing treatment recommendations, timing, and cost;
- Preparing and prioritizing annual and multi-year work programs;
- Allocating resources between regions and/or assets;
- Analyzing the impacts of various budget and treatment scenarios; and
- Analyzing performance of different pavement designs and/or materials.

Evolving data collection technology is one of the most rapidly changing areas of pavement management. The development and application of laser sensors and high-speed computer processing over the last 20 plus years have contributed greatly to the ability of agencies to collect and process large volumes of pavement condition data quickly and efficiently.

Data collection for NJDOT's SHS network condition assessment is fully automated. The Pathway Path Runner high speed profiler uses lasers and line and area scan digital video cameras to collect IRI, rut depth, cracking, slab cracking, and faulting data. Fully automated processing uses pattern recognition technology on the collected images to first detect pavement distresses from the pavement images and then classify those cracking distresses. While fully automated collection and processing reduces the labor required to conduct a network condition assessment, control mechanisms are necessary to ensure the best quality of data (accuracy, consistency, and completeness) is reported to FHWA and for use in pavement management forecasting and decision making processes.

Data collection for NJDOT's Non-SHS NHS sections is conducted separately by third-party consultants. Collection, verification, and acceptance criteria for consultant collected data for NHS network condition will be described as well. Each consultant also is required to have a QM plan for data collection that they are expected to follow. As part of each consultant's QM plans, training, calibration, verification, accuracy and quality assurance is required to be conducted by the consultant. The department will conduct reviews of consultant data as explained throughout this manual with the explicit purpose of ensuring

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<sup>4</sup> ibid



the alignment of reported metrics for data acceptance for NHS sections. Each third party QM manual is appended for reference to exact procedures followed for that group.

## *Definitions: Calibration / Certification / Verification*

### **Calibration**

Calibration is a set of operations which establishes, by reference to standards, the relationship which exists, under specified conditions, between an indication and a result of a measurement.

Note 1 – This term is based on the "uncertainty" approach.

Note 2 – The relationship between the indications and the results of measurement can be expressed, in principle, by a calibration diagram.

Note 3 – Calibration physically alters the base values that directly affects the output data.

### **Certification**

Certification is a third-party attestation related to products, processes, systems or persons.

Note 1 - Certification is applicable to all objects of conformity assessment except for conformity assessment bodies themselves, to which accreditation is applicable.

Note 2 – Certification is a process that is used to ensure several components of a process are all in conformance with a set of established guidelines. The certification indicates that if the procedure is followed using the same equipment and personnel, reliable information will be collected.

### **Verification**

Verification is a set of operations which is used to check whether the indications, under specified conditions, correspond with a given set of known measurands within the limits of a predetermined calibration diagram

Note 1 – This term is used in the "uncertainty" approach.

Note 2 – The known uncertainty of the measurand used for verification will generally be negligible with respect to the uncertainty assigned to the instrument in the calibration diagram.

Note 3 – Verification is a procedure used to check how close the reported data is to expected values by using the last calibration value. The calibration value is not altered; therefore, the base data is not altered. A correction offset may be applied to the data to make the reported data resemble the desired accuracy. Verification also ensures that the correct operation of equipment or a process is occurring according to its stated operating specifications.

## *Application of the Quality Management Plan*

As documented in the National Cooperative Highway Research Program (NCHRP) Synthesis 401, *Quality Management of Pavement Condition Data*, Quality Control (QC) plans for pavement condition data are described in three phases:<sup>5</sup>

1. Pre-collection “Before” Phase — Verification of measurement equipment, identification of verification sites, training of personnel, coordinating with Non-SHS NHS data collection consultants, and establishing pavement condition criteria.
2. Collection “During” Phase — Activities include measurement equipment verification, testing of verification sites, real-time data checks, daily verification of pavement condition data completeness and reasonableness, periodic checks of processed pavement condition data (e.g., smoothness, rutting, and faulting), and distress ratings.
3. Post-collection/Processing “After” Phase — Activities include processing, verification of the transferred pavement condition data, validation, acceptance, and reporting for NJDOT collected as well as consultant collected data (e.g., missing sections, out-of-range data, verification of distress ratings, creation of QM logs, and the fulfillment of compliance requirements).

Figure 1 below shows a flow diagram outlining the process to complete the annual QM Report.

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<sup>5</sup> Haas, R., W.R. Hudson, and J.P. Zaniewski, “*Modern, Pavement Management*”, Krieger Publishing Company, Malabar, Fla., 1994.

NJDOT Annual Data Quality Process Flow

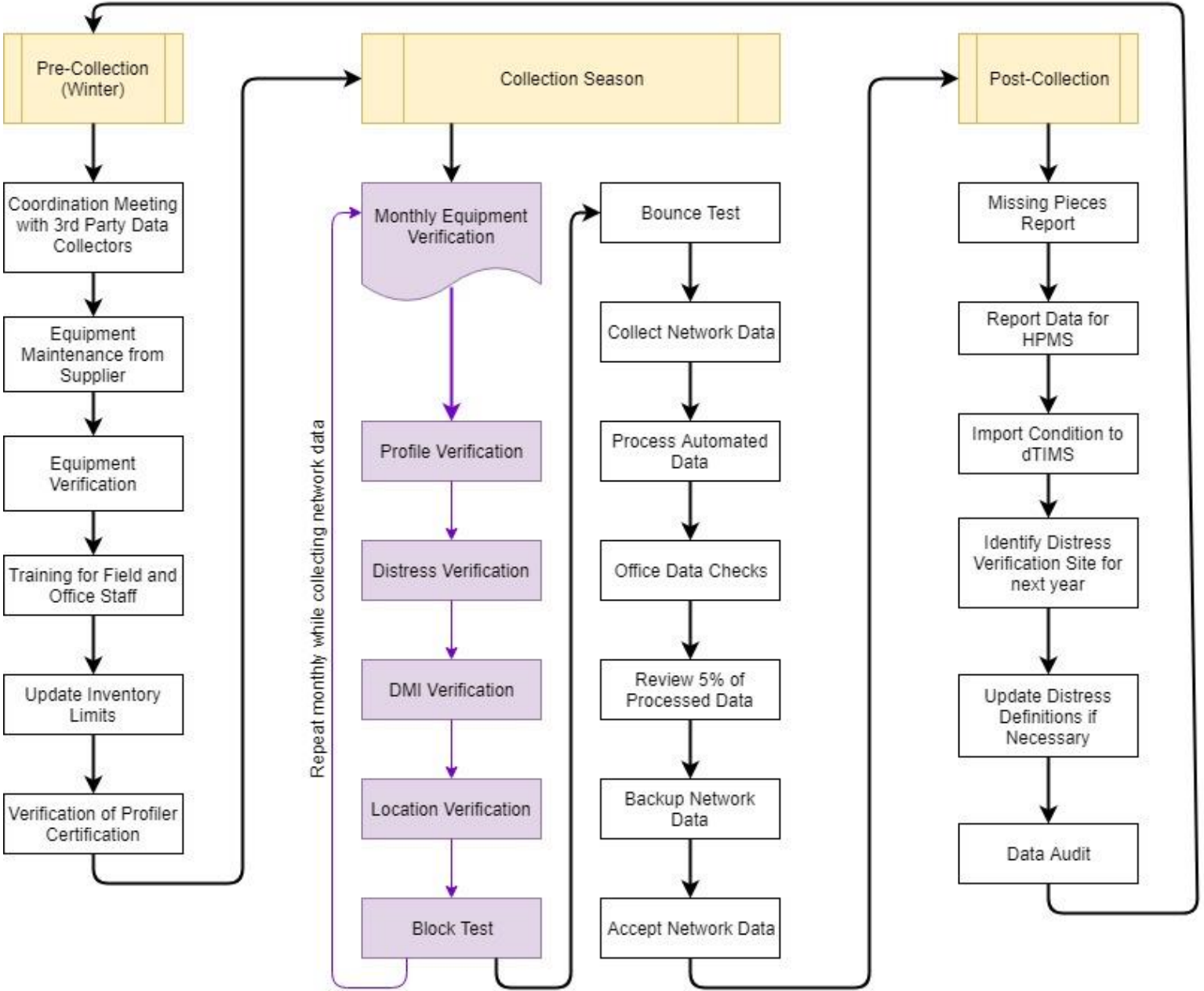


Figure 1: Flow Diagram Outlining NJDOT Quality Management Process

Implementation of the quality management procedures that include all three phases will assist in providing acceptable data quality criteria, acceptable levels of variability, and procedures that will help to minimize data variability. Table 1 provides a summary of the quality management plan activities for each of the three phases. Table 2 provides a list of recommended QM checks and frequencies.

**Table 1. Pavement Condition Survey QM Plan Activities**

<b>Pre-Collection</b>	<b>Field Data Collection</b>	<b>Post-Collection/Processing</b>
Quality control plan A. Equipment calibration and verification B. Personnel training and testing C. Verification sites	Field collection: A. Equipment calibration checks B. Real-time monitoring C. End of day data checks	Office Data quality checks: A. Segmentation and location B. Format C. Completeness D. Consistency E. Time-series or other comparisons F. Distress rating
Data collection: A. Rating protocols B. Data items, data format, and schedule C. Data quality requirements and acceptance plan	Office Data checks: A. Sensor data and video images	Acceptance sampling: A. Sample size B. Compare sample and batch ratings
		Additional tools: A. Automated checks B. Data quality reports C. Data Backups

**Table 2. Quality Management Activity Schedule**

<b>QC Activity</b>	<b>Frequency/Interval</b>
<b>Location of Section and Control Points</b>	
Mileage review	Daily
SLD comparison (MP adjustments)	Weekly
Post collection final data review (before HPMS submission)	Annual
Initial comparison with master network definition file	Annual
<b>Distress Ratings</b>	

Data review (5% network review)	Weekly
Verification site testing	Monthly
Video reviewer training	Annual
<b>IRI, Rut Depth, Faulting</b>	
Equipment checks and monitor real-time	Daily
End of day data review	Daily
Inspect processed data	Daily
Verification testing (IRI and Rut/Fault)	Monthly
<b>Verification of Image Quality - Right-of-Way and Pavement Downward Images</b>	
Startup checks, real-time monitoring, and field review	Daily
Successful upload to workstation	Weekly
Data review (5% network review)	Weekly
<b>Equipment Verifications and Calibrations</b>	
Bounce Test - stability verification	Daily
DMI - distance verification	Monthly
Block Test - height verification	Monthly
Subsystem Check - IRI verification	Monthly
Subsystem Check – Rut/Fault verification	Monthly
Gyroscope calibration from manufacturer	Annual
Camera calibration from manufacturer	Annual
Verification of profiler certification	Annual
<b>Profiler Raw Data Backup</b>	
Weekly review that backup has been completed	Weekly
<b>Staff/Operator Training and Verification</b>	
Staff training	Annual/5 years
Staff certification	Annual
<b>Acceptance of Third Party Data</b>	
Review/update third party/NJDOT data collection standards	Annual
Verify third party data consistency	Monthly
Acceptance of third party data submission	Annual
<b>* Quality Expectations of each QC Activity are located in Table 5 &amp; 6</b>	

## NJDOT Data Quality Database

A Microsoft Access database is used to log and report QA/QC activities and data for the PMU. The database is broken up into seven main modules: Reports, Verifications, Data Collection Issues, Data Processing, Data Quality QA/QC, Employees and Users, and Equipment and Suppliers. Screenshots of the database are included in Appendix D.

The Report module in the navigation pane has a form labeled Report Center. This form has premade reports that can be run with quick access buttons. Some standard reports in the Report Center consist of current data collection issues, completed pavement sets, all current equipment verifications, and all current personnel verifications.

The Verification module uses forms for the user to input data into the database. Different forms are used for personnel verifications and equipment verifications. Equipment verification data that must be input are: Date of verification, employee name who conducted the test, name of the verification test performed, what type of equipment was used, what specific vehicle was used, and pass/fail status. Data input for personnel verifications includes date of verification, employee name, verification type, and pass/fail status. Both forms also allow the user to input comments as well as an attachment field to store relevant files in the database. These forms are used to populate three different tables: Verification Types, Verifications, and Verification Names.

The data collection issues module uses a Data Collection Issues List form to allow the user to input data to the database. When new data is input through this form the following data items are necessary: Summary of issue, description of issue, 1-4 priority ranking, issue category, resulting delays, search keywords, expected resolution date, who opened, who reported, and who is assigned to manage the issue. An attachment field is also on the form to allow any relevant documents to be stored in the database. This form populates two tables in this module: Data collection Issues Comments, and Data Collection Issues List.

The data processing module uses a Sets List form to allow the user to save data to the database. Detailed information about each set is captured including: status of the set, SRI, direction, set number, MP start, MP end, set mileage, summary, what project the set relates to, what equipment was used, driver name, front operator name, back operator name, who the set processing is assigned to, a data acceptance due date, name of the

## NJDOT QA Database

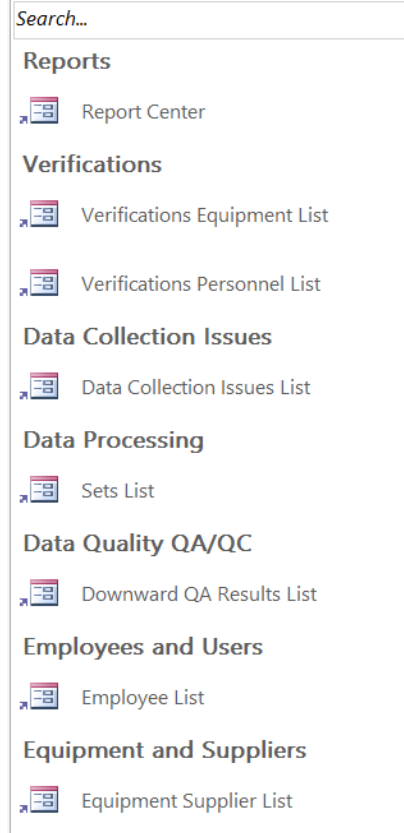


Figure 2: NJDOT QA Database Input Forms

.C11 file used for processing, file sizes for each drive, storage location, backup location, software build number, keywords and comments. These forms store data in two tables: Sets and Sets Comments.

The Data Quality QA/QC module uses a Downward QA Results List form to input data to the database. This form has general information about the 0.1 mile pavement section that is being verified such as: Set number, Route, MP start, MP end, Cardinal Direction, Pavement Type, and State Route Identifier (SRI). The distress verification is broken up into general metrics, asphalt metrics, and concrete metrics. For each metric the user is asked to give their level of satisfaction with the processed data. For example if the video rater feels the automated data was reported exactly as they are observing, then they would enter 100% for that metric. The user is able to log 0%, 25%, 50%, 75%, and 100% for each metric. This data is saved in 4 separate tables: Downward QA, Pathway NJDOT Report Metrics, Road\_Network\_Info, and Validation Resolution.

The Employees and Users module houses all relevant information about people involved in data collection, data processing, and data reporting along with information about users of this database itself. An Employee Details form is used to input the following data items: first name, last name, company, job title, phone number, address, e-mail address, website, and if the employee conducts any field data collection.

The Equipment and Suppliers module stores all contact information for each equipment manufacturer. The information logged includes: first name, last name, company, job title, phone number, address, e-mail address, and website. This supplier form has a tab for equipment inventory under each specific supplier. This information is stored as equipment name, equipment type, equipment ID, Model, VIN number, and manufacturer.

## *Coordination with Third Party Data Collectors*

At the beginning of every calendar year the NJDOT Bureau of Transportation Data and Support (BTDS) will provide all agencies and parties responsible for network pavement distress collection a list of pavement sections that must be collected in that year. All agencies and data collectors will provide their own data quality manual, equipment certification & calibration documents, and operator certification documents to NJDOT for review.

# 2. Roles and Responsibilities

## *NJDOT Pavement Management Unit (PMU) Team Responsibilities*

This section describes the staff lead assignments associated with the aspects outlined in this QM manual. Four main roles have been identified and the description of responsibilities associated with each are shown in Table 3 below.

**Table 3. Quality Management Staff Responsibilities**

Team Role	QM Responsibilities
Pavement Management Engineer	<ul style="list-style-type: none"> <li>• Set quality standards, acceptance corrective actions.</li> <li>• Approve each deliverable per quality standards.</li> <li>• Approve resolution of quality issues.</li> <li>• Assess effectiveness of QM procedures.</li> <li>• Recommend improvements to quality processes.</li> </ul>
Pavement Management Section Leader	<ul style="list-style-type: none"> <li>• Communicate weekly with Field Crew Data Manager.</li> <li>• Monitor schedule adherence.</li> <li>• Supervise acceptance checks.</li> <li>• Assure data items meet broad set quality requirements.</li> <li>• Maintain records of equipment calibration and staff certification.</li> <li>• Prepare QM report.</li> </ul>
Field Crew Data Manager Team Leader	<ul style="list-style-type: none"> <li>• Document operator training and ensure raters are adequately trained in protocols.</li> <li>• Observe and maintain records of equipment verification and data collection summary.</li> <li>• Maintain equipment systems verification log and submit exceptions to Pavement Management Section Leader.</li> </ul>



<p>Data Collection Team Leader</p>	<ul style="list-style-type: none"> <li>• Lead equipment operator for NJDOT Pavement field testing.</li> <li>• Ensure all data collection systems are operating within NJDOT standards before and during data collection sessions.</li> <li>• Supervise or perform daily equipment verification prior to testing.</li> <li>• Ensure proper data collection procedures are followed during testing to ensure data accuracy and quality.</li> </ul>
<p>Office Data Reduction Team Leader</p>	<ul style="list-style-type: none"> <li>• Perform and document checks of total mileage, segment lengths, and comparison with master network definition file.</li> <li>• Perform data and video acceptance and document results.</li> <li>• Document SLD checks of segment location and completeness.</li> <li>• Document quality audits of uploaded and processed data. Report any problems using QC log.</li> </ul>

## 3. Pre-Collection “Before” Phase

### *Location QC - Updates to the NJDOT Road Inventory*

Each year prior to network data collection, the PMS Lead coordinates with BTDS to ensure the proper routes and milepost limits are collected in the upcoming data collection season.

The StateRtes\_TestingNeeded\_ContiguousSects file contains all roads open to public travel and is reported in the HPMS regardless of ownership, including Federal, State, County and privately owned roads such as toll facilities. Routes are tested annually by PMU Field staff and located within the PMS database. This file is used as a cross-reference when populating the full extent HPMS data submission. Once the current year PMS Database is loaded into the Pavement Management System, this file is reviewed and updated for the following collection season. This file contains Route, Direction, MP Start, MP End, Length and Comment Field (whether route is Not SHS but NHS or if any problems during testing will be noted here).

A .sec file is a proprietary file type used by the Pathway Pathview desktop software. This file relays important inventory information to the analysis software ensuring the correct routes are referenced with the correct pavement type. The .sec file must be reviewed annually and compared to the current network definition as defined by the HPMS database and the NJDOT SLD. Any discrepancies should be verified and resolved with the Bureau of Data Development based on the best information. Where necessary, the .sec file should be updated to ensure the validity and consistency of the following minimum criteria:

- Pavement type;
- Beginning and end mileposts;
- Section information (name and location);
- Direction (primary/secondary and cardinal).

Once the appropriate annual updates have been made, the .sec file must be updated on any drives used in the testing vehicle and by the office data analysis staff.

### *Training*

#### **Field Technician Equipment and Network Data Collection Training**

Field Technicians must undergo both written and practical examinations and must pass both portions of the test to be qualified. The written exam is administered with the requisite profile training course described below. The practical exam is conducted as part of the annual profiler equipment verification and calibration.

### *5-Year Comprehensive Operator Class*

Along with yearly equipment refreshers from the manufacturer, all field personnel must also complete a classroom training on best practice data collection techniques and the specific equipment currently used by NJDOT for network level pavement distress. This class room training is based on NHI Course 131100 and focuses on how the equipment works and how the verification procedures increase data quality. A group discussion takes place prior to each training module to promote a thoughtful discussion about the given topics. Common data collection issues are discussed so these data collection traps can be avoided. These topics include: TAMP, Pavement Management, Distress Identification, Pavement Smoothness and IRI, Climate and Environmental Considerations, Data Collection Equipment, Equipment Calibration/Verification/Certification, Operator Considerations/Factors, ProVAL analysis. At the end of the class each participant is administered a multiple choice exam and required to pass with at least 70% correct answers.

### *Annual Equipment Refresher*

Operators of inertial profilers must pass a proficiency test to operate an inertial profiler. Field Technician Training include the following:

- Understanding of the operation of inertial profilers; and
  - How to verify the distance measuring system (DMI Test);
  - How to verify the height sensor (Block Test);
  - How to verify the stability of the gyroscope (Bounce Test);
- Ability to collect profile and distress data;
- Ability to verify rut/fault measurements;
- Understanding of how to evaluate data quality; of
  - Distress data collected;
  - IRI value calculated.

Each year prior to network data collection, the manufacturer performs maintenance on the data collection equipment. During this maintenance visit, all data collection personnel at NJDOT must participate in a multi-day equipment refresher. Field personnel must complete all equipment verifications as both an operator and driver for all pieces of equipment. The equipment supplier will point out any techniques that promote poor data quality and will help reinforce the standards set forth in this manual.

All personnel who have attended the yearly refresher and passed the practical exam are documented in the QA database to ensure properly qualified operators and drivers are being used for data collection activities.

### **Data Collection Personnel Qualification Requirements**

### Field Technician

To qualify to be an operator, field technicians must complete the profile training course every 5 years and must have undergone the NJDOT Annual Equipment Refresher on the use of the specific inertial profiler they will be operating in the field.

### Data Collection Team Leader

Each data collection team must include a qualified Team Leader to ensure proper data collection procedures are followed and ensure data quality. Data Collection Team Leaders must have a minimum of 1 year of recent\* experience as a qualified Field Technician performing HPMS pavement data collection and must have completed the training requirements above.

### Data Manager Team Leader

Data Manager Team Leader must meet the qualifications of Field Technician, have a minimum of 3 years of recent\* experience with HPMS data collection as a Team Leader and have completed the training requirements above.

\*recent is defined as within the last three years.

## Office Staff Data Analysis Training

Office Staff should be trained for both Pathway data processing and dTIMS processing. dTIMS, a product of Deighton, is the pavement management software chosen by NJDOT. Each year, pavement condition data is collected and processed through the Pathway system and is then imported into dTIMS to help the NJDOT PMU analyze pavement treatment strategies and condition forecasts based on various funding scenarios and identify pavement maintenance and repair projects. The dTIMS software must be updated yearly with network definitions and condition data before any analysis can be performed.

The NJDOT PMU utilizes in-house processing manuals for Pathway's Processing and dTIMS. While the manuals are comprehensive, training should be conducted annually to make sure all relevant staff are aware of any updated procedures and at a minimum in the following areas:

- Pathway processing; for
  - Transferring and backing up data;
  - First/last image check;
  - Adjusting milepost extents;
  - Running autocrack;
  - Running autoclass;
  - Evaluating downward facing camera images;
  - Identifying pavement distress;

- Evaluating profile data;
- Exporting data;
- Accepting data.
- dTIMS processing; for
  - Updating the base (network definitions);
  - Updating committed projects;
  - Updating pavement management sections;
  - Importing condition current (surface distresses from previous year);
  - Processing analysis set;
  - Reviewing and exporting budget analyses.

## *Verification Sites (Ground Truth)*

The intent of Verification Sites is to provide a normalized location at which the data collection van and other profilers can conduct standard measurements to use as a verification that the equipment is functioning properly while in motion. Data verification should be conducted by testing a control site and conducting data checks that are used for QC before and during production. Electronic data is compared to previously collected data established as ground truth to ensure data consistency and validity. Annually, the minimum requirement of ground-truth data to verify the equipment systems would include; the

- Reference longitudinal profiles (SurPRO walking profiler);
- Reference section for distance (Surveyed 1,000 ft)
- Reference section for distress (100% manual video review)

### **Profile Verification Site (Surveyed)**

The profile verification site will be used for profiler verification as specified in Table 2. The profile verification section is a straight roadway 528 feet in length. The length of the section was accurately measured to within 0.05 percent (3 inches) using a survey equipment. Clear markings indicate the starting and ending points of the test section with autotriggering tape or tags to increase repeatability. The NJDOT profile verification site was established in 2014 and is currently located at a decommissioned rest stop on 295 South, milepost 49.9. Figure 5 below shows a map of the location of New Jersey's Survey Control Site.

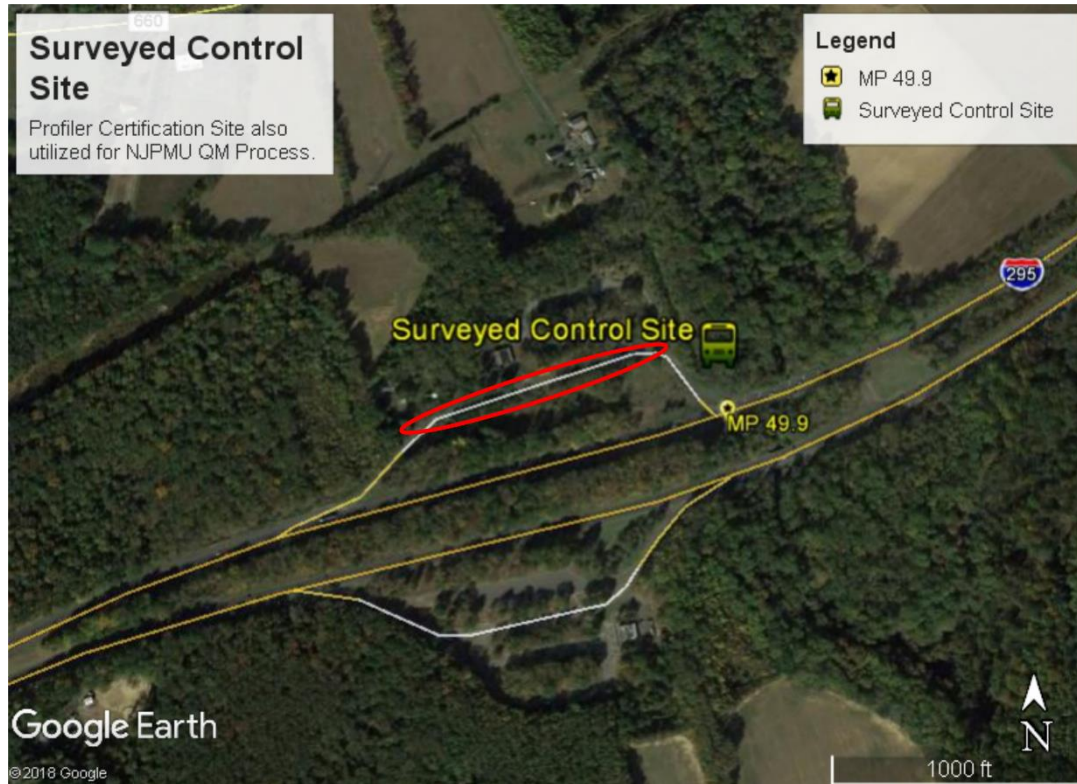


Figure 5: Survey Control Site, I-295\_S MP 49.9

### DMI Calibration/Verification Site (Surveyed)

The distance calibration and verification site was established in 2019 and is used to verify and calibrate the Distance Measurement Instrument (DMI) for all profiling equipment monthly. This site was chosen because the roadway is straight and relatively flat and is less than a mile from the profile verification site that also needs to be tested on the same schedule. The NJDOT survey group measured a horizontal distance of 1,000.059 feet with an elevation drop of 5.705 feet from beginning to end. The markers are located on 295 South at approximately MP 51.4 - 51.2 in the grass just beyond the shoulder. Figure 4 below shows how start and end of the section are marked with permanent bronze monuments as well as a signpost to mount reflective tags for accurate and repeatable measurements.



Figure 3: Location of DMI site

Figure 4: Brass Monument at Start/Stop of DMI site

### Distress Data Verification Site Selection

The distress data verification site is used as a referential comparison for distress data collected for NHS pavements in NJ. NJDOT PMU's Pathway Van will collect the baseline data for the annually selected distress verification site. Any parties that collect NHS data will also be required to collect data for that same location as per the verification schedule described, and comparative analysis will determine how closely the cracking data matches based on criteria mentioned throughout this manual.

As part of the pre-collection phase each year, the NJDOT PMU will select a minimum of one verification site that will be utilized for the NHS distress data quality verification. The section will be selected randomly within close proximity to the NJDOT headquarters to ensure the verification section can be collected monthly during the data collection cycle. Once the distress verification section has been selected, the extents will be shared with any 3<sup>rd</sup> party data collectors. All collectors, as well as the NJDOT Field Crew will be expected to collect measurements for the pavement distress verification section.

The distress verification site will be selected based on data collected by NJDOT's data collection vehicle in the prior year's collection cycle. It is required to verify that the selected site has not undergone pavement maintenance or rehabilitation prior to the expected verification for the calendar year. Criteria for site selection includes the following:

- Variety of pavement cracking (pattern, longitudinal, transverse, wheel path longitudinal, wheel path pattern)
- Measurable rutting
- Measureable faulting
- Area of cracked concrete slabs

The extent of pavement distress variability is to be determined by the NJDOT PMU Data Section Leader each year. The primary goal is to ensure that all parties collecting data

report similar pavement condition for each of the federally defined condition metrics on the distress verification site. The NJDOT PMU will be expected to perform 100% manual video review and adjustment to the data reported on all control sites to set the standard utilized to verify distress data.

## Vehicle and Equipment Calibration & Verification

This section will describe the requirements and procedures employed to ensure that the subsystems of the network data collection van used to measure longitudinal surface profile and surface distresses are working satisfactorily.

### Profiler and Distress Imaging Equipment Components:

The Pathway Path Runner is outfitted with the following sensors (Figures 6&7 below):

- Laser(s) (macro-texture);
- Inertial Measurement Unit (IMU);
- Global Positioning Systems (GPS);
- Downward facing 3D camera (crack measurement, rut/fault measurement);
- Forward facing HD Camera(s) (R.O.W. imaging);
- DMI (distance measurement);
- Inertial Profiler (IRI measurements).

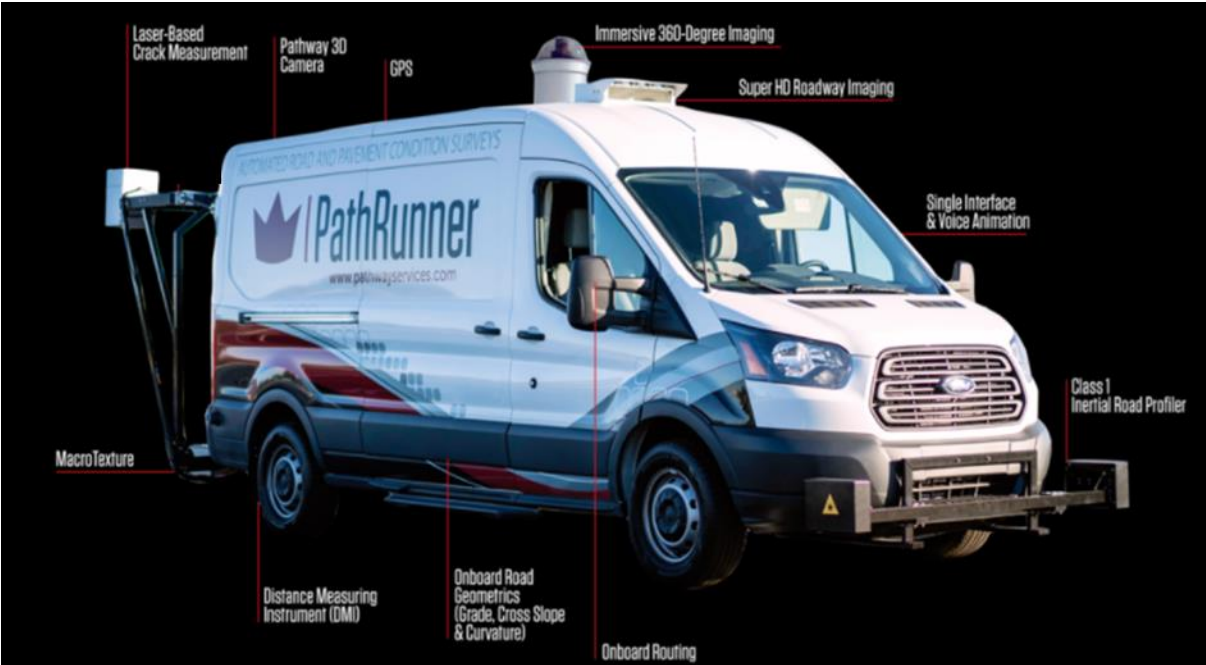


Figure 6: Pavement Profiler and Distress Imaging Subsystems



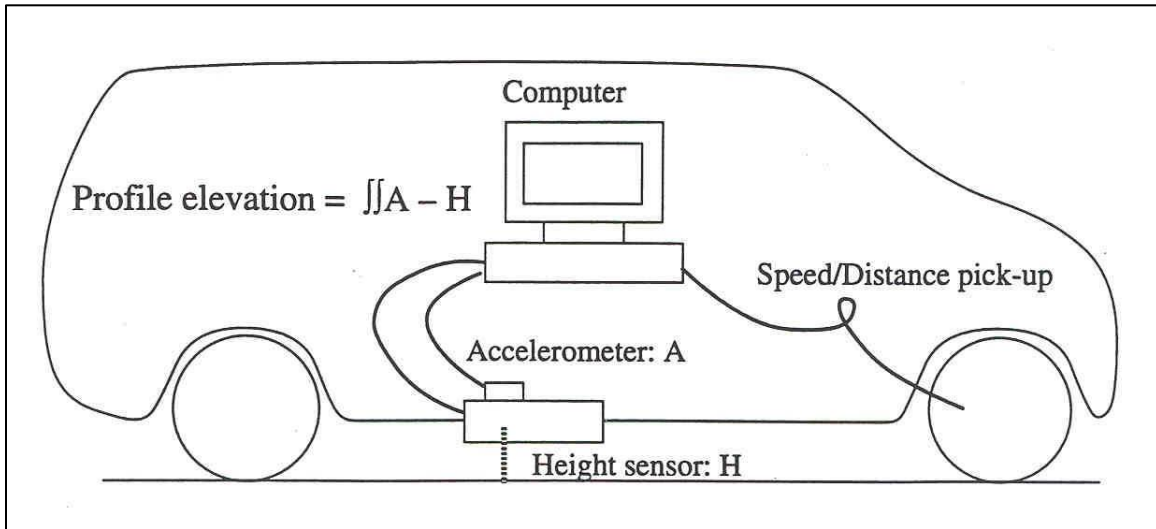


Figure 7: Diagram of Pavement Profiler Subsystems

### Data Collection Vehicle Verification of Certification

The verification of certification for the data collection vehicle must be performed annually in accordance with AASHTO R57 prior to the paving season and before network level data collection. It is highly recommended that manufacturer's verification and/or calibration is conducted prior to data collection for subsystem verifications.

### Equipment Calibration/Verification by Manufacturer

All calibrations conducted on NJDOT PMU instrumentation is conducted by the manufacturer. The NJDOT PMU is responsible for the verification portion of the vehicle calibrations and verifications. The only subsystem that NJDOT has the capability to calibrate in-house is the DMI. The procedure for DMI calibration is outlined below with equipment procedures.

NJDOT's data collection vehicle must undergo profiler certification as outlined by AASHTO R56 prior to acceptance of equipment from the manufacturer. A copy of the current certification is attached in the appendix. All inertial profiling equipment follows the attributes described in AASHTO M328.

In the pre-collection phase, the vehicle and its systems are tested and calibrated to be capable of collecting quality data. In house training and procedures are put in place to ensure that the vehicle remains in working condition throughout the data collection phase. Equipment calibration and verification is conducted before the initiation of the network data collection activities and periodically as identified in Table 2 to verify that the equipment is functioning according to expectations and that the collection and analysis methods are being followed.

After each separate piece of equipment is calibrated, before the actual data collection on the network pavements can proceed, the vehicle subsystems need to be verified. To verify the vehicle subsystems, rut data, pavement distress data, and ride quality data analysis needs to be compared to actual "known" measures by conducting a "ground

truth.” Annually, field data collection crews must be trained and/or refreshed to ensure that they are familiar with the operation of the equipment, the data collection plan, and the quality control tasks necessary to collect high quality data.

An annual report, provided by the manufacturer that summarizes the results of the calibrations is filed with the Pavement Management Section Leader as shown in Table 3, prior to beginning of the annual collection cycle. The report provided by the manufacturer shall include the minimum following information:

- Image subsystem;
  - Verification pass/fail;
  - Calibration pass/fail/NA;
  - Calibration value before/after/NA;
- GPS subsystem;
  - Verification pass/fail;
  - Calibration pass/fail/NA;
  - Calibration value before/after/NA;
- IMU Inertial Measurement Unit subsystem;
  - Verification pass/fail;
  - Calibration pass/fail/NA;
  - Calibration value before/after/NA;
- Rut subsystem;
  - Verification pass/fail;
  - Calibration pass/fail/NA;
  - Calibration value before/after/NA;
- 3D subsystem;
  - Verification pass/fail;
  - Calibration pass/fail/NA;
  - Calibration value before/after/NA.

## **Equipment Calibration/Verification by NJDOT PMU**

### *Ride-Quality Verification*

The NJDOT Ride Quality verification procedure is the process followed by all inertial profilers, which ensures the reported data aligns well from profiler to profiler. This procedure utilizes the Surveyed Control Site located at MP 49.9 on Rt. 295 South.

### **Inertial Profiler Annual Verification of Certification Procedure**

The inertial profiler must be verified annually through the NJDOT verification of certification procedure. A minimum of 10 runs per lane must be collected and compared against a reference profile. New reference profiles are measured monthly by the accepted reference profiler of NJDOT. NJDOT utilizes a SurPRO walking profiler as the ground-truth reference values for longitudinal profile.

For all 10 collected profiles the IRI is first calculated then the MRI for each lane is calculated. The average MRI and the standard deviation are calculated for each

lane Using the average reported MRI and the calculated standard deviation, the covariance can be calculated. The NJDOT procedure allows a covariance up to 3% to verify repeatability. Next the absolute difference between the reference profile MRI and collected IRI values is determined. The average difference between MRI values is calculated. This average difference is used to determine the percent difference between reference profile and collected profile. The NJDOT procedure allows up to a 5% difference in reported MRI and reference MRI to verify accuracy.

#### **Inertial Profiler Monthly Verification Procedure**

The inertial profiler must be verified monthly. A minimum of 5 runs per lane must be collected and compared against a reference profile.

For all 5 collected profiles the IRI is first calculated then the MRI for each lane is calculated. The average MRI and the standard deviation are calculated for each lane. Using the average reported MRI and the calculated standard deviation, the covariance can be calculated. The NJDOT procedure allows a covariance up to 3%. Next the absolute difference between the reference profile MRI and collected MRI values is determined. The average difference between MRI values is calculated. This average difference is used to determine the percent difference between reference profile and collected profile. The NJDOT procedure allows up to a 5% difference in reported MRI and reference MRI.

#### *Height Verification*

The block test is used to verify that the height sensor is functioning properly.

The block test is performed after the profiler is turned on to warm up the equipment per the manufacturer's recommendations. This test is performed on each height-sensor in the profiler. Figure 8 shows the location of both height sensors that need to be verified monthly, Figure 9 shows a close up of the height sensor mounted on the vehicle, and Figure 10 respectively shows a diagram of how the height sensor operates.

This test will be conducted with the inertial profiler on a relatively flat and level area. Its purpose is to check the height measurements (in inches) from the height sensor(s) using blocks of known dimensions. During the test, the operator must not lean on the profiler or cause it to move in any way. Under windy conditions, it is best practice to perform this test indoors. The NJDOT field crew conducts the block test at the garage of building 8 before going out in the field.



Figure 8: Height Sensor Measurement System Overview



Figure 9: Close up of Height Sensor

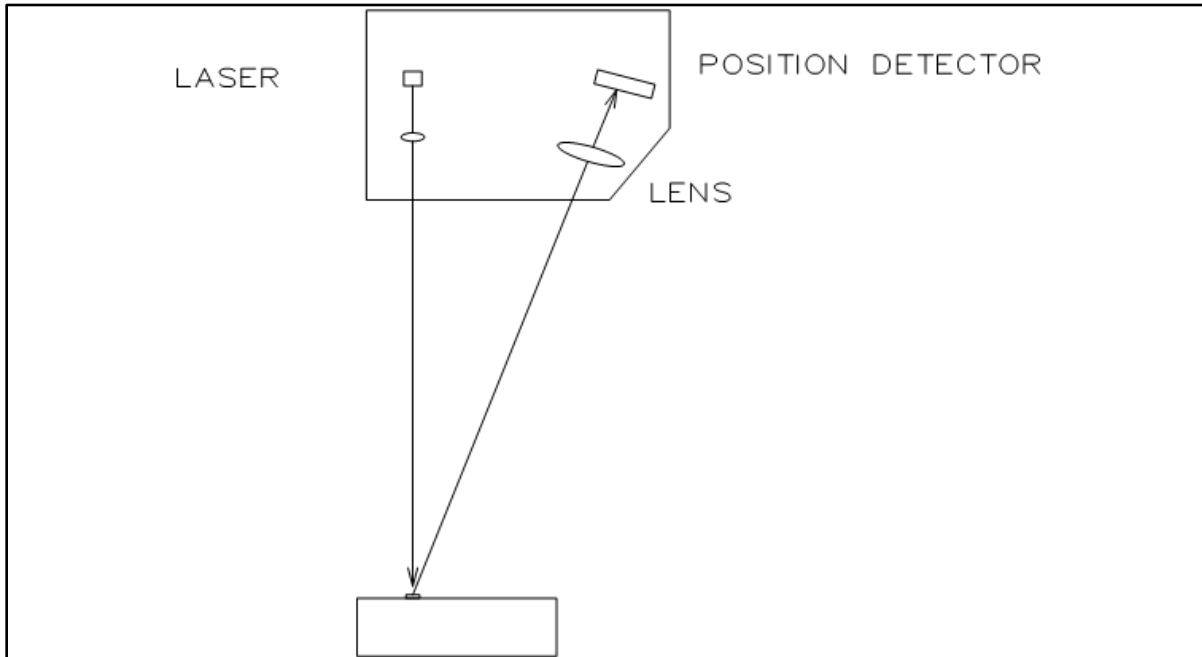


Figure 10: Diagram of Height Sensor

**NJDOT PMU Block Test Procedure**

1. Position a smooth base plate under the height sensor of the profiler and take ten height measurements.
2. Position a 0.25-in. block underneath the height sensor on top of the base plate and take ten height measurements.
3. Carefully remove the 0.25-in. block from the base plate and replace it with a 0.50-in. block. Make another set of ten height measurements.
4. Carefully replace the 0.50-in. block with a 1.00-in. block and take another set of ten height measurements.
5. Finally, carefully replace the 1.00-in. block with a 2.00-in. block and take the last set of ten height measurements.

Note 1 – The thickness of the blocks should be measured to an accuracy of 0.001 in using a suitable instrument. Measure the thickness of the gauge blocks at three different positions on each side of the block. For each block, an average thickness shall be determined from the measurements made which shall be used in checking the height sensors as described in this test. The average thickness shall be marked on each gauge block. The above procedure for determining the average block thickness must be performed quarterly (every three months). The difference between each measurement on a gauge block and the average of the ten measurements on the base plate is determined to get the thickness of the gauge block as measured by the height sensor. This calculation is done for all ten measurements on the given gauge block. The absolute values of the differences between the computed thickness and the known average block thickness are then determined. **To pass the height sensor test, the average of the absolute differences must be less than or equal to 0.01 inch for each gauge block.**

Note 2 – Each NJDOT vehicle has a set of calibrated blocks that correspond to that particular vehicle. The block set for each respective vehicle should not be utilized to verify a different vehicle.

### *Stability Verification*

The bounce test is an overall check, performed daily, to see if the accelerometer is properly canceling out vehicle movement as measured by the height sensor. The bounce test will detect problems with both the height sensor and the accelerometer. If the system passes the block test and does not pass the bounce test the problem is likely to be in the accelerometer. It should be noted that the block check does not calibrate the height sensor and the bounce test does not calibrate the accelerometer, it simply verifies that they are functioning properly.

To perform the “bounce test” the vehicle is stationary but the electronics are provided an internal signal so that travel at normal data collection speed is simulated (50 mph). The equipment is placed on level, smooth pavement. Place a non-reflective surface on the pavement under each laser sensor so that the texture of the pavement will not affect the test. Figure 11 provides an illustration of the Bounce Test screen, while Figure 12 shows an example of error found in the bounce test.

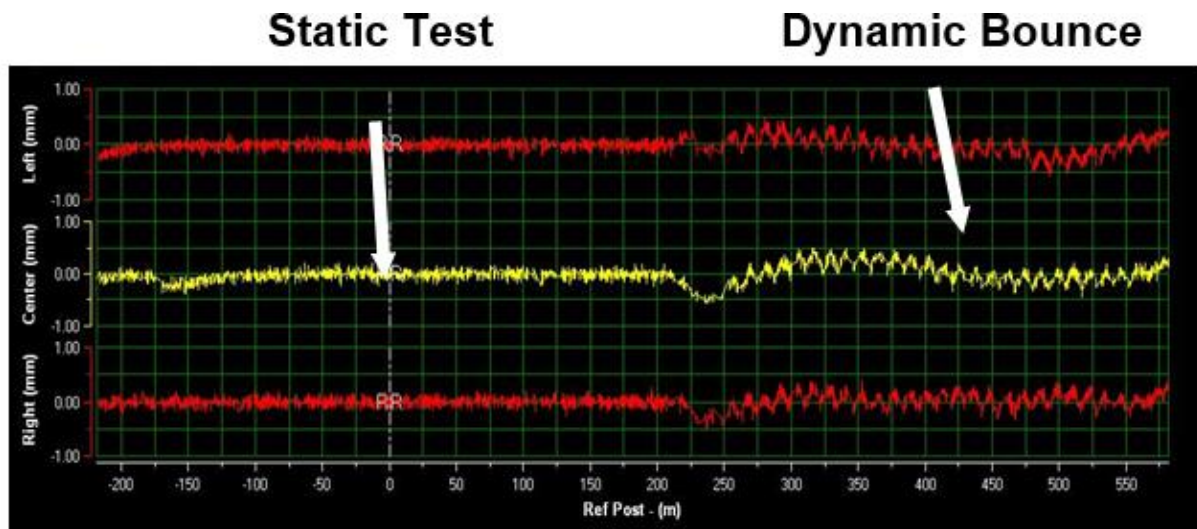


Figure 11: Example of the Bounce Test Screen

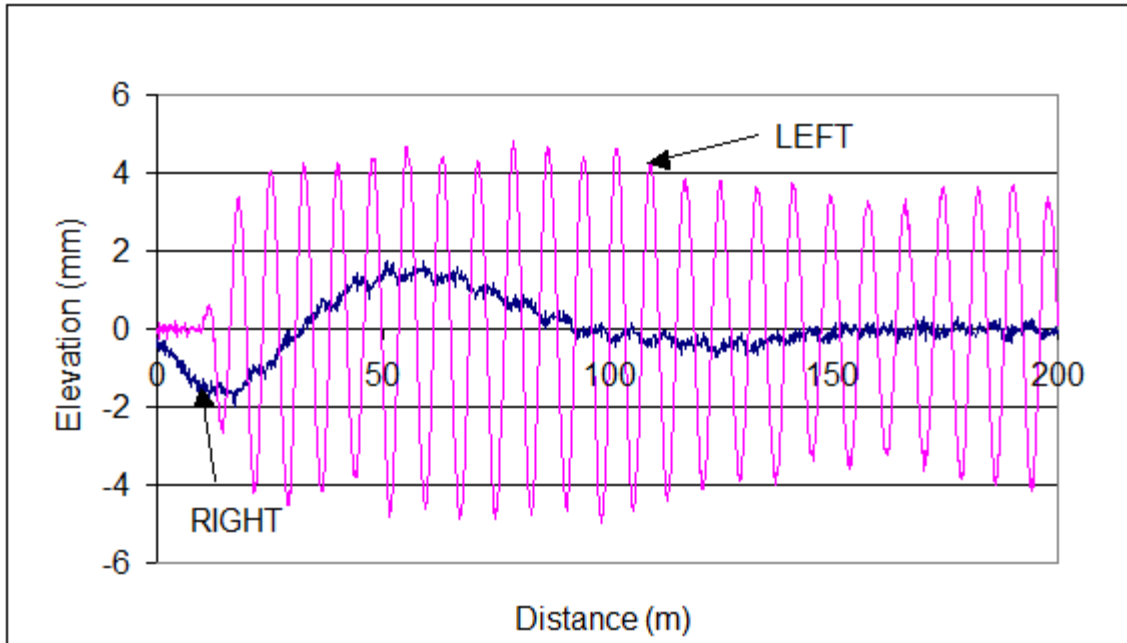


Figure 12: Error in the Left Accelerometer or Height Sensor

**NJDOT PMU Bounce Test Procedure**

1. The first step is to set the van’s software to “time-series” setting.
2. Then collect IRI data with the vehicle as motionless as possible.
3. Simulate the IRI data collection for the time it takes to collect data on several 0.1-mile (528 feet) segments.
  - a. The software calculates IRI for 528 feet of simulated travel.
  - b. The observed IRI value for a 528 feet long segment should be below 3 in. per mile.
4. Next, the sensor(s) should be moved vertically for a total displacement of approximately 2 inches (a yardstick may be helpful until the operator gets used to the procedure).
  - a. The typical approach is to push the mounting system (bumper) at the center of the vehicle down approximately one inch or so and let the vehicle suspension rebound to create the total travel of 2 in.
  - b. The operator must be able to observe and maintain a consistent rocking motion exceeding two inches for the time required (minimum of 8 seconds) to simulate 528 feet of travel for a simulated speed of 50 mph.
  - c. The observed IRI values should be below 8 in. per mile for a 0.1-mile segment.
  - d. The theoretical value for both phases of the “bounce test” is 0.

*Length Verification*

The DMI Test is used to verify that the distance measurement instrument (DMI) is recording distances accurately.

Adjust the cold tire pressure to the manufacturer's recommended value. Tire pressure increases as the air inside them warms up to operating temperature, so to prepare for distance calibration, the tire shall be pre-conditioned prior to testing. To compensate for the slight expansion in the tire diameter, drive the vehicle for at least 5 miles to warm up the tires prior to collecting data for this test.

Note 1 - The best procedure to calibrate the DMI is to first check the cold tire pressure, warm the tire and then calibrate the DMI. This calibration factor will be valid for the hot tire pressure at the time of calibration.

Note 2 - The DMI shall be verified monthly during the Network Pavement Management System (PMS) testing season.

**NJDOT PMU DMI Verification Test Procedure:**

1. Check the tire air pressure on the wheels of the housing vehicle and maintain according to the manufacturer's recommendations.
2. Allow electronic equipment to warm-up in accordance with the manufacturer's recommendations. Prior to running the test site, warm the tires by driving for 5 to 10 miles. Perform three runs over the test section. Initiate and terminate data collection at the test section using the auto-trigger. Reflective tags placed on the pavement edge shall be used to auto-trigger at the start and the end of the section. Run the profiler at a constant speed of 40 miles per hour. At the end of each run, the reading from the profiler's DMI is recorded.
3. After completion of three runs, the absolute difference between the DMI readings and the known distance of the path tested shall be computed for each run. The average of the three absolute differences must be less than or equal to within 0.15 percent of the length of the test section to pass the test. When utilizing a 1,000 feet test sections, the average of the three absolute differences must be less than or equal to 18 inches.
  - a. If the profiler's DMI does not meet this requirement, the operator of the profiler shall calibrate the DMI based on the known distance of the test section using the procedure provided by the manufacturer.
4. After entering the new calibration offset, the operator shall again make three runs over the delineated path and measure the distance with the profiler's DMI on each run.
  - a. The average of the absolute differences between the known distance and the DMI readings after calibration shall be computed to check if it is within the specified tolerance of 0.15 percent of the length of the test section or 18 inches for a 1,000 foot test section.
  - b. If the profiler's DMI does not meet this requirement, a second calibration shall be made. If after the second calibration the profiler still fails to meet the specified tolerance, no further testing will be conducted and the profiler shall be considered to have failed verification.



### **DMI Calibration Procedure:**

1. Ensure the tires are properly pressurized and have been “warmed” by being driven at highway speeds for at least 20 minutes.
2. Ensure that reflective tape (or reflective markers provided by the manufacturer) is placed at the exact start and end of the surveyed DMI calibration site located on 295 South MP 51.4 to 51.2.
3. Launch the Profiler computer and data collection software. Ensure the DMI is “Run” mode. Choose “Calibrate” → “Arm Photocell”. Verify the photocell is armed on the Profiler screen on line 5 of the collection software, the system should read “PhotoCell: Armed”.
4. Approach the DMI calibration site and be prepared to maintain a steady speed through the entire process. Choose “Calibrate” → “Calibrate Distance”. A text box will appear for the user to enter their name. Enter the user name and click “OK”.
5. Another text box will appear for the user to enter the actual distance of the DMI Calibration site. Enter “1,000” ft and click “OK” for the surveyed DMI Calibration site located on the right shoulder of 295 South MP 51.4 – 51.2.
6. A dialogue box will appear instructing the user to advance to the start location of the DMI Calibration site. Approach the start of the site maintaining a constant speed of 40 MPH using cruise control. Click “OK” once speed is maintained prior to reaching the start.
7. Once the Photocell is triggered at the start by the reflective marker an audible “START” will be alerted in the van.
8. After the Photocell is triggered at the end the new and old calibration numbers will be displayed. New Calibration values should be between 1.47 and 1.51. Click “OK” if new values are acceptable. Click “Cancel” if new values are not acceptable and repeat the calibration process.
9. After Calibration is performed verify the DMI is working accurately by performing DMI verification.

### **3D Camera Verification Procedure:**

1. Ensure all personnel are wearing appropriate PPE including laser glasses. Also ensure that all personnel are aware that Rut Verification is in progress, that they should clear the area especially behind the van.
2. Position Pathway van on flat and clean ground.
3. Place Rut verification device behind pathway van and within the field of view of the laser system.
  - a. The rut verification device must be positioned in the proper location from the back center of each of the two rear tires where the tire meets the ground.
  - b. The rut verification device must be parallel to the rear line-laser profile.
  - c. The rut verification device must be perpendicular (transverse) to the direction of travel.

4. Ensure van is set to "time-series" mode at a simulated speed of 40 mph.
5. Initialize Sensors in standard and appropriate order.
6. Once sensor systems are running, create new set file
  - a. Sets in 400 series are reserved for calibration records
  - b. Sets increase incrementally for each month's calibration records
  - c. Same set can be used for DMI, Faulting, Rutting etc.
7. Start "time-series" mode but do not collect/save data yet
8. Verify lasers are sighted at Rut Verification Device
  - a. See Note 1 about sighting tools
9. Using the computer in the data collection vehicle, ensure rutting profile is visible and looks like a rutted surface
  - a. After sensors are all verified, begin collecting data and save data. A minimum of 1.0 mile of "time-series" mode data must be collected
10. After testing with Pathway's van measure Rut with Vernier Calipers to the 0.01".
  - a. Record value of Rut on testing sheet that goes with SATA drives
11. Transfer data in drives to appropriate Data Reduction personnel
12. Once data is processed, evaluate rut and determine PASS/FAIL
  - a. Average Rut must be within 5% of known value
  - b. Average Rut must be within 10% of previous month's value (This is to check Rut Test Device has not gone out of compliance)

Note 1: A smartphone camera may be a sufficient tool to help sight the location of where the lasers are touching the ground. Not all cell phone cameras will work for this purpose.

Note 2: This testing can be accomplished in building 8.

Note 3: Laser safety glasses MUST be worn at all times for duration of Rut Verification testing

Note 4: The laser system has a safety motion detection device. If too much motion is detected, the laser system will be shut off. To rectify this, ALL of the subsystems must be restarted.

Note 5: When the pathway van is placed in "time-series" mode, the laser system has an elapsed time shutoff of 300s (5 min). If the 300s mark occurs before testing is complete, all systems must be restarted.

Note 6: Rutting laser system has a red indicator light to show that lasers are ON and operating. If the red light is off, all subsystems must be reset. If resetting doesn't work to bring the lasers back on-line, all subsystems must be shut down and restarted.

Note 7: If rut profile is not showing for step 8 of the procedure, increase "time-series" test speed to 50 mph and try again.

*Location Verification*

The location verification procedure is used to verify the data collected belongs to the correct route and milepost reported.

Export the driven path of the data collection vehicle as a shapefile and compare it against the official NJDOT dual-line shapefile. A buffer area of 50 feet is applied to the NJDOT shapefile. All areas where the data collection vehicle provides locations not within the buffer area are summed up and reported for recollection.

**GPS Verification Procedure:**

1. Open PathView program
2. Add data sets to be verified
3. Apply current .C11 config file to data
4. Navigate to menu item “GPS “Create Shapefile” option
  - a. Export interval minimum 52.8 ft
  - b. Save .shp file and export to appropriate log folder on the S: drive
  - c. Create backup of .shp file
5. Convert Pathway export to NJ State Plane ESRI .shp file
6. Open ArcMap
  - a. Load NJDOT Base Map
  - b. Load Pathway .shp as layer
  - c. Visually determine locations where GPS from Pathway is not similar to underlying Base layer and document in QA logs
7. Determine length of GPS discrepancies
  - a. In ArcMap click on the Geoprocessing menu and choose “buffer”.
  - b. Choose the official NJDOT shapefile under the dropdown box for “input features”. Make sure to save the output file in the proper year’s data quality log folders on the S: drive.
  - c. Input a buffer of 50 linear feet.
  - d. Choose “All” for the Dissolve Type and click OK. The buffered area will be added to the map.
  - e. Open Arc Toolbox, expand Analysis Tools, expand Overlay, Choose “Erase”.
  - f. Choose the driven path of the data collection vehicle from the drop down box for “input features”. Choose the buffered area from the drop down box for “clip features” then click OK.
  - g. Right click on the final layer created and open the attribute table. Find the field labeled “Shape\_Length”, right click on the header and click on “Statistics...”. The total length outside of the buffered area will be summed in this window.

Note 1: The GPS cannot be verified by the field Crew in Building 8, it must be conducted on collected data by Data Reduction Team

## *Office Preparation of Annual Updates*

### **Network Inventory**

Prior to network data collection starting, a review of all required locations is performed. This update is performed by the Office Data Reduction Team Leader in coordination with the Bureau of Transportation Data and Safety (BTDS) and the Field Crew Data Manager Team Leader to ensure a proper testing schedule is maintained. These updates are maintained in the StateRtes\_TestingNeeded\_ContiguousSects file and implemented in the Pathway PathRunner's .SEC file, the dTIMS base table, and the PMS map (ESRI Roads & Highways LRS model).

The lane width measurements collected for HPMS sample sections are used in network data calculations and as such will be updated annually prior to data collection. This data item will be stored and maintained in the PMS database to calculate the percent of cracking in the wheelpath. When a pavement section does not have lane width measurements supplied by the NJDOT BTDS a lane width of 12' will be used for all HPMS reporting purposes.

All pavement type changes made in the previous year are supplied to the NJDOT BTDS for HPMS submission. All pavement type changes known to the PMU are stored in the PMS database and can be queried for easy reporting.

### **Data Audit**

Each year after all pavement data has been properly accepted and distributed across the Department but before new data collection is scheduled to begin, a data audit must occur. The goal of this audit is to make sure there is enough hard drive space to store the new year's data. A minimum of 5 terabytes is required for an entire year of data collection and processing. A minimum of one empty hard drive with enough space to hold an entire year must be available in case of a hard drive failure.

## 4. Collection “During” Phase

### *Data Items Required*

Information collected as part of a network-level data collection effort includes ride quality (IRI), rutting, faulting, surface distress and right-of-way imagery. Table 4 provides a summary of the condition items collected:

**Table 4. Network-Level Surface Data Items Collected by Pavement Type**

Pavement Type	Network-Level Data Items
Asphalt	<ul style="list-style-type: none"> <li>• Ride quality (IRI);</li> <li>• Rut depth;</li> <li>• Load-related (longitudinal, pattern) cracking;</li> <li>• Non-load related (longitudinal, pattern, transverse or construction joint) cracking.</li> </ul>
Composite (asphalt over concrete)	<ul style="list-style-type: none"> <li>• All distresses listed for asphalt pavements; plus</li> <li>• Reflective cracking.</li> </ul>
Jointed Concrete	<ul style="list-style-type: none"> <li>• Ride quality;</li> <li>• Faulting;</li> <li>• Slab cracking (transverse and/or longitudinal);</li> <li>• Joint spalling and/or pumping.</li> </ul>

### *Equipment Verification*

All equipment should be verified periodically throughout the collection season to ensure the integrity of the subsystems. Verifications should be run as described above at the three established verification sites at a minimum of once per month. Additional control sites can be established at various locations in each region of the State to facilitate local field QC checks.

#### **Field Data QC**

In addition to the monthly equipment verifications, real time data QC should be performed during all data collection runs to ensure that quality data is being collected. Real time data QC activities include:

- As a built-in quality control feature set, the PathRunner Data Collection software brings all data types (images, sensor data, GPS mapping, DMI, etc.) into a single screen. Real-time data processing, display and logic analysis ensure that collected

data falls within valid ranges and image thumbnails provide real-time quality check capabilities. Voice animation of road information, routing details and data warnings allow the collection personnel to monitor all data types in real-time.

- Visual display of the images being collected allow the operator to make sure proper quality standards are met. All roadway images are fed both to the main data collection screen and to a dedicated full screen mode using the same front mounted monitor.
- The GPS mapping program allows for simultaneous playback for greater efficiency and accuracy. The onboard map also gives the operator the ability to ensure proper location by marking the expected beginning and ending points of a given section.
- The manufacturer recommends to begin collection on each collection set on a straight-away as often as possible. Starting the data collection in a curve leads to issues with processing at later steps in data processing.
- Voice animation alerts operator of any potential problems discovered by self-governing equipment and software.
- The data collection program continuously performs real-time data calculations and displays an alert any time that a sensor reading falls outside the valid ranges.

The key data items, protocols used for equipment verification, and associated quality standards are described in Table 5. Quality standards define the resolution, accuracy, and repeatability or other standards that will be used to determine the quality of each data item. If any subsystem fails verification twice concurrently, call the system manufacturer.

**Table 5. Equipment Verification Quality Standards**

<b>Deliverable</b>	<b>Protocols</b>	<b>Resolution</b>	<b>Accuracy (compared to reference value)</b>	<b>Repeatability (for three repeat runs)</b>	<b>Reference Value</b>
IRI (left, right, and average)	AASHTO R 56-14, R 57-14, NJDOT Distress Inspection Manual*	1 in/mi	± 5 percent	± 3 percent	SurPRO IRI values
Rut depth (average and maximum)	AASHTO R 87-18**, R88-18* & PP69-14, NJDOT Distress Inspection Manual*	0.01 in	± 0.06 in	± 0.06 in	Straight edge and caliper measurements
Faulting	AASHTO R 36-13, NJDOT Distress Inspection Manual*	0.01 in	± 0.06 in	± 0.06 in	Straight edge and caliper measurements
Percent Cracking	HPMS field manual, LTPP distress identification manual, NJDOT	0.1 mile	95%	NA	Manual video review

	Distress Inspection Manual*				
GPS location	NJDOT procedure	50 ft	100% of network	NA	NJDOT dual-line GIS layer

\*NJDOT Distress Inspection Manual can be found in Appendix E

\*\*AASHTO R48 has been discontinued. Users should refer to R87 and R88.

## Software Verification

As pavement data gets processed and checked for data quality standards, updates to configuration files, processing metrics, and output reports may be produced. In an effort to maintain uniform standards for a data collection cycle, software logs must be kept showing what the current version of each software is, when it was updated, and what the purpose of the new software build or file is. The following are a list of files, databases, and software that should be tracked:

- dTIMS (Deighton Total Infrastructure Management System)
- PathView (Pathway data viewer and reporting software)
- AutoCrack (Pathway automated cracking analysis software)
- .C11 (Pathway distress classification schema)
- .SEC (Pathway section limit)
- Yearly PMS database check log
- SurfSegment.CSV

All 0.1 mile summary data should be loaded into the PMS database once it is generated so standard database checks can be made for additional verification. These database checks at a minimum include the following:

- $30 < IRI < 400$
- 0.1 mile locations with zero extent for all distresses when no maintenance/rehab has been performed
- $IRI = 25$  in/mile (This indicates a problem with the distance database)
- Duplicate records
- Missing values
- Asphalt distresses on concrete surfaces or concrete distresses on asphalt surfaces
- Consecutive 0.1 mile sections with identical extents for all distresses or IRI
- Wheelpath distress densities greater than the wheelpath area
- $SDI \leq 0$

## Data Backup Procedure

The process flow for network data management starts by receiving raw data from the field crew. After initial adjustments are made to align locations, a separate software, AutoCrack, is used to identify if and where pavement distresses occur. Next AutoClass is

performed which takes the distress definitions used by NJDOT and assigns each distress a type and severity. At this point in the process, the data is backed-up on a separate drive from where the production data is stored. The information regarding file size and locations is stored in the NJDOT QA database in the Sets Details Form. The two most time consuming actions in the process are AutoCrack and AutoClass and for this reason a backup is not stored until these processes are complete. If a data breach occurs, it is best to restore to the point of processed data as opposed to raw collected data.

### *Third Party Data Collection*

All third party data collectors will be expected to operate their equipment in a standard method in accordance with protocol discussed separately in their submitted data quality management plan. If any changes to the method of data collection are made, the NJDOT PMU will be made aware of these changes so that they can be documented.



## 5. Processing “After” Phase

### *Post Collection Processing and Data Verification*

In the post collection phase, the ability to correct faulty data can be challenging. Data collected in the field and transferred to the office undergoes post collection checks to quickly assess data completeness and accuracy. Office staff performs secondary quality reviews to verify the accuracy and completeness of the data. Three main groups of data reviews have been identified as Pavement Distress Data Review (5% of network), Location and Image Review (100% of network), and Final Data Review (100% of network).

The key data items, protocols used for network collection, and associated quality standards are described in Table 6. Quality standards define the resolution, accuracy, and repeatability or other standards that will be used to determine the quality of each data item.

All third party data collectors are expected to follow all post collection processing and data verifications on their own data. NJDOT has procedures in place to accept or reject data collected by others in Chapter 6 of this manual.

**Table 6. Network Data Quality Standards**

<b>Deliverable</b>	<b>Protocols</b>	<b>Resolution</b>	<b>Accuracy (compared to reference value)</b>	<b>Repeatability (for three repeat runs)</b>	<b>Reference Value</b>
Distress ratings	HPMS field manual, LTPP distress identification manual	Varies	Varies	90%	Downward Video Review
Location of segment	N/A	50ft	All segments in correct location	N/A	SLD
Segment begin point	N/A	0.01 mi (52.8ft)	± 0.05 mi (264ft)	N/A	SLD
Right-of-Way Images	N/A	N/A	Signs legible, proper exposure and color balance	N/A	N/A
Pavement downward images	N/A	N/A	1/8 in wide cracking visible	N/A	Downward Video Review

**Pavement Distress Data Review**

Pavement distress data (i.e. images and processed results) are provided to the office staff for review on a weekly basis. The office staff reviews 5 percent of the collected section length. Sampled section images are checked using the Pathway-supplied proprietary software and reviewed for such items as:

- Missing a high severity distress;
- Missing 5 or more instances of low/medium severity distress;
- Incorrect distress type or severity, or over-rating (indicating that a distress is present when actually there is no distress);
- Pavement surface and ROW images are reviewed weekly to prevent and minimize re-runs.

The Pathway software allows the user to add, delete, or change any distress before it is reported. All changes performed by the video reviewer are logged in the QA database to determine specific distress metrics that are unreliable. At the end of each year the QA database will be used to help refine the .C11 configuration file used to automate pavement distress on the network level.

The locations for video review are chosen through network queries listed above under Software Verification. These queries are designed to find locations where the reported distress falls outside the expected values on NJDOT maintained roads. When less than 5% of the collected data is identified through network queries then the pavement rater will review equal mileage from the remaining routes that have not been identified in the initial queries.

Based on the nature of the discrepancy and its impact on the integrity of the reported results, the data can be accepted, edited, reprocessed, or recollected as described in Section 6.

### **Location and Image Quality Review**

Images should be checked for clarity and brightness using minimum established standards – e.g. the word “mile” on a milepost sign is legible. Systematic location offsets are investigated to determine whether the start and end points are incorrectly set, which can be corrected at this time.

All images should be clear and the distress type and severity be easily identifiable. The camera(s) should be able to quickly adjust to varying lighting conditions. For example, when the data collection vehicle is on an asphalt road and has crossed a concrete bridge, the camera(s) may “white out” from the higher degree of light reflection. Conversely, when the data collection vehicle exits a concrete bridge onto an asphalt pavement, the camera(s) may “black out.”

Pavement images should be synchronized with the R.O.W. images. The images should play in the correct order. The pavement type and texture should correspond to the pavement type and texture that is shown in the R.O.W. view. Custom ‘First and Last Image Check’ software scans digital images for completeness.

The following items are checked by the office staff to ensure that the collected ROW images have acceptable levels of clarity, brightness/darkness, and completeness:

- Image clarity — All images should be clear and highway signs easily read. Most highway distresses should be evident in all views. There should be minimal debris in the cameras’ viewing path.
- Image brightness/darkness — Images are not to be collected during hours when it is too dark (rule-of-thumb: if street lights or security lighting are lit, then it is too dark). It has been found that during poor lighting conditions, the images become very grainy and seem to be out of focus, or it results in a “black out.” In addition, if the data collection occurs just before a rain storm, the dark clouds may not allow

the proper amount of light to enter the camera, and the subsequent image(s) will be of poor quality.

- Dry pavement — Testing lane should not have any standing water during testing; otherwise, the run will be rejected. Accordingly, data collection should be halted during and immediately after a rain storm. Water on the pavement invalidates collected data and rain drops accumulated on the protective glass of the ROW camera, will cause the images to be of poor quality due to the lack of clarity and sharpness.
- Image replay — Images should play sequentially and in the correct order. The data collection vehicle should give the impression that it is traveling in a forward direction.
- Missing images — There should be minimal or no missing images.

### **Final Data Review**

The PMS database checks used include searches for missing data, out of tolerance data, and abrupt pavement condition changes when compared to data collected from previous years. Any pavement section discrepancies are further investigated for acceptance using the criteria in Table 7. These checks include the following sequential activities:

- Duplicate records — Duplicate records are noted for correction by the Office Data Reduction Team Leader and removed from the input file for processing of additional data checks. If a 1/10th mile section is listed multiple times with the same profiler date yet different distress values, then the equipment/software supplier must be notified of potential bugs. After the software bugs are addressed new output reports will need to be generated on all previously analyzed sets.
- Survey year — Survey year, month, and day are checked to verify dates of testing.
- Invalid key — Invalid key errors include: missing county number, invalid county number, missing state route and/or missing segment number, non-numeric data in a numeric field, invalid survey date, and invalid state route and/or segment number.
- Concrete sections – Zero slab/joint counts for concrete pavements.
- Missing segment — Confirms that there are no missing parent segments.
- High/Low limits for IRI – If below 30 in/mi. or above 400 in/mi.
- High/Low limits for rut – If below 0 in or above 0.5 in.
- High/Low limits for cracking % - If all distresses report 0% or if any distress is reported over 100%.
- Duplicate distress – More than 2 consecutive sections with the same distress density should be checked visually.
- Zero distresses – Any section with a distress density of zero for all distresses should be visually inspected by video review.
- Incorrect distresses for a surface type – No section should have distresses for a surface type that does not exist in that location.
- Duplicate IRI – More than 2 consecutive sections with the same IRI should be checked for errors
- IRI equal to 25 – This is typically due to a problem with the distance database that can be fixed

- Construction, lane deviation, and miscellaneous flags — Identifies if the proper coding has been applied to roadway segments that do not require condition assessment.
- Administrative data — Includes verifying that the state route data matches the data for turn-back and closed-to-traffic roadways.
- Surface type — Confirm that the surface type for the roadway segment is current.

Finally, the approved data is imported into the PMS database. The PMS database is used as the sole source of information provided by the PMS group for both internal uses including but not limited to pavement design, pavement condition forecasting, and future project selection, as well as being delivered to outside resources such as the HPMS federal data submission. Annually, the PMS Database is updated as required to insure consistency with the current network definition and other related characteristic information. These updates as a minimum include:

- SRI information for each road segment are accurate and include historical reference to road segments that have changed;
- Pavement type should be updated to match the most recent as-built data;
- Segment lengths should include full HPMS extent whether owned/maintained by NJDOT;
- Updates to NHS sections should be verified;
- Updates to jurisdiction should be verified;
- Updates to legislative district should be verified;
- Updates to lane counts should be verified;
- Lane mile check to match HPMS submission;
- Updates to skid values should be verified;
- Updates to speed limits should be verified;
- Updates to county codes should be verified;
- Updates to median Y/N should be verified;
- Updates to functional class codes should be verified.

#### *Pavement Type Verification (BC/CO/RC)*

A pavement type table is supplied by all consultants performing pavement screenings. The Pavement Management Section Leader verifies the pavement type in the PMS database and updates the pavement type as necessary. These updates are provided to BTDS annually to update the HPMS pavement type.

At the completion of a pavement resurfacing job, a ride quality request is generated by the Resident Engineer to determine the quality of work performed by the contractor. As part of the ride quality request the surface type is identified. A database is used to track and manage all ride quality data collected. This database is used as a failsafe to catch any pavement type changes (ie. Rigid to composite, composite/rigid to flexible by rubblization or reconstruction) not known to the Pavement Management Unit. An automated email alerts the Pavement Management Section Leader and the Office Data Reduction Team Leader of the inconsistency for further review. This review is performed to ensure the most current pavement type is being used for automated crack detection. The PMS

database is the source of “pavement type” data and is updated in the SurfSegments.CSV file used in the PathView software for distress classification.

**Automated Distress Classification Verification Procedure:**

1. Ensure that data “sets” are ready for evaluation
  - a. First-Last image check has already been completed
  - b. Milepost adjustment has already been completed
  - c. AutoCrack has already been completed
  - d. AutoClass has already been completed
2. Open PathView program
3. Add current year’s data
  - a. At minimum add Sets determined to be used for QA procedure
4. Apply current .C11 config file to data
  - a. Distress → “Load Config”
6. Turn on distress pixels on 3D elevation plot
7. Turn on distress boxes on intensity plot
8. Check offset value for ROW image display is accurately portrayed
  - a. This may be different for each rater based on personal comfort
  - b. Average offset is -2
  - c. To set offset, find easily distinguishable item (manhole, grate, prominent distress) to align the ROW to the desired view
9. Open downward QA form and fill in appropriate metadata
  - a. Date of review
  - b. Name of person conducting review
  - c. Set number and route being evaluated
  - d. Milepost Start/From and To
  - e. Direction (Cardinal as well as Increasing (I)/Decreasing (D))
  - f. Pavement type
10. Slowly tap spacebar to scroll forward through pavement distress images
  - a. Checking 3D elevation plot to ensure that pixilated distress on 3D elevation plot is showing distresses where there appears to be distress
  - b. Checking intensity plot to ensure that distress boxes match the type, severity, and extent of distress expected by the manual reviewer
11. Every 0.1 mile create record in log
  - a. If no errors found, click “Save and Create new Set” in the top left of the QA form
  - b. If errors found, document observed issues for each distress severity
    - i. Errors will be recorded on a % acceptable basis (100% = Perfect, 0%=Completely Incorrect)
  - c. If significant number of errors are observed, see Note 2
12. Once QA is completed for desired test set, conduct final Save and Close for QA log.
  - a. Nothing has been changed in PathView at this point – normal Pathview shutdown without saving procedure is sufficient

Note 1: Data Reduction QA evaluation must be conducted by certified manual distress rater

Note 2: If significant number of errors noticed, verify the correct .C11 file is loaded and that the data being evaluated has been processed for milepost verification, AutoCrack, and AutoClass. If any of these items have not been completed, the QA procedure cannot be completed on that dataset.

Note 3: Minimum number of miles to verify using this procedure (compared to the total collected network miles) must be equal or greater than 5%.

### *Surface Distress Index (SDI) Verification*

The primary trigger for pavement treatments is the Surface Distress Index (SDI) and for this reason, the SDI should be checked to make sure it is being calculated correctly. SDI is a composite index of over 15 distresses and severities which the pavement design staff has the ability to video review and understand the approximate SDI value for a given road section. Due to this experience, the pavement design staff conducts an SDI verification immediately prior to the downward QA process.

The SDI verification is performed using the right-of-way images, not the downward intensity or 3-D camera. SDI verification takes place on pavement sections that are larger than 0.1 mile long so the average SDI for the verification section will first be calculated in the PMS database where the processed distress data is stored. All sections with SDI below 2.4 will be triggered for SDI verification. A query from the PMS database identifies what sections need to be verified and the data is linked to the QA database where the information will be logged.

### *Missing Data Report*

As part of the PMS database review, a missing data report is generated. Missing data due to construction or some other major obstacle is commonplace when conducting a network pavement evaluation. The missing data report should include the following criteria:

- Reason for missing data;
- Location;
- Route name, direction, milepost;
- Segment number and segment start/end;
- Number of miles missing per section;
- Percent missing per section.

Viable reasons for missing data at the end of the year would include:

- Construction;
- Low bridge clearance;
- Road closed (permanently or semi-permanently);
- Lane departure.

HPMS standards allow a maximum of 5% missing sections on the National Highway System network. Significant runs of missing data, particularly on the NHS should be attempted to be re-collected, especially if the 5% threshold has been exceeded.



## 6. Acceptance

### *Acceptance Criteria and Corrective Actions*

New Jersey Department of Transportation performs quality control of the data collected. The focus of acceptance is to validate that data meet the established quality standards. Table 7 provides a summary of the checks of the delivered condition data and corrective actions for items that fail to meet criteria.

**Table 7. Data, Acceptance Level, Sample Size and Corrective Action**

<b>Deliverable</b>	<b>Acceptance (Percent Within Limits)</b>	<b>Acceptance Testing &amp; Frequency</b>	<b>Action if Criteria Not Met / Error Resolution</b>
IRI, rut depth, faulting	95 percent	Global database check for range, consistency, logic, and completeness. 5 percent sample inspection upon delivery.	Reject deliverable; data must be re-collected.
Distress Ratings	95 percent	Global database check for consistency, logic, completeness. Weekly inspection of all 3D image data, and 5 percent sample inspection upon delivery.	Reject deliverable; data must be re-collected or re-processed.
Location of segment and segment begin point	100 percent	Weekly inspection of all segments locations against SLD.	Adjust to meet requirements.
Pavement downward images	98 percent of each section and not more than 5 consecutive images failing to meet criteria	Weekly inspection of 5 percent sample inspection upon delivery.	Data must be re-analyzed by video review.
Consultant Data	100 percent for logs; 10 percent of NJDOT reference values for verification site data	Global database check of certification, calibration, verification, and QA logs. Annual verification of metrics on verification site	Reject deliverable; data must be re-collected

## *Acceptance of Third Party Data*

Third party data collectors will be required to submit all quality reporting items outlined in their submitted DQMP. In addition to reporting the distress data, the consultants must submit all certification, calibration, verification, and quality assurance logs. The NJDOT PMU will be responsible to conduct database checks to ensure that any external collector providing data has been reviewed in accordance with their respective DQMPs. External collectors will also be required to submit the 0.1 mile data including all metrics for the Third Party Verification site.

If the criteria for acceptance of verification data is met for the 0.1 mile data, the data will be considered sufficient and will be accepted for reporting.

## *Quality Reporting Plan*

The Pavement Management Section Leader monitors quality through QC activities and reports data quality exceptions as part of weekly status reporting, or more frequently, if conditions warrant. Quality is also monitored through acceptance reviews, and quality issues are reported to the data collection team as soon as issues are discovered.

No data will be loaded into the Pavement Management System database until the Pavement Management Section Leader certifies its integrity through the established QC and acceptance review procedures. Any data issues that are identified throughout the year are recorded. The QM report is used to modify the QM plan activities for the next year's data collection with the aim of continuously improving the network pavement condition data quality.

## 7. Bibliography

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5. Shekharan, R., D. Frith, T. Chowdhury, C. Larson, and D. Morian. 2006. "*The Effects of a Comprehensive QA/QC Plan on Pavement Management.*" Transportation Research Record No. 1990. Transportation Research Board, Washington, DC.



# Appendix A

NJDOT data collection vehicle certification

```

Profiler Operator      : Jeremy Rockefeller
Wheelpath(s) Tested  : LR
Profiler Tested       : Pathway Model 3D1-110
Profiler Serial No.   : 110
Profiler VIN          : 1FTSE3ES9CDA42170
Test Date             : 07172012

Test Administered By : Emmanuel Fernando & Gerry Harrison
Filter Type          : Pathway filter
Filter Program       : PathwayFilterVer60.Exe
Version No           : July 2, 2009
  
```

Overall Test Result: PASS

---

PROFILER REPEATABILITY AND ACCURACY ( Medium Smooth Section )

Repeatability

Left Ave.STDV 11	Right Ave.STDV 10
------------------	-------------------

Equipment Accuracy

Left u1 : -1.18	Right u1 : 0.37
Left u2 : 33.02	Right u2 : 26.12

IRI

Left STDV of IRI: .69 (PASS)	Right STDV of IRI: .63 (PASS)
------------------------------	-------------------------------

Average IRI (in/mile)

Wheel Path	Abs. Difference
Left	.17 (PASS)
Right	.99 (PASS)

---

*Emmanuel Fernando  
7/17/2012*



Profiler Certification Test Results

Profiler Operator : Jeremy Rockefeller
Wheelpath(s) Tested : LR
Profiler Tested : Pathway Model 3D1-110
Profiler Serial No. : 110
Profiler VIN : 1FTSE3ES9CDA42170
Test Date : 07172012
Test Administered By : Emmanuel Fernando & Gerry Harrison
Filter Type : Pathway filter
Filter Program : PathwayFilterVer60.Exe
Version No : July 2, 2009

Overall Test Result: PASS

PROFILER REPEATABILITY AND ACCURACY ( Smooth Section )

Repeatability

Left Ave.STDV 10 Right Ave.STDV 9

Equipment Accuracy

Left u1 : -2.28 Right u1 : -1.35
Left u2 : 17.84 Right u2 : 14.26

IRI

Left STDV of IRI: 1.05 (PASS) Right STDV of IRI: .68 (PASS)

Average IRI (in/mile)

Wheel Path Abs. Difference
Left 1.98 (PASS)
Right 3.54 (PASS)

Emmanuel Fernando
7/17/2012



at AUBURN UNIVERSITY  
277 Technology Parkway  
Auburn, Alabama 36830

July 31, 2020

Mr. Everett Schmitz  
Pathway Services, Inc.  
P.O. Box 472105  
Tulsa, OK 74147

Re: Inertial Profiler Certification at the NCAT Pavement Test Track

Dear Mr. Schmitz,

This letter is written to document results from the inertial profiler certification attempt made on July 27, 2020.

To certify according to the criteria outlined in AASHTO R 56-14, the profiler must first pass a vertical verification, commonly called a “block test”, as well as an accelerometer verification or “bounce test”. The distance measuring instrument (DMI) is then verified for longitudinal accuracy. Passing criteria for the DGA sections are ten (10) runs on each of the three dense-graded asphalt (DGA) sections, yielding cross-correlation values of 92% repeatability and 90% accuracy when compared to the reference profiler. Passing criterion for the open-graded friction course (OGFC) section is outlined in ALDOT Procedure 448. This method requires ten (10) runs averaging less than 5% error to a direct International Roughness Index (IRI) comparison to the IRI obtained by the reference profiler.

NCAT’s Profiler Certification Program consists of three DGA test sections and an OGFC test section. The DGA sections are: smooth (IRI 30 to 75 in/mile), medium-smooth (95 to 135 in/mile), and a medium-rough (135 to 200 in/mile). The IRI of the OGFC section falls within the “smooth” range. The certification procedure is further detailed in AASHTO R 56.

**Results**

One profiler attempted certification on July 27, 2020. The unit was equipped with a Pathrunner 3D7 Class I inertial profiler system manufactured by Pathway Services, Inc. The equipment information for this profiler is shown in Table 1.

Table 1: Profiler Information

Model	2020 Pathrunner 3D7
Unit Number	149
VIN	1FTBW1DGXLKA15425

The profiler achieved satisfactory results for the vertical verification, longitudinal verification, and accelerometer verification. The repeatability and accuracy values are given in Table 2 below.



Table 2: Cross-Correlation Results for Pathrunner 3D7 #149

Cross-Correlation	Rough	Medium	Smooth	OGFC
Repeatability, %	97	97	96	3%
Accuracy, %	92	95	91	

The data in Table 2 meet the requirements of certification outlined above. Based on these results, the profiler has been issued an AASHTO R 56, Class 1 certification.

Sincerely,



Mr. Grant Julian  
NCAT Assistant Research Engineer  
Inertial Profiler Training Coordinator

# Appendix B

## Current NJDOT Personnel Roles

<p>Pavement Management Unit Role <i>(Primary Personnel/ Secondary Personnel)</i></p>
<p>Pavement Management Engineer <i>(Sue Gresavage / Narinder Kohli)</i></p>
<p>Pavement Management Section Leader <i>(Hermean Matthews / Haidy Abdu)</i></p>
<p>Field Crew Data Manager Team Leader <i>( Greg Walters)</i></p>
<p>Data Collection Team Leader <i>(Nick Hayduk)</i></p>
<p>Office Data Reduction Team Leader <i>(Haidy Abdu / Bela Kotwal)</i></p>

# Appendix C

## Verification Procedure for Third Party Data Collectors

# Data Collection Verification Procedure for NJDOT and Third Party Data Collectors

As part of the New Jersey Department of Transportation Data Quality Manual a set of data quality standards were established for reporting HPMS data. The following document is meant to serve as a guidance to all agencies collecting network level HPMS data on behalf of NJDOT. This guidance document describes the location of verification testing, reporting of verification data, timeline of verification testing, and NJDOT acceptance criteria for all HPMS data items.

## *Verification Sites*

### **IRI Control Verification Site (Distance/IRI Ground Truth)**

The NJDOT IRI verification site is currently located at a decommissioned rest stop on 295 South, milepost 49.9 (“Howard Stern Rest Area”). The intention of the IRI Control Site is to provide a normalized location at which the NJDOT data collection van and other profilers can conduct standard measurements to use as a verification that the equipment is functioning properly while in motion. The NJDOT Pavement Management Unit (PMU) established this Surveyed IRI Control Verification site in 2014 with varying degrees of smoothness. The site has two lanes with four wheelpaths identified in total. The wheelpaths are each situated so that a high-speed profiler (with the capability to measure both wheelpaths simultaneously) can collect wheelpaths 1 & 2 and 3 & 4 at the same time respectively. The surveyed control site will be used for profile verification as well as distance verification.

### **Distress Data Verification Site**

NJDOT PMU will select the verification site that will be utilized for the distress data verification each year. The site will be selected within close proximity to the NJDOT headquarters to ensure the verification section can be collected easily throughout the collection cycle. Once the distress verification section has been selected annually, the extents will be shared with any party determined by NJDOT to be responsible for HPMS network level pavement data collection. All parties, as well as the NJDOT Field Crew will be expected to collect measurements for this verification section monthly during a data collection cycle.

The distress verification site will be selected using data collected by NJDOT’s data collection vehicle in the prior year’s collection cycle. The sites should include the following:

- Variety of pavement cracking (pattern, longitudinal, transverse, wheelpath longitudinal, wheelpath pattern)
- Measurable rutting
- Measureable faulting
- Area of cracked concrete slabs

## *Operator and Equipment Verification Logs*

All equipment verification data has a shelf life of one month, which means that verification tests for all vehicle subsystems must be performed within one month of distress verification and supplied to NJDOT with all required data. Once the one month shelf life of verification data has been exceeded, new monthly verification data must be collected before more network data is collected. The logs must show the date of testing, name of operator who performed the test, and if the equipment passed or failed the verification test at a minimum. NJDOT reserves the right to request the raw data files associated with all verifications during the year of collection. These logs should consist of the following equipment components at a minimum:

- Laser(s) (height measurement);
- Global Positioning Systems (GPS);
- Downward Facing Camera (crack measurement);
- Forward Facing HD Camera(s) (R.O.W. imaging);
- Distance Measuring Instrument (DMI);
- Inertial Profiler (IRI measurements).

## *Verification Acceptance Criteria*

All profilers used to collect IRI and distress data for NJDOT must be verified prior to collection and once per month during collection to ensure the equipment and operators are measuring accurate values. Any data collected outside the range of valid acceptance criteria and timeframe will be rejected and require recollection before acceptance at the discretion of NJDOT.

### **Annual IRI Verification (Pre-Collection)**

Minimum of 10 profiles per each of the 2 lanes that have MRI values within 5% of reference value and a maximum covariance of 3%.

*Note: All profilers must pass annual IRI acceptance criteria before collecting on behalf of NJDOT.*

### **Monthly IRI Verification (During Collection)**

Minimum of 5 profiles per lane that have MRI values within 5% of reference value and a maximum covariance of 3%.

*Note: Annual (10 profile) IRI verification is good for one month from data collection, all subsequent months only require 5 profiles to meet acceptance criteria.*

### **Annual Distress Verification (Pre-Collection)**

Minimum of 10 repeat runs performed in one day over the chosen yearly distress verification site. The 10 data runs for all automated data collection vehicles or manual distress raters should report similar condition metrics for the same location.

### **Monthly Distress Verification (During Collection)**

Minimum of 3 repeat runs performed in one day over the chosen yearly distress verification site. The 3 data runs for all automated data collection vehicles or manual

distress raters should report similar condition metrics for the same location.  
*Note: Annual (10 run) distress verification is good for one month from data collection, all subsequent months only require 3 runs to meet acceptance criteria.*

## Verification Data Reporting

In order for NJDOT to review and approve equipment and operator verification submissions, IRI and Distress verification data must be submitted to NJDOT in the following formats.

### Annual and Monthly IRI Verification

Summary data should be delivered to NJDOT in the supplied excel forms shown below. Additionally, all raw .ERD files must also be supplied for verification analysis.

Consultant Name (Date)														
File	Profile	IRI (in/mi)		File	Profile	IRI (in/mi)		File	Profile	IRI (in/mi)		File	Profile	IRI (in/mi)
Operator Name														
Driver Name														

Figure 1: Profile Verification Form

## Distress Verification

An excel template will be supplied to report summary data in the following format.

1	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1	Route	Direction	MilePost Start	MilePost End	Run	Pavement Type	Test date	Operator 1	Operator 2	Operator 3	IRI	Rut	Fault	Wheelpath Cracking	% of slabs with cracks
2	295	North	44	44.1	1										
3	295	North	44.1	44.2	1										
4	295	North	44.2	44.3	1										
5	295	North	44.3	44.4	1										
6	295	North	44.4	44.5	1										
7	295	North	44.5	44.6	1										
8	295	North	44.6	44.7	1										
9	295	North	44.7	44.8	1										
10	295	North	44.8	44.9	1										
11	295	North	44.9	45	1										
1	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1	Route	Direction	MilePost Start	MilePost End	Run	Pavement Type	Test date	Operator 1	Operator 2	Operator 3	IRI	Rut	Fault	Wheelpath Cracking	% of slabs with cracks
102	295	North	44	44.1	2										
103	295	North	44.1	44.2	2										
104	295	North	44.2	44.3	2										
105	295	North	44.3	44.4	2										
106	295	North	44.4	44.5	2										
107	295	North	44.5	44.6	2										
108	295	North	44.6	44.7	2										
109	295	North	44.7	44.8	2										
110	295	North	44.8	44.9	2										
111	295	North	44.9	45	2										
1	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1	Route	Direction	MilePost Start	MilePost End	Run	Pavement Type	Test date	Operator 1	Operator 2	Operator 3	IRI	Rut	Fault	Wheelpath Cracking	% of slabs with cracks
202	295	North	44	44.1	3										
203	295	North	44.1	44.2	3										
204	295	North	44.2	44.3	3										
205	295	North	44.3	44.4	3										
206	295	North	44.4	44.5	3										
207	295	North	44.5	44.6	3										
208	295	North	44.6	44.7	3										
209	295	North	44.7	44.8	3										
210	295	North	44.8	44.9	3										
211	295	North	44.9	45	3										



# Data Submission Timeline

The verification results will be returned no more than one week after the required data from both NJDOT PMU (Pathways Pathrunner) and the third party collector are provided. Both IRI and Distress verification testing are expected to be performed within 48 hours of each other to maintain a consistent acceptance timeline.

## Pre-Collection Phase

Prior to network collection all data collectors must perform and pass the NJDOT acceptance criteria for IRI and distress identification as explained above. All operator and equipment certification should also be supplied with the initial data verification reports annually.

Annual Data Verification			
Data Item	Description	Quantity	Timeframe
IRI	2 lanes each 528 feet long	10 runs per lane for all profilers	Submit data to NJDOT within 2 weeks of IRI/Distress verification testing  NJDOT will accept/reject verification within 1 week of receiving data
Distress	Collect data in right lane to verify the ability to identify wheelpath cracking, rutting, slab cracking, and joint faulting	10 runs per automated vehicle or manual distress rater	Submit data to NJDOT within 2 weeks of IRI/Distress verification testing  NJDOT will accept/reject verification within 1 week of receiving data
Operator certification	Certificate	1 per operator	Submit to NJDOT prior to network data collection beginning

Equipment certification	Certificate	1 per data collection equipment	Submit to NJDOT prior to network data collection beginning
-------------------------	-------------	---------------------------------	--

### During Collection Phase

If data collection exceeds one month, all data collection vehicles and operators must perform monthly IRI and distress verification procedures beginning one month after the initial pre-collection acceptance criteria has been satisfied.

Monthly Data Verification			
Data Item	Description	Quantity	Timeframe
IRI	2 lanes each 528 feet long	5 runs per lane for all profilers	Submit data to NJDOT within 2 weeks of IRI/Distress verification testing  NJDOT will accept/reject verification within 1 week of receiving data
Distress	Collect data in right lane to verify the ability to identify wheelpath cracking, rutting, slab cracking, and joint faulting	3 runs per automated vehicle or manual distress rater	Submit data to NJDOT within 2 weeks of IRI/Distress verification testing  NJDOT will accept/reject verification within 1 week of receiving data
Equipment logs	List of all equipment issues and the resolution action taken	1 per piece of equipment	Submit logs with monthly verification data

### Post-Collection Phase

After network data collection is completed all final data and logs must be supplied to the NJDOT- Bureau of Transportation Data and Support for HPMS submission.

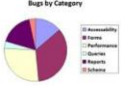
Annual Data Reporting/Acceptance			
Data Item	Description	Quantity	Timeframe
HPMS data	All distress items necessary for HPMS data submission	NA	Submit all HPMS data to BTDS no later than 12/31 of the collection year but preferably as soon after collection as possible
HPMS data log	Date of testing, operator name, and equipment name used for each data item	1	Submit all logs with HPMS data submission
Data quality review logs	List of all data reviewed, any quality issues identified and the resolution action taken	1	Submit all logs with HPMS data submission
Equipment logs	List of all equipment issues, dates of the issues and resolutions, and the resolution action taken	1 per piece of equipment	Submit all logs with HPMS data submission

# Appendix D

NJDOT Data Quality Database

# Report Center


The Sue Report




Bugs by Category


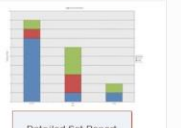
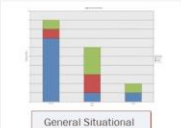
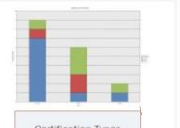
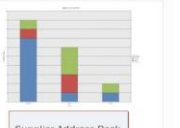
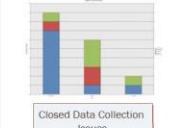
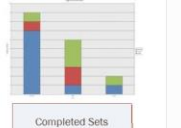
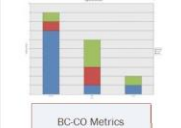
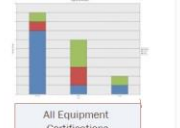


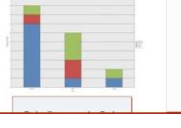

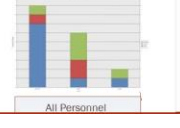
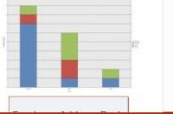
- Accessibility
- Errors
- Performance
- Quality
- Reports
- UI/UX

None



None



Data Collection Equipment Issues; Personnel Shortage; Weather	Pathways Processing, Set List, and Data	Network Data QA	Certifications and Verifications for Personnel and Equipment	Employees, Users, Suppliers, and Inventory
 Current Data Collection Issues	 Detailed Set Report	 General Situational Metrics	 Certification Types	 Supplier Address Book
 Closed Data Collection Issues	 Completed Sets	 BC-CO Metrics	 All Equipment Certifications	 Supplier Phone List
 Data Collection Issues	 Data Collection Issues	 Data Collection Issues	 All Personnel	 Data Collection Issues

Certification Equipment New
✕

## New Equipment Verification

Save and New
Cancel

Date Verification	<input type="text"/>
Employee that Conducted Test	<input style="border-bottom: 1px solid #ccc; border-top: 1px solid #ccc; border-right: 1px solid #ccc; border-left: 1px solid #ccc; width: 100%;" type="text"/>
Verification Name	<input style="border-bottom: 1px solid #ccc; border-top: 1px solid #ccc; border-right: 1px solid #ccc; border-left: 1px solid #ccc; width: 100%;" type="text"/>
Equipment Type	<div style="border: 1px solid #ccc; padding: 2px;">           Block Test            Bounce Test            DMI Verification            Gauge Blocks Verification            GPS Verification            IRI Verification            Pathways Annual Equipment Verification (Everett)            Rut Verification            Rutgers SurPro Bridge Deck Profiler Cert         </div>
Equipment Name	<input type="text"/>
Did the Verification Pass?	<input type="text"/>
Comments	<input type="text"/>
Attachment	<input type="text"/>
ID	<input type="text" value="(New)"/>
Username:	<input type="text" value="cnsltmboxer"/>
Verification Type	<input type="text" value="Equipment Verification"/>

Record: 1 of 1 Unfiltered Search

Certification Personnel New X

## New Personnel Certification

Save and New Cancel

Date of Certification:

Employee:

Certification Name:

Equipment Name:

Did the Certification Pass?:

Comments:

Attachment:

Username:

Data Collection Issues New X

## Create New Data Collection Issue

Save and Create New Data Collection Issue Cancel

Summary/Title of the Data Collection Issue:

Description of the Data Collection Issue:

Priority:

Category:

Project:

Keywords:

DueDate:

OpenedBy:

Attachment:

ReportedBy:

To:

# Add a New Set

Save and Create New Set

Cancel

Weather from Set Sheet (i.e. 65, Sunny):

Set Number:

SRI:

Testing Direction:

MP\_Start:

MP\_End:

Test Mileage:

Equipment Name:

Project:

Personnel Driver:

Personnel Operator Front:

Personnel Operator Rear:

Notes about the Set:

Received A New Set!

DueDate:

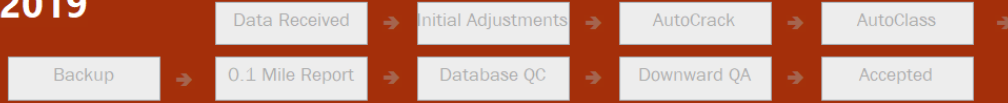
Keywords:

OpenedBy:

AssignedTo:

Create Set

# Set # 801\_2019



Save    Print    Email    Milepost Adjustment New    Add New Set    ↓ ↑

Status: **(1) Data Recieved**

SRI: 00000004\_\_    Direction:

Set Number: 801    MP\_Start:    MP\_End:    Set Mileage:

Summary: Set 803

Project: NJDOT Network Collection

Equipment Name:

Driver:    Operator Front:    Operator Rear:

Assigned To: cnsltjhencken    Transfer File Size (Images) (Gb): 234.00

Opened By:    Transfer File Size (Perspective) (Gb): 211.00

Opened Date: 5/1/2019    Transfer File Size (Texture) (Gb): 34.00

Due Date:    Storage Drive Location: A:

ClosedDate:    Backup Drive Location: E:

c.11 File Name:    Pathways Build Number:

Keywords:    Attachments:

### Comments

Add A Comment...

*Edited by cnsltjhencken at 5/8/2019 9:10:07 PM*

**5/7/2019 12:30:02 PM by cnsltjhencken**

New Comment to try

*Status changed to (1) Data Recieved by cnsltjhencken at 5/1/2019 7:42:58 PM*

**5/1/2019 7:38:17 PM by cnsltjhencken**

Received Set 803



Downward QA Results New

Save and Create New Set ID  Date Review:  Cancel

Set Number  Route  MP Start  Cardinal Direction  Pavement Type (Actual)   
 SRI  MP End  Testing Direction (I or

---

### General Metrics

Image Clarity

Image Brightness

Dry Pavement

Pavement Type

Image Replay

Missing Images

Pot Holes (Count)

Pot Holes (Area sqft)

Pot Holes (Average)

Depression (Area sqft)

Manual Longitudinal Joint (Trigger ON/OFF)

### Asphalt Metrics

**Multiple Cracking (%Area)**

Sligh  Moderat  Sever

**Transverse Cracking (%Area)**

Sligh  Moderat  Sever

**Longitudinal Cracking (%Area)**

Sligh  Moderat  Sever

**WheelPath Multiple Cracking (%Area)**

Sligh  Moderat  Sever

**WheelPath Longitudinal Cracking (%Area)**

Sligh  Moderat  Sever

**Raveling (%Area)**

Sligh  Moderat  Sever

### Concrete Metrics

**RC Cracking (%Area)**

Sligh  Moderat  Sever

**RC Other**

RC Joints (Count)

RC Slabs (Count)

RC Cracked Slabs (Count)

RC Faulting (Max)

RC Spalling (Area sqft)

Employee Details

Untitled

Go to  Save and New E-mail Close

**General**

First Name

Last Name

Company

Job Title

**Phone Numbers**

Business Phone

Home Phone

Mobile Phone

Fax Number

**Address**


Street

City

State/Province

Zip/Postal Code

Country/Region



E-mail

Web Page

Does this person ever conduct Field Data Collection?

Notes

Everett Schmitz

Go to  Save and New E-mail Save As Outlook Contact

Supplier Info Equipment Inventory Config Files

First Name

Last Name

Company

Job Title

**Phone Numbers**

Business Phone

Home Phone

Mobile Phone

Fax Number

**Address**

Street

City

State/Province

Zip/Postal Code

Country/Region

E-mail

Web Page

Notes

Everett Schmitz

Go to  Save and New E-mail Save As Outlook Contact

Supplier Info Equipment Inventory Config Files

Equipment Name	Equipment T	Equipment_ID	Model	VIN Number	License Plate	Manufacture	Discontinued
Pathways 1	Automated Cra	Pathways 1 (123456; Model 1		12345678Z	123XBC		<input type="checkbox"/>
Pathways 2	Automated Cra	Pathways 2 (abcdefg Model 3		abcdefghij1			<input type="checkbox"/>

Everett Schmitz

Go to  Save and New E-mail Save As Outlook Contact

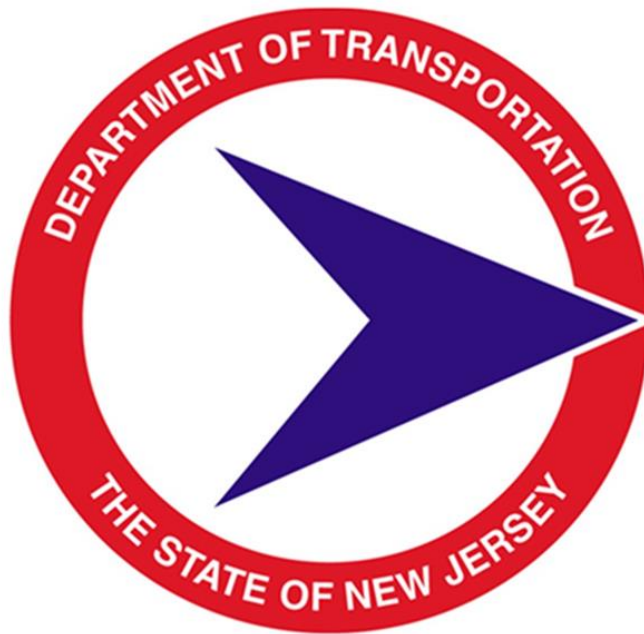
Supplier Info Equipment Inventory Config Files

Pathways CF1 File	Pathways C11 File	Pathways Placeholder 1	Pathways Placeholder 2	Pathways Placeh
<input type="text" value="🗑️(1)"/>	<input type="text" value="🗑️(0)"/>	<input type="text" value="🗑️(2)"/>	<input type="text" value="🗑️(0)"/>	<input type="text" value="🗑️(0)"/>
* <input type="text" value="🗑️(0)"/>	<input type="text" value="🗑️(0)"/>	<input type="text" value="🗑️(0)"/>	<input type="text" value="🗑️(0)"/>	<input type="text" value="🗑️(0)"/>

# Appendix E

## NJDOT Distress Inspection Manual

**NJDOT  
Pavement Distress Guidelines**



**July 2020**

# Introduction

This manual identifies the process for performing surface distress ratings for NJDOT pavements. The procedure follows methodology established by the NJDOT, HPMS Field Manual, and the LTPPP Distress Identification Manual.

The primary distresses relevant to the Department fall within the following categories:

## Asphalt

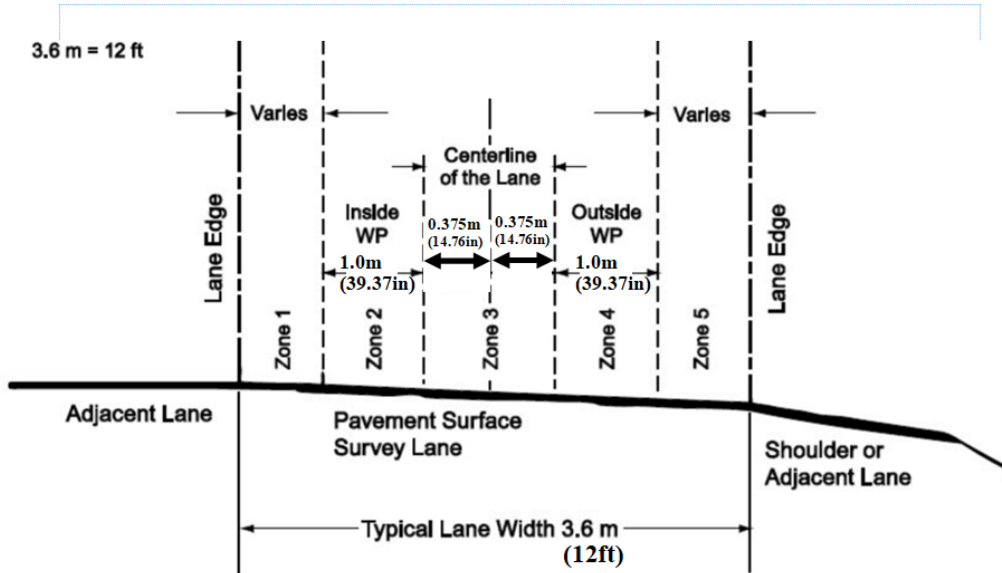
Pattern (Fatigue) Cracking  
Longitudinal Cracking  
Transverse Cracking  
Rutting

## Concrete

Longitudinal Joint Spalling  
Transverse Joint Spalling  
PCC Cracking

Each road is examined for these types of distresses, the severity of each distress and the extent of each distress. The severity is based on a **Slight (SL)**, **Moderate (MOD)** and **Severe (SEV)** rating. The extent is determined as a percent of the distress for each sample unit. The percentage can be estimated or measurements are estimated and then compared to the area of the sample unit to determine a percentage.

# Wheel Path Layout



NJDOT categorizes pattern cracking and longitudinal cracking as wheelpath and non-wheelpath.

\*Longitudinal cracking in zone 2 and 4 correspond to wheelpath longitudinal cracking, while longitudinal cracking in zone 1, 3, and 5 is categorized as longitudinal cracking.

\*\*Pattern cracking in zone 2 and 4 correspond to wheel-path pattern cracking, while pattern cracking in zone 1, 3, and 5 is categorized as pattern cracking.

# Asphalt

## Pattern (Fatigue) Cracking

### Description

A series of parallel cracks in either longitudinal, transverse, diagonal or block orientation. Can be a series of interconnected cracks in the early stages of development. Develops into many-sided, sharp-angled pieces. May further deteriorate into a pothole.

### Severity Levels:

SLIGHT- An area of cracks with no or only a few connecting cracks; cracks are not spalled or sealed; pumping is not evident.

MODERATE - An area of interconnected cracks forming a complete pattern; cracks may be slightly spalled; cracks may be sealed; pumping is not evident.

SEVERE - An area of moderately or severely spalled interconnected cracks forming a complete pattern; pieces may move when subjected to traffic; cracks may be sealed; pumping may be evident.

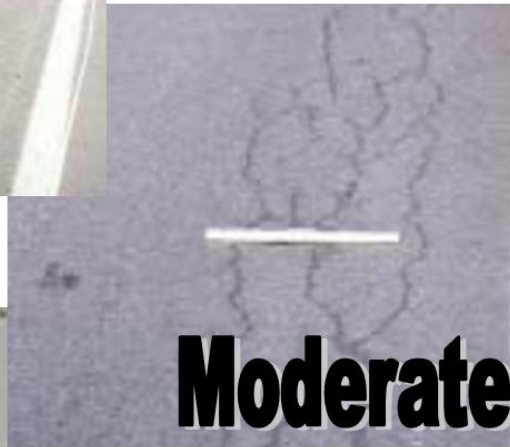
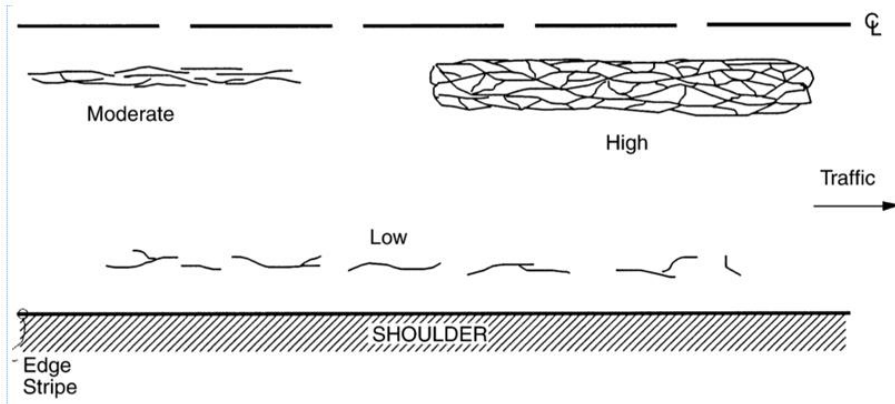
### How to Measure:

Record square feet of affected area. If different severity levels existing within an area cannot be distinguished, rate the entire area at the highest severity present.

### Minimum Area:

4.0 square feet

# Asphalt





# Asphalt

## Longitudinal Cracking

### Description:

Cracks predominantly parallel to pavement centerline. Can be an onset of alligator cracking. Longitudinal cracks may be caused by poor joint construction or location. Joints should be constructed OUTSIDE the wheel path.

### Severity levels:

SLIGHT - A crack with a mean width 1/4 inch; or a **sealed crack** with sealant material in good condition and with a width that cannot be determined.

MODERATE - Any crack with a mean width greater than 1/4 inch and less than or equal to 3/4 inch.

SEVERE - Any crack with a mean width greater than 3/4 inch.

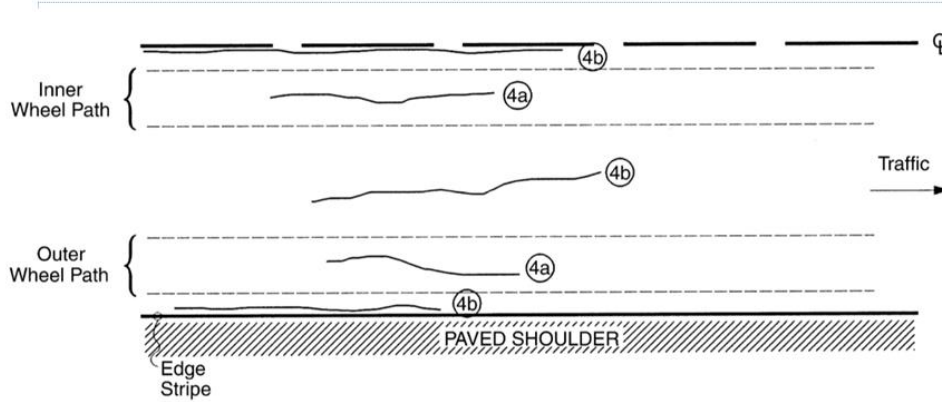
### How to Measure:

Record the length of pavement affected at each severity level. The combined quantity of longitudinal cracking cannot exceed the length of the section.

### Minimum Length:

4.0 feet

# Asphalt



4a - Wheel Path  
4b - Non - Wheel Path



# Asphalt

## Transverse Cracking

### Description:

Cracks predominantly perpendicular to pavement centerline. Transverse cracks may be caused by low temperatures and cracks beneath the surface.

### Severity levels:

SLIGHT - A crack with a mean width 1/4 inch; or a sealed crack with sealant material in good condition and with a width that cannot be determined.

MODERATE - Any crack with a mean width greater than 1/4 inch and less than or equal to 3/4 inch.

SEVERE - Any crack with a mean width greater than 3/4 inch.

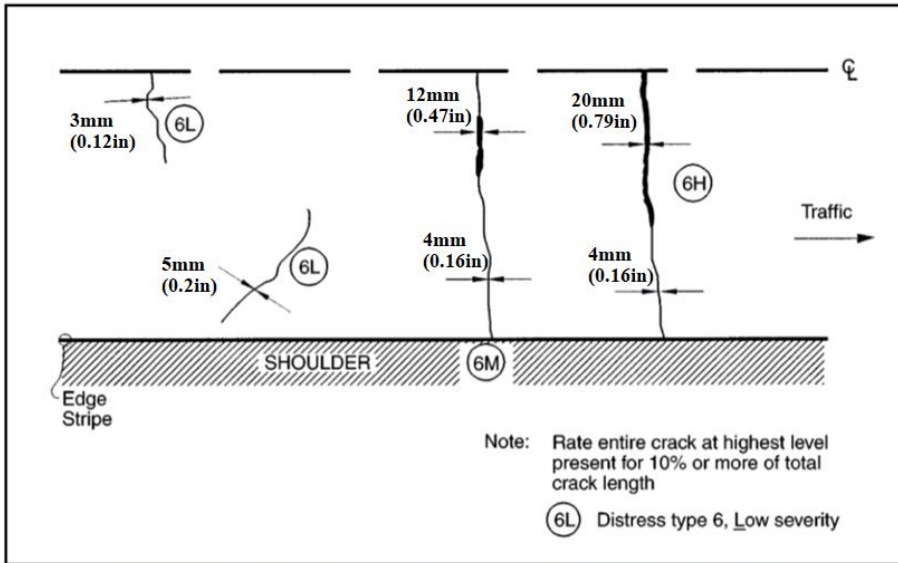
### How to Measure:

Record number and length of transverse cracks at each severity level. Rate the entire transverse crack at the highest severity level present for at least 10 percent of the total length of the crack. Length recorded, in feet, is the total length of the crack and is assigned to the highest severity level present for at least 10 percent of the total length of the crack.

### Minimum Length:

3.0 feet

# Asphalt



# Asphalt

## Rutting

### Description:

A rut is a longitudinal surface depression in the wheel path. It may have associated transverse displacement.

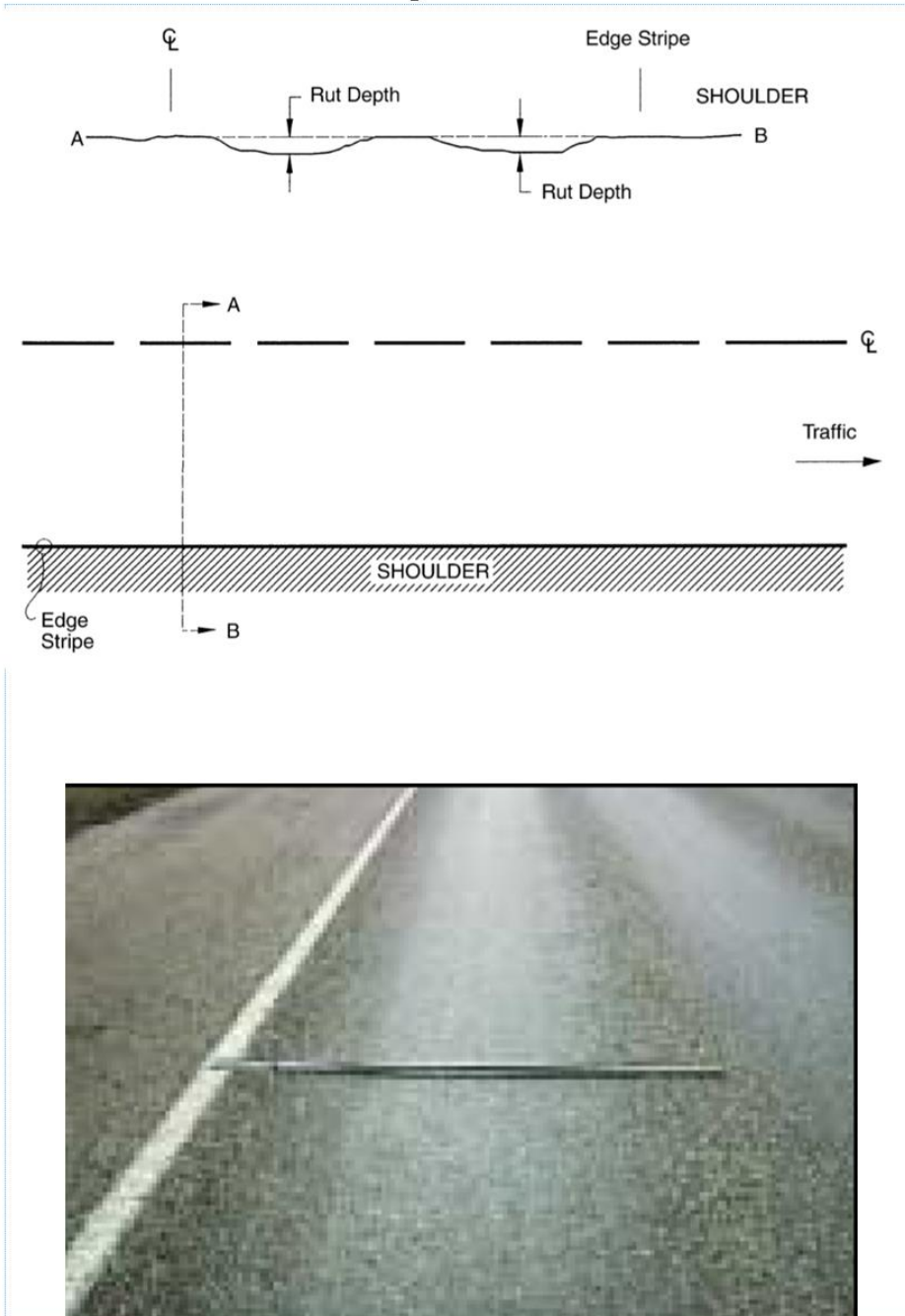
### Severity levels:

Not applicable. Severity levels could be defined by categorizing the measurements taken. A record of the measurements taken is much more desirable, because it is more accurate and repeatable than are severity levels.

### How to Measure:

Record the average rut depth to the nearest 1/100th inch at given intervals for each wheel path.

# Asphalt



# Asphalt

## Patching

### Description:

Portion of pavement surface greater than or equal to 10.76 sq ft that has been removed and replaced or additional material applied to the pavement after original construction.

### Severity levels:

**SLIGHT** - Patch has, at most, low severity distress of any type including rutting < 1/4 in. Pumping is not evident, and there is no loss of patching material.

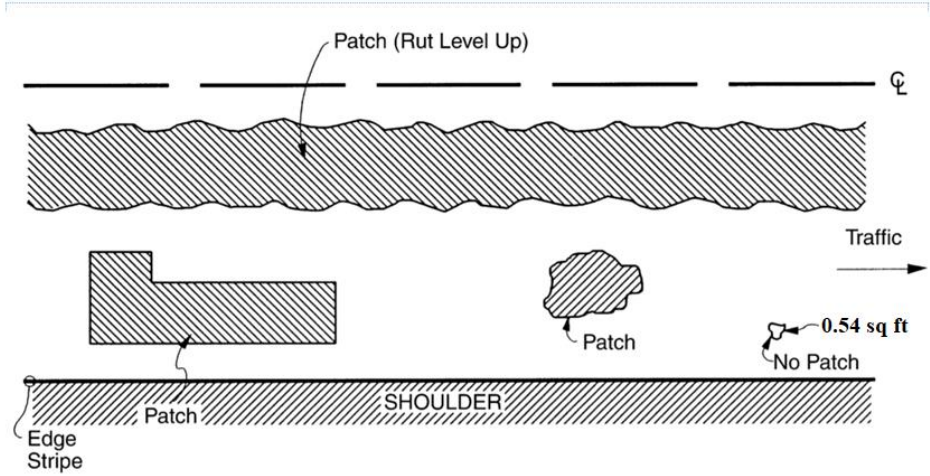
**MODERATE** - Patch has moderate severity distress of any type or rutting from 1/4 to 1/2 in; pumping is not evident.

**SEVERE** - Patch has high severity distress of any type including rutting > 1/2 in, or the patch has additional different patch material within it. Pumping may be evident.

### How to Measure:

Record the area of affected surface at each severity level. Surface patches are limited to those with patching material that contain aggregate. If a surface patch has worn away, revealing an underlying distress, or if the underlying distress has reflected through the surface patch and the distress' existence can be verified on prior surveys, then also rate the distress. Any new distress in the original pavement layer in the patched area should also be rated. Distresses in the patched area affect the severity level of the patch. Patches with no distress are rated low severity.

# Asphalt





# Asphalt

## Patching

### Description:

Portion of pavement surface greater than or equal to 10.76 sq ft that has been removed and replaced or additional material applied to the pavement after original construction.

### Severity levels:

SLIGHT - Patch has, at most, low severity distress of any type including rutting < 1/4 in. Pumping is not evident, and there is no loss of patching material.

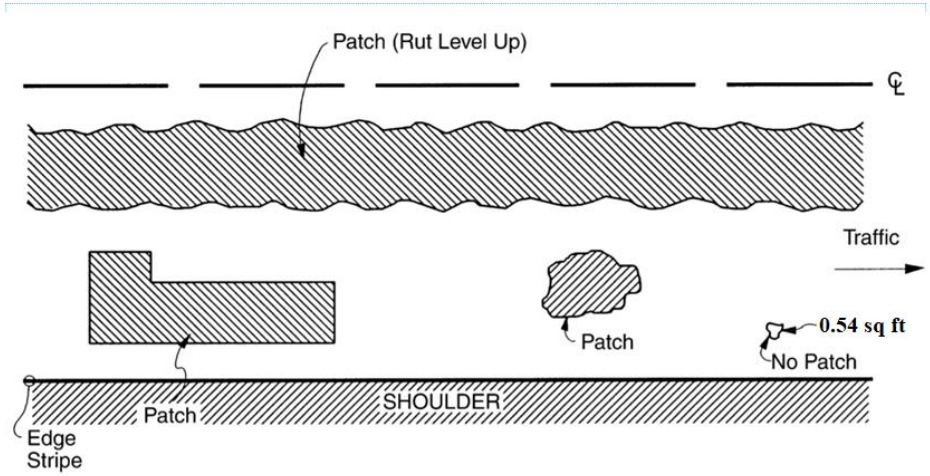
MODERATE - Patch has moderate severity distress of any type or rutting from 1/4 to 1/2 in; pumping is not evident.

SEVERE - Patch has high severity distress of any type including rutting > 1/2 in, or the patch has additional different patch material within it. Pumping may be evident.

### How to Measure:

Record the area of affected surface at each severity level. Surface patches are limited to those with patching material that contain aggregate. If a surface patch has worn away, revealing an underlying distress, or if the underlying distress has reflected through the surface patch and the distress' existence can be verified on prior surveys, then also rate the distress. Any new distress in the original pavement layer in the patched area should also be rated. Distresses in the patched area affect the severity level of the patch. Patches with no distress are rated low severity.

# Asphalt



# Concrete

## Longitudinal Joint Spalling

### Description:

Cracking, breaking, chipping, or fraying of slab edges within 1.0 ft from the face of the longitudinal joint.

### Severity levels:

SLIGHT - Spalls < 3.0 in wide, measured to the face of the joint, with loss of material, or spalls with no loss of material and no patching.

MODERATE - Spalls 3.0 in to 6.0 in wide, measured to the face of the joint, with loss of material.

SEVERE - Spalls > 6.0 in wide, measured to the face of the joint, with loss of material or is broken into two or more pieces or contains patch material.

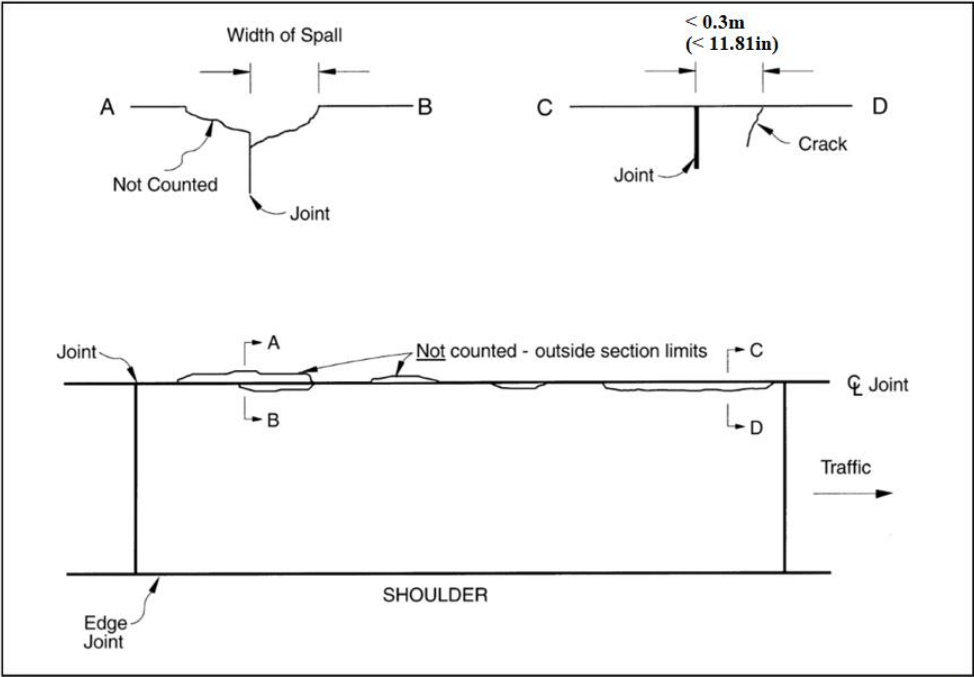
### How to Measure:

Record length in feet of longitudinal joint affected at each severity level. Spalls that have been repaired by completely removing all broken pieces and replacing them with patching material (rigid or flexible) should be rated as a patch. If the boundaries of the spall are visible, then also rate as a high severity spall. Note: All patches meeting size criteria are rated as patches.

### Minimum Length:

4.0 feet

# Concrete



# Concrete

## Transverse Joint Spalling

### Description:

Cracking, breaking, chipping, or fraying of slab edges within 1.0 ft from the face of the transverse joint.

### Severity levels:

SLIGHT - Spalls < 3.0 in wide, measured to the face of the joint, with loss of material, or spalls with no loss of material and no patching.

MODERATE - Spalls 3.0 in to 6.0 in wide, measured to the face of the joint, with loss of material.

SEVERE - Spalls > 6.0 in wide, measured to the face of the joint, with loss of material or is broken into two or more pieces or contains patch material.

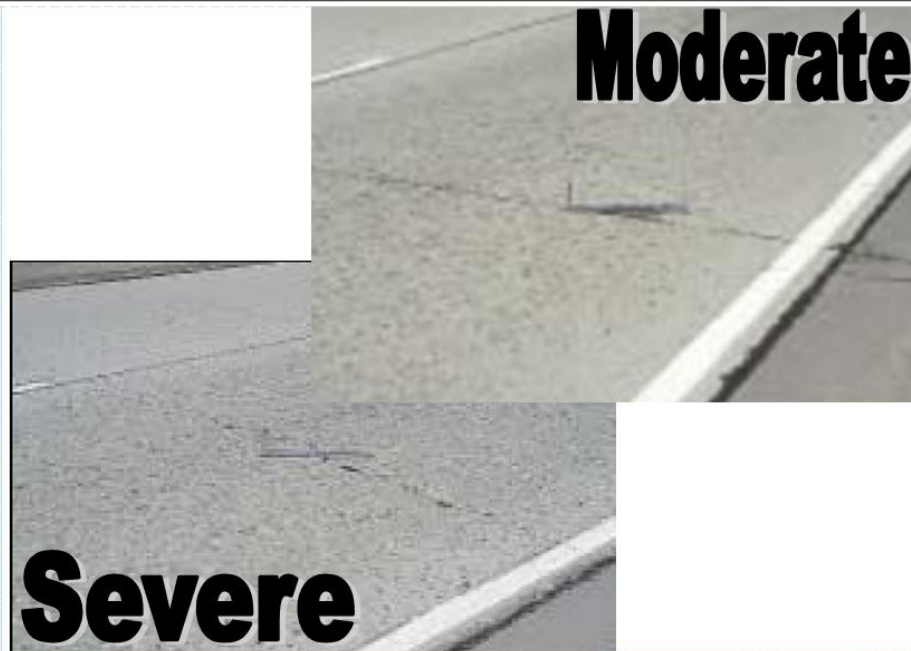
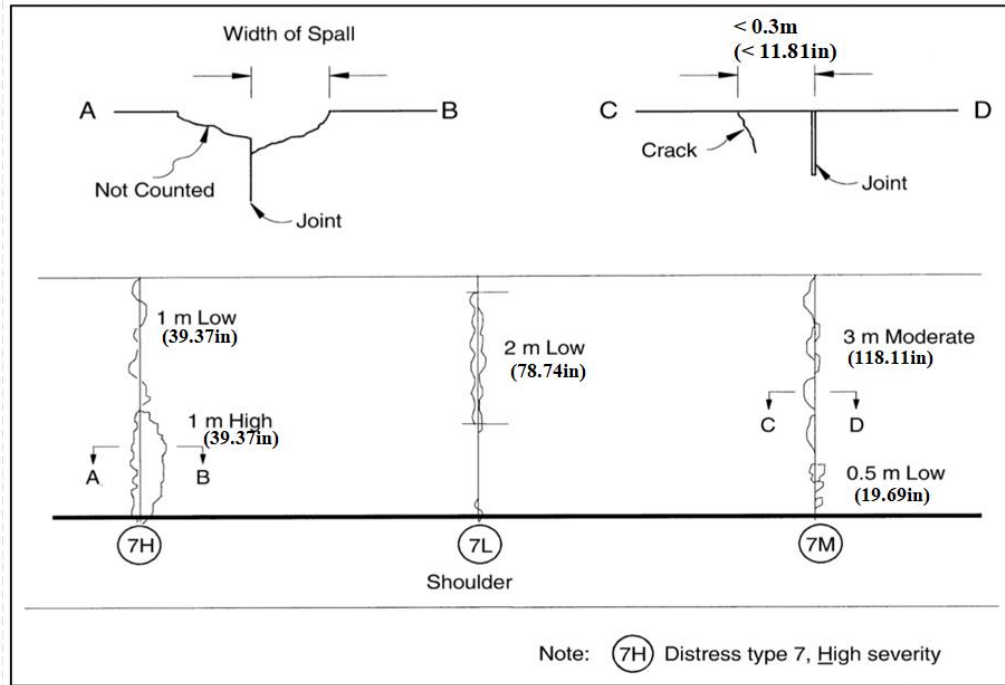
### How to Measure:

Rate the entire transverse joint at the highest severity level present for at least 10 percent of the total length of the spalling. Record length in feet of the spalled portion of the joint at the assigned severity level for the joint. Spalls that have been repaired by completely removing all broken pieces and replacing them with patching material (rigid or flexible) should be rated as a patch. If the boundaries of the spall are visible, then also rate as a high severity spall. Note: All patches meeting size criteria are rated as patches.

### Minimum Length:

3.0 feet

# Concrete



# Concrete

## Longitudinal Cracking

### Description:

Cracks that are predominantly parallel to the pavement centerline.

### Severity levels:

SLIGHT - Crack widths  $< 0.1$  in, no spalling and no measurable faulting; or well-sealed and with a width that cannot be determined.

MODERATE - Crack widths  $\geq 0.1$  in and  $< 1/2$  in; or with spalling  $< 3.0$  in; or faulting up to  $1/2$  in.

SEVERE - Crack widths  $\geq 1/2$  in; or with spalling  $\geq 3.0$  in; or faulting  $\geq 1/2$  in.

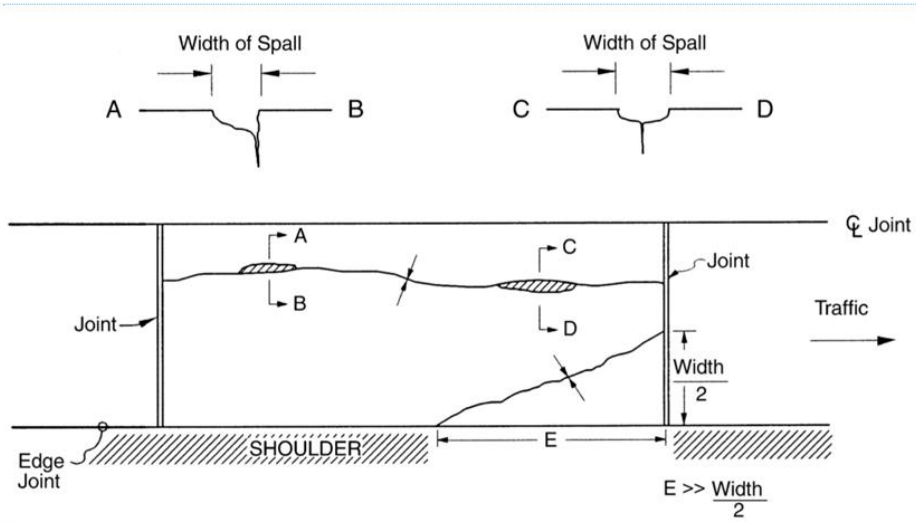
### How to Measure:

Record length in feet of longitudinal cracking at each severity level. Also record length in feet of longitudinal cracking with sealant in good condition at each severity level.

### Minimum Length:

3.0 feet

# Concrete





# Concrete

## Transverse Cracking

### Description:

Cracks that are predominantly perpendicular to the pavement centerline.

### Severity levels:

SLIGHT - Crack widths  $< 0.1$  in, no spalling and no measurable faulting; or well-sealed and the width cannot be determined.

MODERATE - Crack widths  $\geq 0.1$  in and  $< 1/4$  in; or with spalling  $< 3.0$  in; or faulting up to  $1/4$  in.

SEVERE - Crack widths  $\geq 1/4$  in; or with spalling  $\geq 3.0$  in; or faulting  $\geq 1/4$  in.

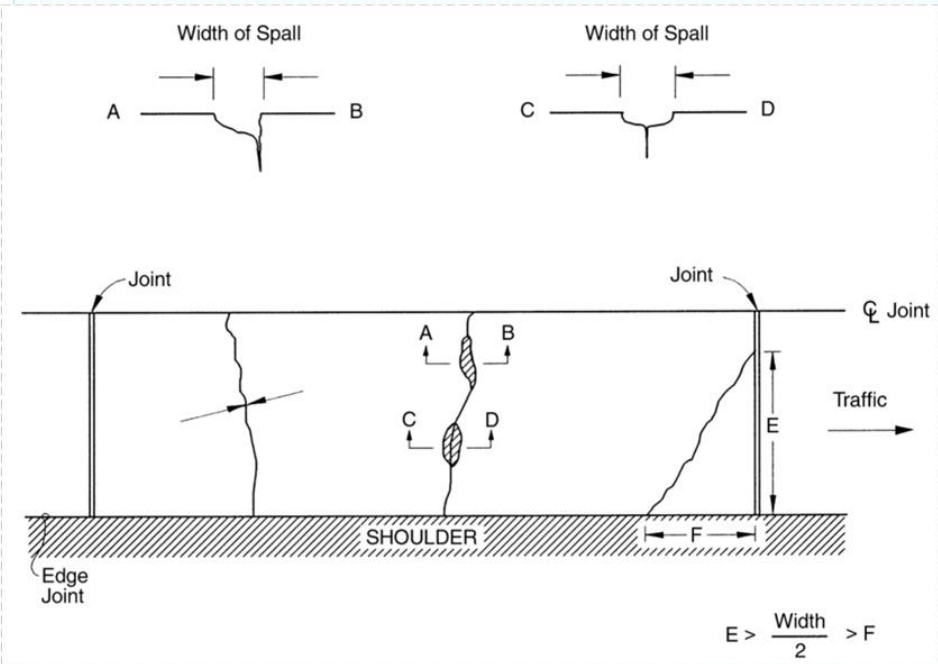
### How to Measure:

Record number and length of transverse cracks at each severity level. Rate the entire transverse crack at the highest severity level present for at least 10 percent of the total length of the crack. Length recorded, in feet, is the total length of the crack and is assigned to the highest severity level present for at least 10 percent of the total length of the crack.

### Minimum Length:

3.0 feet

# Concrete



# Concrete

## Faulting

### Description:

Difference in elevation across a joint or crack.

### Severity levels:

Not applicable. Severity levels could be defined by categorizing the measurements taken. A record of the measurements taken is much more desirable, because it is more accurate and repeatable than are severity levels.

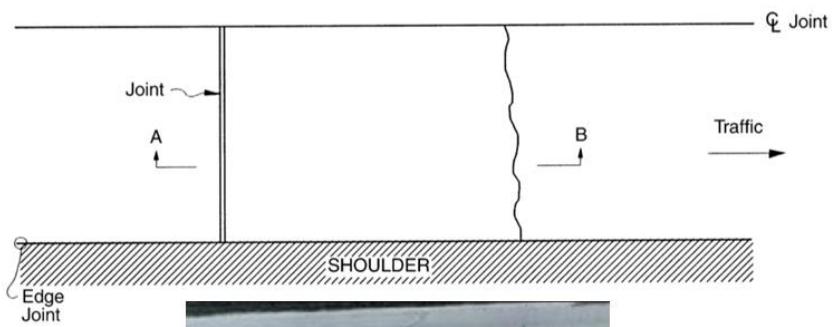
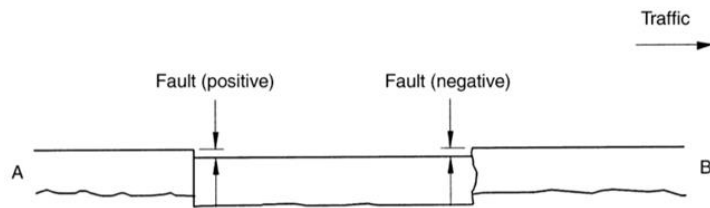
### How to Measure:

Record in inches: If the approach slab is higher than the departure slab, record faulting as positive; if the approach slab is lower, record faulting as negative.

### Minimum Length:

Not applicable.

# Concrete



# Concrete

## Patching

### Description:

A portion (greater than or equal to 10.76 sq ft) or all of the original concrete slab that has been removed and replaced or additional material applied to the pavement after original construction.

### Severity levels:

SLIGHT - Patch has, at most, low severity distress of any type, no measurable faulting or settlement, and there is no loss of patching material. Pumping is not evident.

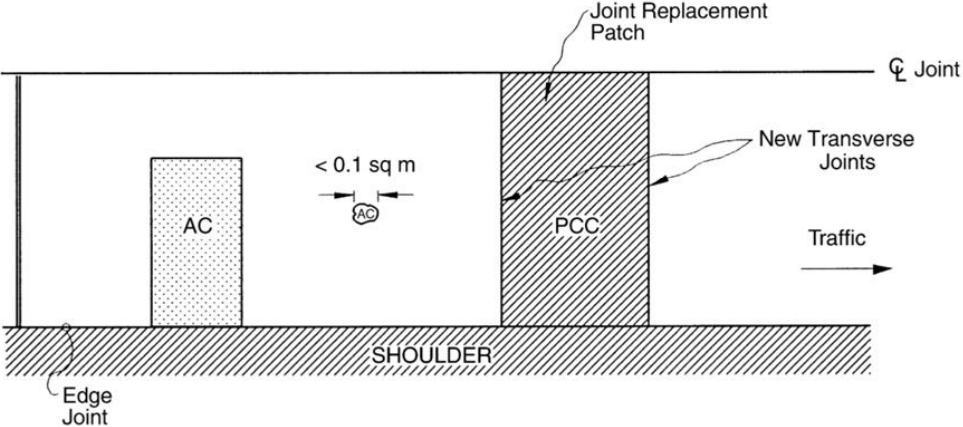
MODERATE - Patch has moderate severity distress of any type or faulting or settlement up to 1/4 in. Pumping is not evident.

SEVERE - Patch has a high severity distress of any type; or, faulting or settlement is  $\geq 1/4$  in, or the patch has additional material within it. Pumping may be evident.

### How to Measure:

Record the area of affected surface at each severity level. For slab replacement, rate each slab as a separate patch and continue to rate joints. Note: Surface flexible patches are limited to those with patching material that contain aggregate.

# Concrete



# Asphalt

## NDI (Non-Load Distress Index)

$$(500 - (((\% \text{ of slight pattern cracking}/100) * 3.2 * 220) + ((\% \text{ of moderate pattern cracking}/100) * 3.6 * 220) + ((\% \text{ of severe pattern cracking}/100) * 4 * 220) + ((\% \text{ of slight transverse cracking}/100) * 3.2 * 140) + ((\% \text{ of moderate transverse cracking}/100) * 3.6 * 140) + ((\% \text{ of severe transverse cracking}/100) * 4 * 140) + ((\% \text{ of slight longitudinal cracking}/100) * 3.2 * 140) + ((\% \text{ of moderate longitudinal cracking}/100) * 3.6 * 140) + ((\% \text{ of severe longitudinal cracking}/100) * 4 * 140)))/100$$

## LDI (Load Distress Index)

$$= (500 - (((((\% \text{ of slight longitudinal cracking in the wheel path})/100) * 140 * 3.2) + (((\% \text{ of moderate longitudinal cracking in the wheel path})/100) * 140 * 3.6) + (((\% \text{ of severe longitudinal cracking in the wheel path})/100) * 4 * 140) + (((\% \text{ of slight pattern cracking in the wheel path})/100) * 210 * 3.2) + (((\% \text{ of moderate pattern cracking in the wheel path})/100) * 210 * 3.6) + (((\% \text{ of severe pattern cracking in the wheel path})/100) * 210 * 4)) + Rut Factor))/100$$

**If average rut < 0.2in**

$$Rut Factor = 0$$

**If 0.2in < average rut > 0.8in**

$$Rut Factor = 150 * (\text{average rut} - 0.2) * 5/3$$

**If average rut > 0.8in**

$$Rut Factor = 150$$

## SDI (Surface Distress Index)

$$NDI * LDI/5$$

# Concrete

## NDI (Non-Load Distress Index)

$$(500 - (((\% \text{ of slight PCC cracking}/100) * 0.8 * 100) + (\% \text{ of moderate PCC cracking}/100) * 0.9 * 100) + ((\% \text{ of severe PCC cracking}/100) * 1 * 100) + ((\% \text{ of slight PCC longitudinal spalling}/100) * 0.8 * 145) + ((\% \text{ of moderate PCC longitudinal spalling}/100) * 0.9 * 145) + ((\% \text{ of severe PCC longitudinal spalling}/100) * 1 * 145) + ((\% \text{ of slight PCC transverse spalling}/100) * 0.8 * 145) + ((\% \text{ of moderate PCC transverse spalling}/100) * 0.9 * 145) + ((\% \text{ of severe PCC transverse spalling}/100) * 1 * 145)))/100$$

## LDI (Load Distress Index)

$$= (500 - (((((\% \text{ of slight longitudinal cracking in the wheel path})/100) * 140 * 3.2) + ((\% \text{ of moderate longitudinal cracking in the wheel path})/100) * 140 * 3.6) + ((\% \text{ of severe longitudinal cracking in the wheel path})/100) * 4 * 140) + (((\% \text{ of slight pattern cracking in the wheel path})/100) * 210 * 3.2) + (((\% \text{ of moderate pattern cracking in the wheel path})/100) * 210 * 3.6) + (((\% \text{ of severe pattern cracking in the wheel path})/100) * 210 * 4)) + Rut Factor)/100$$

**If average rut < 0.2in**

$$Rut Factor = 0$$

**If 0.2in < average rut > 0.8in**

$$Rut Factor = 150 * (\text{average rut} - 0.2) * 5/3$$

**If average rut > 0.8in**

$$Rut Factor = 150$$

## SDI (Surface Distress Index)

$$NDI * LDI/5$$



# **New Jersey Toll Authority (NJTA)**



**HNTB/AID (Consultants) Data Collection under New Jersey Turnpike  
and Garden State Parkway Roadways**



**Advanced Infrastructure Design, Inc.**

**QUALITY MANAGEMENT  
SUPPORTING DOCUMENTS  
(DATA COLLECTION & ANALYSIS)  
Year 2020**

Annual Pavement Condition Assessment (APCA) and Ride  
Quality and Monitoring Program (2020)

NJTA - A3584, General Consulting Engineering Services

**HNTB**

**August 11, 2020**



**Todd Rothermel,**  
VP, Technology Practice Leader  
HNTB Corporation  
9 Entin Road- Suite 202  
Parsippany, NJ 07054

**Re: NJTA Order for Professional Services No. A3584: General Consulting Engineering Services**  
**HNTB Number: 61402-OM-030, Task Order No. CS3-1**

**Quality Management Supporting Documents: Year 2020**

Dear Mr. Rothermel:

Enclosed please find the supporting documents for the Quality Management Processes that were implemented on Task A (Data Collection), Task B (Image Processing) and Task C (Data Computation and Compilation) of the Data Collection on Year 2020.

Please refer to the summary tables presented in this document that describe Data Collection Equipment, Data Collection Calibration and Certification, Certification process for persons performing manual data collection, Data Quality Control Measures Before and During Data Collection, Data Sampling, Review and Checking Process, Data Quality Standards and Acceptance Procedures.

If you have any questions or require any additional information, please do not hesitate to contact me via phone or email.

Sincerely,

Kaz Tabrizi, PhD, PE  
Executive Vice President and Quality Manager



## **Introduction**

New Jersey Turnpike Authority (NJTA) owns and operates both the New Jersey Turnpike (hereafter referred to as Turnpike) and the Garden State Parkway (hereafter referred to as Parkway), two of the busiest toll roads in America. In most areas, each toll roadway comprises of four lanes for its full length. In the widest areas, the Turnpike and Parkway have up to 14 lanes and 12 lanes, respectively. The total travel lane mileage of each road is approximately 1,200 miles, not including ramps, shoulders, and access roads.

For this project, Advanced Infrastructure Design, Inc. (AID) conducted a comprehensive study of the road condition of both the Turnpike and Parkway in 2020. This included the collection, processing, and quality control of geo-referenced pavement condition data with AID's testing vehicle at typical highway speeds. During a previously completed Term Agreement (New Jersey Turnpike Authority Order for Professional Services No. A3352, total duration of three years), all travel lanes of both toll roads were investigated in 2013 and the most travelled lane was investigated in 2014 and 2015. The analyzed data was presented in a series of summary reports. Analyzed data was also provided in a form suitable for integration into the Pavement Management System (PMS) to be developed and used by the Authority. The above-mentioned PMS Term Agreement was extended in the form of a new Agreement (New Jersey Turnpike Authority Order for Professional Services No. A3584, total duration of five years), and the most travelled lane was again investigated in 2016 and 2017. However, in 2018, all travel lanes of both toll roads were collected and analyzed. In 2019 and 2020, only the most travelled lane was collected and analyzed.

Data collection in 2020 included pavement ride quality (based on the IRI, rut depth, surface condition (SDI as established by NJDOT), and high-resolution video logging. IRI was measured with an inertial profiling system, while rutting and Surface Distress Index (SDI) were determined using a Laser Crack Measurement System (LCMS). High-resolution video logging included collecting center, left and right images of the measured lanes. These images were taken at 20 ft. intervals and synchronized with the center image. The left, center, and right images were stitched together to form a panoramic view (approximately 120° field of view). These images were submitted electronically, along with summary spreadsheets that included image names, milepost, GPS coordinates (Latitude and Longitude), vehicle speed, and date and time of testing for every image recorded.

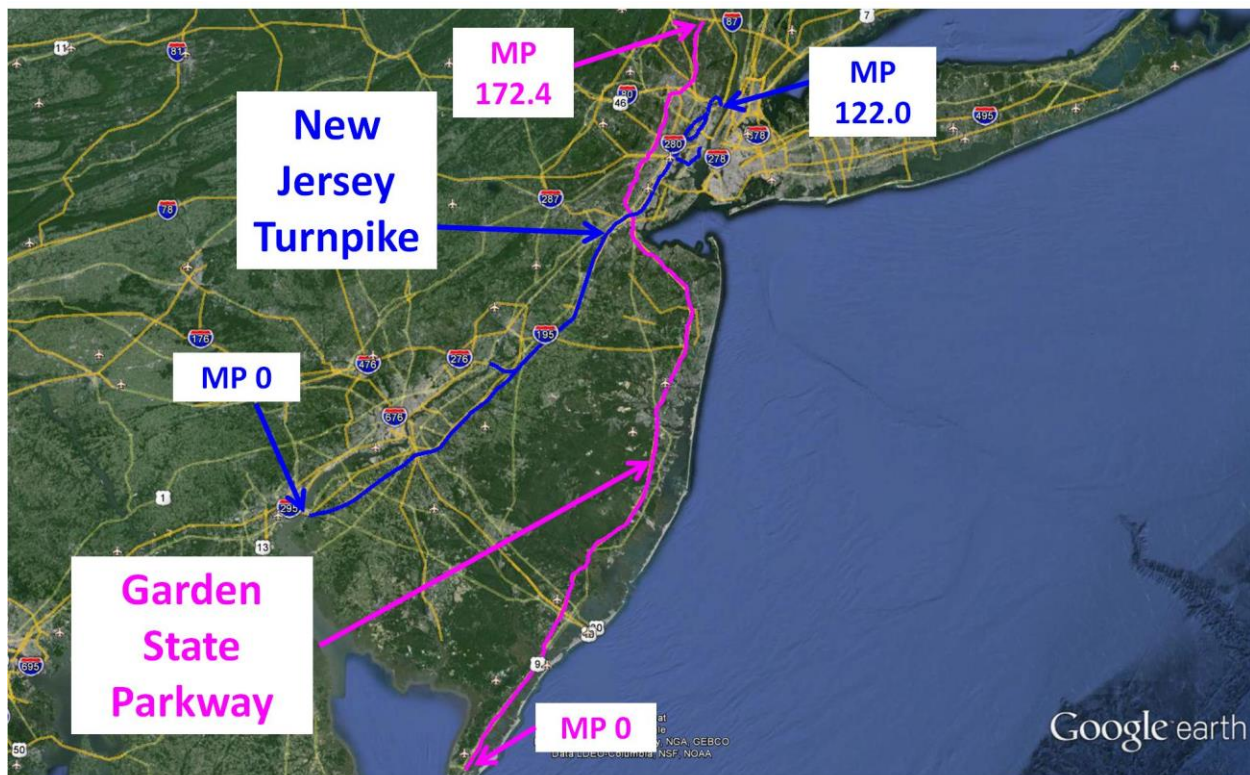
In addition, the deliverable from this work also includes the average IRI, rut depth, and SDI values for every 0.1 mile of lanes investigated on the Turnpike and Parkway, referenced in terms of both a linear referencing system and GPS coordinates. Overall results were submitted electronically in a series of spreadsheets.

In this report, the Quality Management Processes and supporting documents that were implemented on Task A (Data Collection), Task B (Image Processing) and Task C (Data Computation and Compilation) of the Data Collection on Year 2020 are presented.

### **Project Details**

The New Jersey Turnpike and Parkway were tested with AID’s “integrated testing vehicle” (ITV). The total mileage of the network collected in 2020 was 820 lane miles, divided into twenty-nine (29) segments on the Turnpike and eight (8) segments on the Parkway, respectively.

The Turnpike spans from Milepost (MP) 0 (Broadway Avenue, after the Delaware Memorial Bridge) to MP 122.00 (Fletcher Avenue, before the George Washington Bridge). Over this length, the number of lanes per direction varies from two to seven. The Parkway spans from MP 0 (State Highway 109) to MP 172.40 (New York State Line). Over this length, the number of lanes per direction varies from two to eight. The overall view of both toll roads is shown in Figure 1. The Route ID, description, total mileage and start milepost and end milepost are summarized in Table 1 for easy reference.



**Figure 1. Overall View of the Project Limits**

**Table 1. Turnpike and Parkway Road Classification and Segmentation.**

Roadway	Route ID	Description	Miles	From	To
<b>New Jersey Turnpike (NJTPK)</b>	SN	From South to North	49.07	0.00	48.80
	NS	From North to South	48.83	48.70	0.00
	SNI	From South to North on Inner	56.65	48.80	105.50
	NSO	From North to South on Outer	56.91	105.60	48.70
	SNO	From South to North on Outer	56.85	48.80	105.70
	NSI	From North to South on Inner	57.05	105.80	48.70
	SNE	From South to North on Easterly	10.82	106.40	117.20
	SNIE	From South to North on Inner to Easterly Roadway	0.88	105.50	106.40
	SNIW	From South to North on Inner to Westerly Roadway	0.55	105.50	106.10
	SNOE	From South to North on Outer to Easterly Roadway	0.72	105.70	106.40
	SNOW	From South to North on Outer to Westerly Roadway	0.37	105.70	106.10
	SNW	From South to North on Westerly	10.77	106.10	117.20
	NSE	From North to South on Easterly	10.50	117.10	106.60
	NSEI	From North to South on Easterly to Inner Roadway	0.80	106.60	105.80
	NSEO	From North to South on Easterly to Outer Roadway	0.92	106.60	105.60
	NSW	From North to South on Westerly	10.44	117.10	106.30
	NSWI	From North to South on Westerly to Inner Roadway	0.46	106.20	105.80
	NSWO	From North to South on Westerly to Outer Roadway	0.59	106.20	105.60
	SN95X	From South to North on I-95 Express	5.28	117.20	122.00
	NS95	From North to South on I-95	2.02	119.10	117.10
	NS95L	From North to South on I-95 Local	3.28	122.00	119.10
	NS95X	From North to South on I-95 Express	3.95	122.00	119.10
	NS80	From North to South from Interstate 80	2.11	118.90	116.70
	SN80	From South to North on Interstate 80	2.44	116.10	118.90
	SN95L	From South to North on Route 95 Local	3.97	118.40	122.00
	PEW	From East to West on the PHMTE	6.41	6.40	0.00
PWE	From West to East on the PHMTE	6.72	0.00	6.70	
HEW	From East to West on the NBHCE	8.26	8.20	0.00	
HWE	From West to East on the NBHCE	8.08	0.00	8.20	
<b>Garden State Parkway (GSP)</b>	NB	North Bound	151.87	0.00	172.40
	SB	South Bound	152.28	172.40	0.00
	NBI	North Bound Inner	21.89	103.60	125.60
	NBO	North Bound Outer	21.44	103.60	125.60
	SBI	South Bound Inner	21.42	125.30	103.80
	SBO	South Bound Outer	21.40	125.30	103.80

### **Data Collection Equipment**

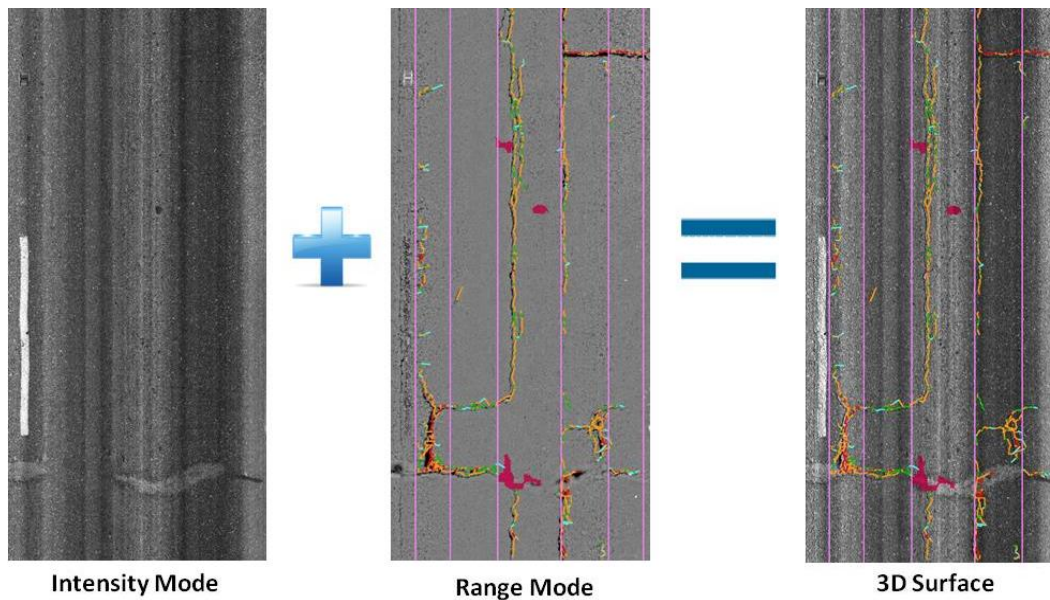
The ITV vehicle, shown below, integrates linear distance measurement (DMI) and GPS, three (3) high-resolution video cameras, a Laser Crack Measurement System (LCMS), a full inertial profiler (manufactured by AMES) and Ground Penetrating Radar (GPR) antennas (not used on this project). The AID Team intends on utilizing this vehicle to collect LCMS (including distresses and rutting), profiler and video data.



**Figure 2. AID's Integrated Testing Vehicle (ITV)**

AID's LCMS system (manufactured by Pavemetrics) scans the pavement surface using transverse profiles collected at every 5 mm intervals. Every individual transverse profile is composed of 4,160 data points. The surface profile can be analyzed to generate longitudinal profiles and transverse profiles for assessing rut depth. Additionally, the LCMS system allows collecting surface distress data (Cracking Percentage) via automated crack detection algorithms. In addition to rut depth and surface distress information, LCMS can provide texture evaluation by estimating the Mean Texture Depth (MTD). Typical visual output from the LCMS system, showing the Intensity, Range, and Combined 3D Surface laser images (with distresses superimposed), is shown below for reference.

Traditionally, SDI has been computed via windshield surveys of the pavement condition “on the fly” at posted speeds by raters in the survey vehicle. Inevitably, recording distresses at highway speeds is prone to error, as it is difficult to observe distresses and/or capture their beginning and endpoints. As crack and distress detection technology has evolved, NJDOT is thus moving towards automating the compilation of crack/distress data, which in turn, will be used to compute the SDI. As such, NJDOT retained Rutgers University to develop procedures for calculating protocols to calculate the SDI from data collected using automated methods. At the time of this project, those procedures were still in development, but AID was able to obtain a draft version of the *NJDOT Pavement Inspection Procedures for the Surface Distress Rating (September 2018)*.



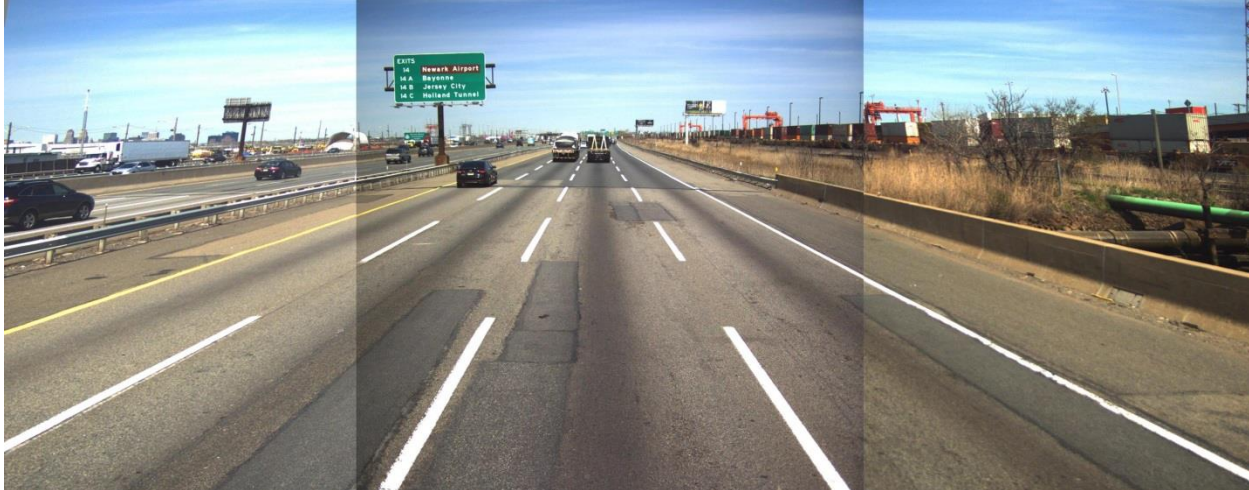
For this project, AID utilized LCMS and video imagery and developed an in-house algorithm to obtain crack type, severity, and percentage automatically. Such automatic compilation of the crack data enabled an upgrade to traditional human rater surveys on the fly, as it allowed for more consistent, objective ratings while also making the process much more efficient in terms of production time. AID's crack inspection algorithm considered the noted draft NJDOT procedures (September 2018) as well as *AASHTO PP 67-16 (2017): Standard Practice for Quantifying Cracks in Asphalt Pavement Surfaces from Collected Pavement Images Utilizing Automated Methods*. Considering that the NJDOT procedures are in draft form, AID made modifications where it was appropriate, in determining how best to calculate SDI using the images.

A high-speed inertial profiler manufactured by AMES and retrofitted in the front of AID's ITV measures IRI in accordance with *ASTM E950 ("Standard Test Method for Measuring the Longitudinal Profile of Traveled Surfaces with an Accelerometer Established Inertial Profiling Reference")*. The profiler primarily consists of three (3) infrared laser height sensors, precision accelerometers, a distance measuring unit and a compatible PC or computer.

In addition, a three camera high definition (HD) video system installed on the roof of the vehicle is capable of collecting HD jpeg images every 20 ft. High-resolution video logging includes collecting center, left and right images of the measured lanes. These images will be taken at 20 ft. intervals and synchronized with the center image. The left, center, and right images will be stitched together to form a panoramic view (approximately 120° field of view). For every image (see sample image below), linear distance, GPS coordinates (Latitude and Longitude), vehicle speed, and time of testing will be recorded. The linear distance will be later converted to milepost and all parameters will be included into summary spreadsheets for easy reference. These spreadsheets along with the stitched images will be submitted electronically.

All systems in AID's ITV are fully integrated in terms of distance measuring system and GPS coordinates. A differential GPS unit capable of collecting sub-meter coordinates in real-time is also incorporated. All systems are used to collect data on the Turnpike and Parkway while the ITV usually travels at speeds of about 55 mph, thus not requiring the need of any traffic control.





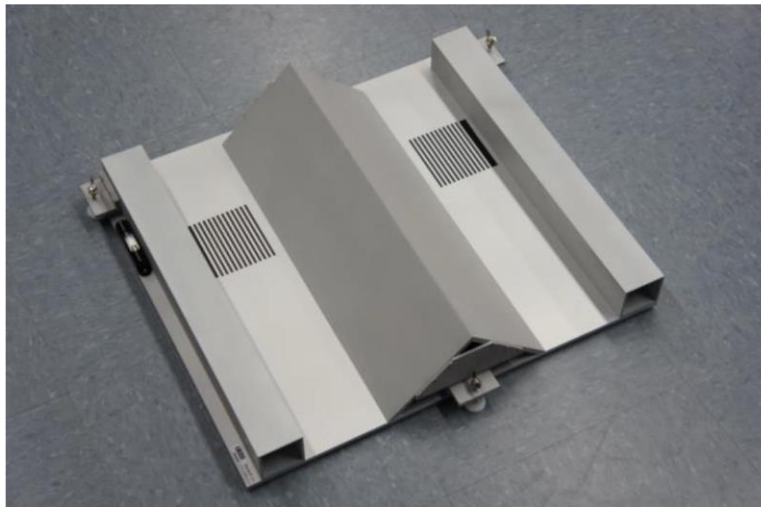
**Figure 3. Panoramic (Stitched) Sample Image (NJ Turnpike SNO MP 103.518)**

### **Data Collection Equipment Calibration and Certification**

#### **LCMS Block Calibration**

The LCMSValidationTool module uses a calibrated pyramidal object to validate the calibration of a LCMS sensor. This optional module includes the validation object (see Figure 4) and the validation software (LCMSValidationTool). This calibration is conducted before the beginning of the project and every three months after.

The validation steps are divided in two parts. The first part (Range Validation) verifies the sensor alignment in order to make sure that calibration tables (.ltx and .ltz files) are still valid. The second part (Focus Validation) assesses the sensors optical quality. Different portions of the reference object are used depending on which test is performed. These two steps must be performed for three positions (left/center/right) in the field of view of each sensor.



**Figure 4. Calibrated pyramidal object for validation**

Several indicators defined by the manufacturer (named Accuracies X1, X2, Z1 and Z2, Noise Levels Z1 and Z2 and Focus Quality) are measured. Table 1 provides the thresholds between the numerical values for each indicator and the sensor status.



**Table 1. LCMS Sensor Status Thresholds**

<b>Indicator</b>	<b>Fail</b>	<b>Good</b>	<b>Excellent</b>
Accuracy X 1	> 3.5	3.0 to 3.5	< 3.0
Accuracy X 2	> 3.5	3.0 to 3.5	< 3.0
Accuracy Z 1	> 1.2	1.1 to 1.2	< 1.1
Accuracy Z 2	> 1.2	1.1 to 1.2	< 1.1
Noise Level Z 1	> 1.2	1.0 to 1.2	< 1.0
Noise Level Z 2	> 1.0	0.5 to 1.0	< 0.5
Focus Quality	< 0.4	0.4 to 0.5	> 0.5

Profiler

AID’s AMES Profiler unit meets and exceeds *ASTM E 950* Class I profiler requirements and AASHTO Standards M328-14, R43-14, R56-14 and R57-14 for pavement roughness (IRI) data collection and analysis. The Profiler enables collecting IRI data within a speed range of 15-60 mph. AID will follow AASHTO standards in collecting IRI data for this project. AID’s Profiler has been verified by the NJDOT at a selected verification site. AID’s Profiler has also been tested and verified by the Maryland State Highway Administration (MDSHA) and selected field engineers have also been certified as profiler operators by the West Virginia Division of Highways. The verification with MDSHA is conducted on a yearly basis and the WVDOT has been recently obtained (February 2018-2022) by two (2) AID engineers.

The NJDOT IRI verification site is currently located at a decommissioned rest stop on Interstate I-295 South, milepost 49.9 (“Howard Stern Rest Area”). The intention of the IRI Control Site is to provide a normalized location at which the NJDOT data collection van and other profilers can conduct standard measurements to use as a verification that the equipment is functioning properly while in motion. The NJDOT Pavement Management Unit (PMU) established this Surveyed IRI Control Verification site in 2014 with varying degrees of smoothness. The site has two lanes with four wheel paths identified in total. The wheel paths are each situated so that a high-speed profiler (with the capability to measure both wheel paths simultaneously) can collect wheel paths 1 & 2 and 3 & 4 at the same time respectively. The surveyed control site can be used for profile verification as well as distance verification.

AID verified its full inertial profiler at NJDOT’s IRI verification site on March 18, 2020 (see confirmation email at the end of this report). The verification was considered valid for a period of one (1) month. In addition, the most recent certification of AID’s Profiler from Maryland State Highway Administration is also included separately for reference.

Moreover, AID conducts a quarterly calibration of the ITV’s Profiler on two (2) different sections of the Turnpike:

- Outer roadway, Southbound direction, Milepost 60 to 54
- Inner roadway, Northbound direction, Milepost 54 to 60



During these periodic calibrations, at least one pass with AID's ITV is collected and the IRI results are compared. IRI values are calculated for every tenth of a mile segments within the test limits. If results are within  $\pm 5\%$  of previously obtained results, the ITV's Profiler is considered to provide accurate results.

### Distresses

In addition to the IRI's verification site, NJDOT's PMU selected a 10 mile verification site that will be utilized for the distress data verification each year. The NJDOT distress verification site is currently located on the Right Lane of Interstate I-295 North, between milepost 44.0 and 54.0, within close proximity to the NJDOT headquarters. All parties determined responsible for HPMS network level data collection, as well as the NJDOT Field Crew will be expected to collect measurements for this verification section monthly during a data collection cycle.

As previously indicated, AID developed an in-house algorithm to obtain crack type, severity, and percentage automatically. The type of distresses that are listed by NJDOT draft procedures (September 2018, also in accordance to the HPMS Field Manual, December 2016, and the LTPPP Distress Identification Manual) as part of the SDI calculation for flexible pavements are:

- Pattern (Fatigue) Cracking,
- Transverse Cracking,
- Longitudinal Cracking,

In addition, the severity of each distress considered is:

- Low Severity
- Moderate Severity
- High Severity

AID verified its LCMS system and distress identification algorithm at NJDOT's IRI verification site on April 29, 2020 (see confirmation email at the end of this report). The verification was considered valid for a period of one (1) month.

### Distance Measurement Instrument (DMI)

The DMI installed in AID's ITV is also calibrated before the start of the project and every three (3) months thereafter. A straight section that is 1,026 ft. long (or two tenths of a mile) is tested three (3) times quarterly to calibrate and validate the distance measured by the DMI. The beginning and end of the section had been previously established with a measuring wheel and pavement marks were laid on the pavement surface for easy identification.

On every pass, the beginning and end of the calibration section are extracted from the LCMS 3D surface images and distance between the beginning and end of the section is measured. In order to determine that the DMI is within calibration, measurements must be within 0.1% (or 1 ft.) of each other.



**Figure 5. AID's DMI**

### **Certification Process for Data Collection**

AID conducts in-house training of technicians/engineers that will be participating on data collection tasks. The training is conducted by senior engineers at least once a year and the following processes are reviewed.

#### **Data Collection Equipment**

On this part of the training, the different hardware components are reviewed:

- Vehicle startup sequence
- Electric supply system
- Video Collection System (cameras and computer)
- LCMS Cameras, Data Collection System and Computer
- Profiler hardware, laptop and components (cables, miscellaneous)
- DMI hardware (encoder) and components (cables, miscellaneous)
- GPS hardware (GPS antennas and receiver) and components (cables, miscellaneous)

After AID's hardware and software are thoroughly explained, AID engineers conduct condition surveys on a roadway with known distress ratings. AID engineers learn to operate and calibrate each of the hardware and software components. Engineers are approved to conduct data collection tasks after demonstrating overall understanding of the data collection process and ability to handle and operate all hardware and software components.

#### **Data Collection Troubleshooting**

Field technicians/engineers are also trained to verify the equipment is calibrated, to conduct troubleshooting and resolve issues during data collection. As part of the real-time data collection check, the following topics are discussed:

- Monitoring of GPS solution (real-time accuracy and GPS data quality, i.e., number of satellites received)
- Monitoring of LCMS data collection (LCMS files recorded real-time)
- Monitoring of Profiler data collection (data recorded real-time)
- Monitoring of Video data collection (jpeg images recorded real-time)



- Monitoring of distance measured by DMI
- Verification of distance traveled on each pass (both LCMS, Profiler, and Video)

The training lasts for half a day at AID's offices and warehouse and at the end of the day the technicians/engineers are approved for data collection purposes. As previously indicated, the in house certification program is conducted at least once a year, and an attendance sheet is kept for recordkeeping.

### **Quality Control Measures Before Data Collection**

Before data collection, the following control processes are conducted:

- AID's ITV
  - Ensure tire pressure on all wheels is adequate and verify that DMI, LCMS, Profiler and video cameras are secured.
  - Verify that all external cables are connected.
- Video Data Collection System
  - Ensure cameras are clean and all cables are connected.
  - Validate number of files and distance travelled equals to a 20 ft. spacing between images.
- LCMS System
  - Verify laser cameras are clean and all cables are connected.
- Profiler System
  - Verify profiler lasers are clean and all cables are connected.
- GPS System
  - Verify that antenna is securely attached to the roof of the vehicle and all cables are connected.

### **Quality Control Measures During Data Collection**

During data collection, the following control processes are conducted:

- Video Data Collection
  - Ensure images are clear, color balanced, with proper exposure and in focus.
  - Ensure files saved are complete, not corrupted.
  - Validate number of files and distance travelled equals to a 20 ft. spacing between images.
- LCMS Data Collection
  - Ensure distance recorded from LCMS computer matches distance by DMI from video system.
  - Ensure files saved are complete, not corrupted.
- Profiler Data Collection
  - Verify distance recorded from profiler matches distance measured by the DMI from video system.
- GPS Data Collection
  - Cross-check time of start and time of end on GPS file matches time recorded by video system.

### **Data Sampling, Review and Checking Processes**

After data collection, the following activities and quality control processes are completed during Task B of this project (Image Processing):

- Panoramic Images Generation (Synchronize and stitch left, center, and right images and Convert DMI to approximate milepost):



- Ensure left, center and right images are synchronized.
- Ensure number of files generated matches the number of files from center camera.
- Cross-check file naming convention (milepost) with image view at randomly selected mileposts (10%).
- Excel File Generation (Prepare spreadsheets with Panoramic image name, Milepost, GPS coordinates, Vehicle speed, Date and time of data collection):
  - Ensure data included in all columns (image name, GPS, speed, time and date) is complete.
  - Verify number of images generated vs. number of rows within the spreadsheet coincide.
- File Share through an external USB Hard Drive
  - Verify files were uploaded on the hard drive.
  - Download sample files (10%) to ensure files are not corrupted.
  - Verify hard drive arrived to destination.

After data collection, the following activities and quality control processes are completed during Task C of this project (Data Computation and Compilation):

- Video Ties Preparation (correlation between DMI from video computer system and mile markers from recorded images):
  - Randomly select milepost from recorded images
  - Display GPS coordinates at the same location
  - Cross check with mile markers
- Sectioning every 1/10 mile segments (Assign control points and determine 1/10 break-downs):
  - Randomly select milepost from summary spreadsheets
  - Verify recorded images with corresponding mile markers.
- Data Computation (Integrate and combine IRI, GPS and SDI results):
  - Randomly select milepost from analyzed data
  - Verify GPS coordinates with corresponding mile markers
- Excel File Generation (Summary Excel spreadsheets with mileposts, GPS coordinates, IRI, SDI and rutting)
  - Ensure data included in all columns is complete.
  - Validate SDI by reviewing image files.
  - Adjust distress percentages if necessary
- File Upload into HNTB ProjectWise system
  - Verify files were uploaded.
  - Download sample files (10%) to ensure files are not corrupted.

### **Data Quality Standards and Data Acceptance Criteria**

As defined by the FHWA Practical Guide for Quality Management of Pavement Condition Data Collection (2013), the key deliverables, protocols used for collection, and associated quality standards are described below. Quality standards define, when applicable, the resolution, accuracy, and repeatability or other standards that will be used to determine the quality of each deliverable.



**Table 2. Data Quality Standards**

Deliverable	Protocols	Resolution	Accuracy (compared to reference value)	Repeatability (for three repeat runs)
<b>IRI (left, right, and average)</b>	AASHTO	1 in/mi	± 5 percent	± 5 percent
<b>Rut depth (average and maximum)</b>	AASHTO	0.01 in	± 0.06 in	± 0.06 in
<b>GPS (latitude and longitude)</b>	N/A	0.00001 degree	± 0.00005 degree	± 0.00005 degree
<b>Distress ratings</b>	NJDOT SDI distress rating manual	Varies	± 10 percent	N/A
<b>Location of segment</b>	N/A	N/A	All assigned segments surveyed & assigned correct location	N/A
<b>Segment begin point</b>	N/A	0.01 mi	± 0.05 mi	N/A
<b>Panoramic images</b>	N/A	N/A	Signs legible, proper exposure and color balance	N/A

The focus of acceptance is to validate that deliverables meet the established quality standards. Following is a description of acceptance testing, the frequency to be performed, and corrective actions for items that fail to meet criteria.

**Table 3. Data Acceptance Criteria**

Deliverable	Acceptance (Percent Within Limits)	Acceptance Testing & Frequency	Action if Criteria Not Met
<b>IRI, rut depth, faulting</b>	95 percent	Weekly control, verification, and blind site testing. Global database check for range, consistency, logic, and completeness and inspection of all suspect data. 5 to 10 percent sample inspection upon delivery. Use of GIS for further inspection.	Reject deliverable; data must be re-collected.
<b>Distress ratings</b>	95 percent	Global database check for consistency, logic, completeness. 5 to 10 percent sample inspection upon delivery.	Return deliverable for correction
<b>GPS coordinates</b>	100 percent	Weekly control, verification, and blind site testing. Plot on base map using GIS upon delivery.	Return deliverable for correction
<b>Location of segment and segment begin point</b>	100 percent	Plot on base map using GIS. Global database check of accuracy and completeness.	Return deliverable for correction
<b>Panoramic images</b>	98 percent of each control section and not more than 5 consecutive images failing to meet criteria	Weekly inspection of control, blind, or verification site video. 5 to 10 percent sample inspection upon delivery.	Reject deliverable; images must be re-collected.

## Manuel Celaya

---

**From:** Michael Boxer <mboxer@soe.rutgers.edu>  
**Sent:** Wednesday, March 18, 2020 12:32 PM  
**To:** Kohli, Narinder S.; Manuel Celaya  
**Cc:** Danny Gomez  
**Subject:** Re: NJDOT 3rd Party Pavement Data Collector - Data Templates

Manuel,

So far the data submitted for profile verification meets the standards of NJDOT. To complete the annual verification process for profile testing I am still just waiting on the certification documents for the profiler and operators.

Please note that the HPMS IRI data item you will submit at the end of the year **MUST** be collected with the same profiler used for verification testing. If multiple profilers will be used for data collection you must submit verification data from all profilers.

Michael Boxer  
Project Engineer  
Center for Advanced Infrastructure and Transportation  
Rutgers, The State University of New Jersey  
100 Brett Road  
Piscataway, NJ 08854-8058

(973)986-2635

---

**From:** Kohli, Narinder S. <Narinder.Kohli@dot.nj.gov>  
**Sent:** Wednesday, March 18, 2020 12:15 PM  
**To:** Manuel Celaya <mcelaya@aidpe.com>  
**Cc:** Danny Gomez <dgomez@aidpe.com>; Michael Boxer <mboxer@soe.rutgers.edu>  
**Subject:** RE: NJDOT 3rd Party Pavement Data Collector - Data Templates

Thanks  
Narinder

---

**From:** Manuel Celaya <mcelaya@aidpe.com>  
**Sent:** Wednesday, March 18, 2020 11:55 AM  
**To:** Michael Boxer <mboxer@soe.rutgers.edu>  
**Cc:** Kohli, Narinder S. <Narinder.Kohli@dot.nj.gov>; Danny Gomez <dgomez@aidpe.com>  
**Subject:** [EXTERNAL] RE: NJDOT 3rd Party Pavement Data Collector - Data Templates

Narinder,  
I just sent an email with a zip file attached and it was rejected by the NJDOT server.

I am resending the IRI summary values only for your reference.

Best,



## Manuel Celaya

---

**From:** Michael Boxer <mboxer@soe.rutgers.edu>  
**Sent:** Wednesday, April 29, 2020 10:58 AM  
**To:** Manuel Celaya; Kohli, Narinder S.  
**Cc:** Danny Gomez; Farzan Kazemi  
**Subject:** Re: NJDOT 3rd Party Pavement Data Collector - Data Templates  
**Attachments:** Distress\_Verification\_AID\_April2020(Preliminary).xlsx

Manuel,

While I am waiting for faulting and cracking percent for concrete I'd like to share with you the results we have so far. After I get the complete set of data I will send out an official response.

As you see from the attached analysis there is good conformity for IRI but rutting and cracking percent still need some tweaking. We would like to work with you throughout the year to determine why the NJDOT equipment and your LCMS equipment are reporting different values for the other distress metrics. The raw data appears to be repeatable so the NJDOT is going to accept the equipment and operators to collect **asphalt distresses** until Friday May 29th 2020. If data collection extends beyond May 29th please reach out to myself and Narinder to determine if a new round of verification testing is necessary or not based on the mileage collected and remaining mileage.

Michael Boxer  
Project Engineer  
Center for Advanced Infrastructure and Transportation  
Rutgers, The State University of New Jersey  
100 Brett Road  
Piscataway, NJ 08854-8058

(973)986-2635

---

**From:** Manuel Celaya <mcelaya@aidpe.com>  
**Sent:** Tuesday, April 28, 2020 12:31 PM  
**To:** Michael Boxer <mboxer@soe.rutgers.edu>; Kohli, Narinder S. <narinder.kohli@dot.nj.gov>  
**Cc:** Danny Gomez <dgomez@aidpe.com>; Farzan Kazemi <fkazemi@aidpe.com>  
**Subject:** RE: NJDOT 3rd Party Pavement Data Collector - Data Templates

Mike,  
Thanks for the timely reply.

Please see below

We use distance (not GPS) obtained from the videos to tie to the project milemarkers. Since all our systems are triggered by distance (from a DMI encoder), all the data is synchronized. At the same time we collect GPS coordinates and those coordinates are linked to distance measured from the DMI. Thus, all our data is referenced to the same start and end points.

Correct, wheelpath cracking was calculated following the HPMS field manual (data item 52 for asphalt pavements)



Larry Hogan  
Governor  
Boyd K. Rutherford  
Lt. Governor  
Gregory Slater  
Secretary  
Tim Smith, P.E.  
Acting Administrator

May 28, 2020

Danny Gomez  
Advanced Infrastructure Design, Inc.  
1 Crossroads Drive, Hamilton, NJ 08691

This letter verifies that the inertial profilers, listed below, belonging to Advanced Infrastructure Design, Inc. meet the requirements for use in measuring pavement smoothness for the State of Maryland Ride Specification.

- Ford E-350 (ITV#1) vin 1FTSE34L74HB31806 (ICC Profiler)
- Mercedes Sprinter (ITV#2) vin WD3PE7CD8GP186289 (LCMS)

All profilers accepted for use in Maryland are re-verified yearly.

Sincerely,

A handwritten signature in purple ink that reads 'Bonnie A. Johnson'.

Bonnie A. Johnson  
Ride Specification Program Manager  
Asphalt Technology Division



WEST VIRGINIA DEPARTMENT OF TRANSPORTATION

**Division of Highways**

1900 Kanawha Boulevard East • Building Five • Room 110  
Charleston, West Virginia 25305-0430 • (304) 558-3505

Thomas J. Smith, P. E.  
Secretary of Transportation/  
Commissioner of Highways

February 16, 2018

To Whom it may concern,

This letter is intended to document that Danny Gomez has passed the West Virginia Department of Highway Inertial Profiler Operator Exam on February 16, 2018. Danny Gomez is now certified as an inertial profiler operator in accordance with the West Virginia Division of Highways Standard Specification Section 720. Their name will be added to the certified operators list for future reference. The list can be found at the following location: <http://transportation.wv.gov/highways/mcst/Pages/Miscellaneous-Materials.aspx>

Operator Number: 720052

Certification expires: February 16, 2022 (4 years after test date)

A handwritten signature in blue ink, appearing to read "T. B. Walbeck", written over a horizontal line.

Travis B Walbeck, PE,  
State Pavement Engineer

A handwritten signature in blue ink, appearing to read "Joseph Caudill", written over a horizontal line.

Joseph Caudill  
Highway Engineer Trainee  
Pavement Group

# Pavement Data Collection Data Quality Management Plan

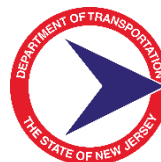


## Project Specific Plan for New Jersey Department of Transportation

April 2018

Prepared By:

Michael Baker International  
300 American Metro Blvd, Ste. 154  
Hamilton, New Jersey 08619  
(609) 807-9500



**Michael Baker**  
INTERNATIONAL

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Document Change Control

Version Number	Author	Date	Description of Change
1.0	K. Contrisciane (Michael Baker)	April 2018	Original Draft

# 1. Introduction

This Pavement Data Collection Quality Management Plan documents the quality management practices and responsibilities that Michael Baker International, Inc. (Michael Baker) utilizes for pavement data collection provided for the New Jersey Department of Transportation (NJDOT). Through an existing data maintenance contract, Michael Baker performs pavement data collection in accordance with the HPMS Field Manual on Off-State NHS roadway sections across the state. This plan includes equipment calibration and certification measures, manual distress data collection, practices for pre-production through post-processing data quality control (QC) measures, data sampling/review practices, error resolution, and acceptance criteria. The QC activities documented will check that:

- *Data meets defined quality standards and requirements for acceptance;*
- *Data collection and processing are performed in a consistent and logical manner and;*
- *Data quality issues are identified and appropriate corrective actions are applied.*

With quality data, analyses from pavement management systems will provide more reliable results for decision-making processes. Standardized protocols will provide assurance that variability in pavement condition data between years reflect actual changes in pavement quality. This will allow for better compliance with data and reporting requirements, informed treatment plans and methods, reliable projections of future pavement conditions, work prioritization, resource allocation, and reliable decision support for managers.

The Michael Baker team has developed efficient pavement data collection and post-processing procedures to translate raw pavement data into highly-detailed useable pavement condition data and information products. The processes are performed on a network-level, providing reliable and consistent results that are configured based on specific project needs and local pavement conditions. Our experienced team has built, and continues to improve, a streamlined data processing and project delivery system with focus on innovation, efficiency and quality. The following document outlines those procedures, in accordance with 23 CFR 490.319(c)(1) requirements, which specifies:

*(c) Each State DOT shall develop and utilize a Data Quality Management Program, approved by FHWA that addresses the quality of all data collected, regardless of the method of acquisition, to report the pavement condition metrics, discussed in § 490.311, and data elements discussed in § 490.309(c).*

*(1) In a Data Quality Management Programs, State DOTs shall include, at a minimum, methods and processes for:*

- (i) Data collection equipment calibration and certification;*
- (ii) Certification process for persons performing manual data collection;*
- (iii) Data quality control measures to be conducted before data collection begins and periodically during the data collection program;*
- (iv) Data sampling, review and checking processes; and*
- (v) Error resolution procedures and data acceptance criteria.*

These requirements and topics are intertwined throughout this document. The table below provides a reference to these topics within the document.

**Table 1. Document reference for FHWA Data QMP requirements.**

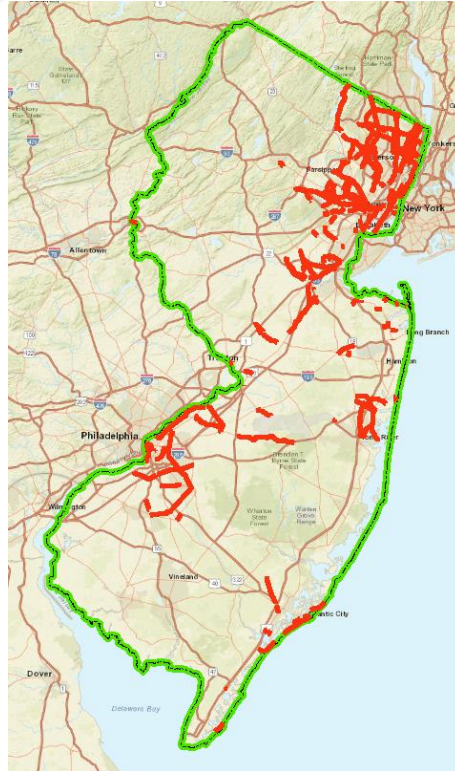
Topic	Document Reference	Page
Data Collection Equipment Calibration	Data Collection Equipment & Calibration	5
Data Collection Certification	NCAT Certification Process	13
	Certification Documents	App. B
	ALDOT Certification Process	App. D
Data Quality Control Measures	Data Quality Control Measures	12
Data Sampling Review & Checking Processes	Data Quality Control Measures	12
Error Resolution Procedures & Data Acceptance	Data Quality Standards & Acceptance	10

The Michael Baker team will continue to update this document as new and innovative technologies emerge and data collection and processing procedures change.

## 2. Data Collection & Rating Protocols

The New Jersey Department of Transportation (NJDOT) maintains approximately 2,300 centerlines miles of roads across the State. The state is also responsible for reporting HPMS-related data collection items on the NHS network that is not located on state-maintained roadways (Off-State NHS Network), which mainly consists of higher-order county and local jurisdiction routes. The Off-State NHS network consists of approximately 630 centerline miles of roadways. A full list of Off-State NHS routes can be found in Appendix A.

**Figure 1. NJDOT Off-State NHS network.**

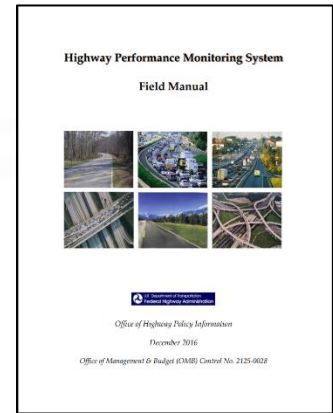


### 2.1. Highway Performance Monitoring Field Manual

#### ➤ Overview

Highway Performance Monitoring System (HPMS) data is used for assessing highway system performance under the U.S. DOT and Federal Highway Administration's (FHWA) strategic planning and performance reporting process. The *HPMS Field Manual* provides a comprehensive overview of the HPMS program and describes in detail the data collection and reporting requirements for HPMS. Each State is required to prepare an annual submittal of HPMS data in accordance with the procedures, formats, and codes specified in this manual. According to the *HPMS Field Manual*, the data is used for the following purposes:

- To assess and report highway system performance under FHWA’s strategic planning process.
- To form the basis of the analyses that support the *Conditions and Performance (C&P) Report* to Congress and is the source for a substantial portion of the information published in the annual *Highway Statistics* publication.
- To report metrics with respect to targets for established performance measures per 23 CFR 490.
- For use throughout the transportation community, including other governmental entities, business and industry, institutions of higher learning for transportation research purposes, and the general public.
- For performance measurement purposes in National, State and local transportation decision-making to analyze trade-offs among the different modes of transportation as part of the metropolitan and statewide transportation planning process.



The manual is updated on an as-needed basis and can be found online at:

<https://www.fhwa.dot.gov/policyinformation/hpms/fieldmanual/page00.cfm>

Distresses are reported according to surface type as detailed in Table 2, Data Item Requirements by Surface Type.

**Table 2. Data Item Requirements by Surface Type**

Surface Type	IRI	Rutting	Faulting	Cracking Percent
1 - Unpaved				
2 - Bituminous	in/mi	0.01"		Fatigue % area
3 - JPCP	in/mi		0.01"	% cracked slabs
4 - JRCP	in/mi		0.01"	% cracked slabs
5 - CRCP	in/mi			Punchout/long./patch % area
6 – Composite (AC / AC)	in/mi	0.01"		Fatigue % area
7 – Composite (AC / JCP)	in/mi	0.01"		Fatigue % area
8 – Composite (Bituminous / CRCP)	in/mi	0.01"		Fatigue % area
9 – Composite (Unbonded JC / PCC)	in/mi		0.01"	% cracked slabs
10 – Composite (Bonded JC / PCC)	in/mi		0.01"	% cracked slabs
11 – Other (e.g., brick)	in/mi			

Additionally, Michael Baker collects location data (GPS) in conjunction with pavement data for QA/QC purposes.

➤ **Data Collection Methodology**

Field Data Collection

Michael Baker performs pavement data collection for NJDOT in alignment with the *HPMS Field Manual* requirements through automated methods. A mobile-based data collection system (see Section 3) that includes a Laser Crack Measurement System (LCMS) and inertial profiler is used to capture raw field data. Pavement data collection is performed in both travel directions in the rightmost lane.

The table below shows the method of collection, capture and reporting resolution of each distress:



**Table 3. Method of data capture and resolution.**

Distress	System of Capture	Method of Capture	Reporting Unit	Reporting Resolution
IRI	Inertial Profiler	Automated	in. / mi.	1 in./ mi.
Rutting	LCMS	Automated	Inches	0.01 in.
Faulting	LCMS	Automated	Inches	0.01 in.
Cracking Percent	LCMS	Automated	Percent	1%

In 2016, data collection generally occurred in the September/October timeframe. Data is submitted to NJDOT in Microsoft Office Excel format. All data records are broken down by the route ID (00000001\_\_, 00000003\_\_, 00000004\_\_, etc.) and into 1/10<sup>th</sup> mile sections. Average distress values are reported for each section.

### 3. Data Collection Equipment & Calibration

Data collection is performed using two primary data collection systems, with several supporting systems:

**Table 4. Mobile data collection system components & classification.**

Primary Systems	Purpose	System Classification
Laser Crack Measurement System (LCMS)	Captures detailed surface distress information at highway speed including cracking, rutting and potholes.	Mission Critical
Inertial Profiler (IP)	Class 1 profiler used to capture IRI data.	Mission Critical
Supporting Systems	Purpose	System Classification
Distance Measuring Instrument (DMI)	Provides precise distance measurements to LCMS & IP systems.	Mission Critical
Applanix GPS with Inertial Measuring Unit (IMU)	A Position & Orientation System that provides stable GPS streams to the LCMS, IP and LiDAR systems.	Mission Critical
Mobile LiDAR with Ladybug Imagery	Provides panoramic ROW images.	Ancillary
Lane Departure Warning System	Warns driver of lane wandering.	Ancillary

The LCMS and inertial profiler along with the DMI and Applanix systems are classified as mission critical. This means if one mission critical system goes down or experiences technical difficulties during production data collection, the field crew must immediately stop data collection, document the problem and notify the Field Crew Coordinator. The Ladybug system is only considered mission critical when imagery is a deliverable as part of a scope of work. It is however a part of Michael Baker Standard Operating Procedures (SOP) to collect Ladybug imagery on all pavement data collection projects for QC purposes. If imagery is not scoped on a data collection project, classifying the Ladybug as a mission critical system component is at the discretion of Project Manager. Refer to ‘Real-Time Data Checks’ under Section 5.2 for stop and pause data collection conditions for each system.

#### 3.1.Pavemetrics Laser Crack Measuring System (LCMS)

The Pavemetrics LCMS system is mobile-based automatic pavement distress detection system that consists of a double sensor laser array. This system is used to capture detailed surface cracking information, as well as rutting, potholes, patching, etc. The LCMS unit is integrated with an Inertial Measurement Unit (IMU), which also allows for the collection of slope, cross slope and International Roughness Index (IRI).

➤ **LCMS Calibration & Verification**

Calibration is performed on various components of the LCMS by certified field technicians in accordance with ASSHTO R 57-14, *Standard Practice for Operating Inertial Profiling Systems*, that consist of:

- *Longitudinal (DMI) Calibration*
- *Height Calibration (Block Test)*
- *Block Test*

### Longitudinal (DMI) Calibration

Longitudinal calibration involves calibration of the DMI unit so that the LCMS is correctly calculating measured distances. One DMI unit is integrated into both LCMS and inertial profiler system, so the longitudinal calibration of the inertial profiler system is done in conjunction with the LCMS. The method of calibrating distance on the inertial profiler system is a simpler and more accurate method to calibrate the DMI, so the SOP is to calibrate the DMI using the inertial profiler system. The longitudinal calibration of the LCMS is a configuration that consists of entering the number of DMI encoder pulses per meter. This configuration setting is changed based on the calibration of the inertial profiler, which is detailed in Section 3.2. This configuration setting is saved into raw data and loaded in the project database for tracking and quality assurance purposes.

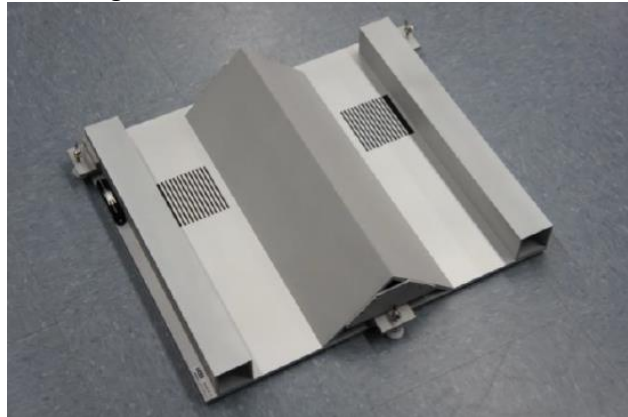
Longitudinal calibration of the LCMS is conducted monthly at minimum or when one of the following triggering events occur (whichever comes first):

- *When tire pressure is adjusted*
- *Before the onset of a new project*
- *After hardware maintenance of the laser sensors or DMI*
- *Whenever the profiler distance calibration is performed*

### Height Verification (Block Test)

The block test involves verifying heights measured by the LCMS system. The block test of the LCMS is completed using a specialized calibration block manufactured by Pavemetrics as shown in the figure below:

**Figure 2. Calibrated block for calibration.**



A separate Validation Tool software module is used to calibrate both the height and width dimensions as well as focus test to assess the sensors optical quality and accuracy in regard to width and depth measurements. The LCMS block test is conducted monthly at minimum or when one of the following triggering events occur (whichever comes first):

- *Before the onset of a new project*
- *After hardware maintenance or removal\reattachment of the laser sensors*

### Bounce Test

The bounce test is used to verify the operation of the accelerometer's ability to account for normal vehicle bounce while driving. Bounce testing is completed within accordance of ASSHTO R 57-14 and the manufacturer's recommendation. The LCMS bounce test is conducted daily before the start of data collection and after the vehicle sensors have warmed up.

### ➤ *LCMS Annual Maintenance*

Michael Baker maintains an annual maintenance contract with Pavemetrics that includes laser sensor calibration and cleaning. This entails removing the laser sensors and sending them to the manufacturer for re-conditioning. This maintenance includes cleaning of the sensors, fine-tuning and re-calibrating and/or re-configuring the lasers as necessary, and updating firmware to make sure the system remains in a state of good repair. All calibration and verification steps are performed when the sensors are reattached to the mobile data collection vehicle.

The annual maintenance contract also includes periodic software updates to both the LCMS acquisition and processing software modules. These updates can include a combination of bug fixes, improvements to existing modules and/or new modules. As part of Michael Baker's SOP, no software updates are applied or adopted during the course a project, unless it includes a patch that fixes a major bug as classified by the manufacturer. All updates are tested in a test environment before production implementation. This methodology is executed in an effort to maintain data consistency and integrity through a data collection project.

## 3.2. Surface Systems & Instruments, Inc (SSI) CS9300 Portable Profiler

The SSI profiler is specifically used to capture high accuracy, repeatable measures of pavement roughness (IRI) and rutting information. When used in conjunction with the LCMS system, the data collection vehicle can capture detailed surface condition information, including roadway imagery with detailed surface distress information identified.

Figure 3. SSI profiling system.



### ➤ *Profiler Calibration & Verification*

Similar to the LCMS, profiler calibration is performed by certified field technicians in accordance with ASSTHO R 57-14 that consist of:

- *Longitudinal (DMI) Calibration*
- *Height Calibration (Block Test)*
- *Block Test*

#### Longitudinal (DMI) Calibration

*Longitudinal calibration is done within accordance of the ASSTHO R 57-15 and manufacturer's instructions using the SSI Profiler (v 3.2.7.26) software. The following is Michael Baker's SOP relating to DMI calibration:*

- *Find a long, straight and flat safe track of road at least one-tenth of a mile (528') in length. Use a measuring wheel to measure exactly 528', referencing the beginning and ending measurements with a marking. Measure this distance at least two times with the measurement wheel to verify the distance. Measurements must be within 3 inches of each other.*

- *Place a traffic cone with DOT-C2 compatible reflective tape at the beginning and ending points of the measured track. It is important that two reflective tape stations are at accurate positions for calibration.*
- *Use the SSI Profiler software to initiate the calibration process using the Electric Eye (EE) functionality. To begin the calibration, follow the message prompts in the instruction window. Select "Next" and drive past the start position electric eye to begin the calibration. After the EE begins the calibration, an estimated distance will be shown. Near the final reflective tape location, arm the EE by selecting "Next" again. The calibration will finish when the EE is triggered. The user will then be prompted to enter the actual distance traveled.*

The SSI software reports the DMI calibration as the number of DMI encoder pulses over the 528' calibration distance. A conversion is done to calculate the DMI encoder pulses per meter, which is then used to configure the LCMS distance calibration. Below is an example of the conversion method:

**SSI Encoder Counts (EC): 1,358,301**

**SSI Encoder Distance (ED): 528 ft.**

**Counts Per Foot: 2,572.5 (EC / ED)**

**Counts Per Meter (for LCMS): 8,440.1 -- > New LCMS Configuration**

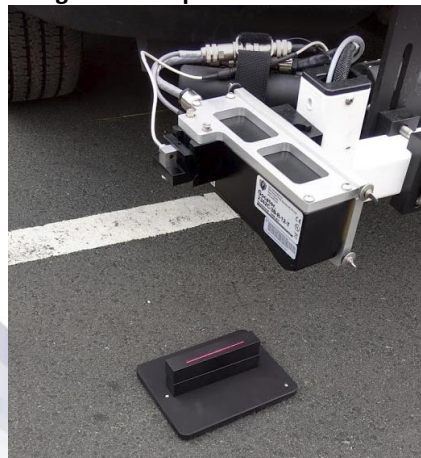
Longitudinal calibration of the profiler is conducted monthly at minimum or when one of the following triggering events occur (whichever comes first):

- *When tire pressure is adjusted*
- *Before the onset of a new project*
- *After hardware maintenance of the laser sensors or DMI*

#### Laser Height Verification (Block Test)

The block test involves verifying heights measured by each laser array of the profiler system. The SSI software provides a wizard-based calibration method that is easy to use. Calibration is performed by complete tests on 1", 2" and 3" calibration block heights using individually stacked 1" blocks as shown below:

**Figure 4. SSI profiler block test.**



The profiler block test is conducted monthly at minimum or when one of the following triggering events occur (whichever comes first):

- *Before the onset of a new project*
- *After hardware maintenance of the laser sensors*

### Bounce Test

The bounce test is a diagnostic procedure used to determine if the system's accelerometers and height sensors are working in unison and calibrated correctly. The bounce test is not a calibration procedure, and its results are not used to reset or adjust the profiling system. Bounce testing is completed within accordance of ASSHTO R 57-14 and the manufacturer's recommendation. The profiler bounce test is conducted daily before the start of data collection after the vehicle sensors have warmed up.

## 3.3.Supporting Data Collection Systems

There are several other support systems that work in conjunction with the LCMS and inertial profiler systems:

- *Inertial Measuring Unit (IMU)*
- *Mobile LiDAR with integrated spherical camera system*
- *Lane Departure Warning System*

### ➤ ***Applanix POS LV GPS with integrated Inertial Measuring Unit (IMU)***

The Applanix POS LV is a high accuracy Position and Orientation System, utilizing integrated inertial technology to generate stable, reliable and repeatable positioning solutions for land-based vehicle applications. It includes two GPS antennas to determine position and orientation, and has an integrated IMU for positional correction when GPS signal is compromised. This system is integrated with both the LCMS and profiler systems to provide a single high-precision source for positional information.

### ➤ ***Optech SG1 Mobile LiDAR platform with integrated FLIR Ladybug5 spherical camera system***

While Light Detection and Range (LiDAR) data is not part of the pavement data collection process, its integrated spherical camera system collects right-of-way imagery that is a standard part of the pavement quality control process. This system combines five spherical cameras into a 360-degree view around the vehicle. This allows data collection processors and subject matter experts (SME) to confirm pavement conditions using an independent image collection system.

**Figure 5. Mobile LiDAR system with spherical camera**



### ➤ ***ADVENT LDWS100 Advanced Driving Assistance System***

The ADVENT LDWS100 is a lane departure warning system, that alerts the driver of vehicle wander. This system is used for both safety reasons and quality control, as significant vehicle wander outside of normal travel lanes and/or wheel paths can compromise the quality of data collected with the LCMS and IP.

## 4. Data Quality Standards & Acceptance

The key deliverables, protocols used for collection, and associated quality standards are described in the sections below. The resolution, accuracy, and repeatability measures are used to determine the quality standards for deliverables. Acceptance criteria uses these standards as the baseline to determine if the deliverable data is within acceptable limits.

### ➤ Data Quality Standards

**Table 5. Data Quality Standards.**

Data Quality Standards				
Deliverable	Protocols	Resolution	Accuracy (compared to reference value)	Repeatability (for three repeat runs)
IRI (average)	ASSHTO	1 in/mi	± 10% of Class I profiler or within 10% of NJDOT value.	± 10% run to run for three runs
Rut Depth (average)	ASSHTO	0.01 in	± 0.08 in compared to manual survey	± 0.08 in run to run for three runs
Faulting (average)	ASSHTO	0.01 in	± 0.08 in compared to manual survey	± 0.08 in run to run for three runs
Cracking Percent	ASSHTO	1%	± 2% compared to manual survey	± 2% compared to manual survey

In addition to the above, no more than 5% of the total lane miles on highways can have missing, invalid or unresolved data in accordance with FHWA ruling § 490.313.

### ➤ Data Acceptance

The focus of acceptance criteria is to validate that deliverables meet the established quality standards, as described above. The following describes acceptance testing, frequency, and corrective actions for data that fails to meet acceptable quality standards. A general guideline for error resolution is as follows:

- *Procedural Error – Adjust the procedure and reprocess data*
- *Processing Error – Reconfigure processing parameters and reprocess data*
- *Data Quality and Omission Errors – Reject the data and recollect*
- *Data Correctness Error – Reject the data and recollect*

**Table 6. Data Acceptance Criteria & Error Resolution.**

Acceptance Criteria				
Deliverable	Acceptance (% Within Limits)	Acceptance Test	Frequency	Error Resolution (Action if Criteria Not Met)
IRI, Faulting, Rutting & Cracking Percent	90%	Within 10% of NJDOT value	Before deliverable submission	Document discrepancies and verify with pavement imagery and construction schedule. Conduct repeatability test on sample section to verify data.
	90%	Within 20% of year-over-year (YoY) value	Before deliverable submission	Document discrepancies and verify with pavement imagery and construction schedule. Conduct repeatability test on sample section to verify data.
	95%	10% Repeatability on random samples	Weekly	Deliverables cannot be submitted. Recalibrate and reverify profiler and recollect.
	95%	Within 10% of blind control section sample	Weekly	Deliverables cannot be submitted. Recalibrate and reverify profiler and recollect.
GPS Coordinates	100%	Full GPS coverage on all inventoried segments	Daily	Recollect areas of missing GPS.
Location of Segment	100%	Correct location of conflated pavement data to segments	Daily	Reconfigure and reprocess GIS scripts or recollect.
Panoramic Images*  LCMS Pavement Images*	98%	Legible signs, images in focus, clean lens (free of dirt, debris and water). No more than 5 missing consecutive images.	Before deliverable submission	Recollect areas with bad/missing images.

\*Only when required as deliverable

## 5. Data Quality Control Measures

Effective data quality control measures are performed so that accurate and complete pavement data is collected and processed in a repeatable manner. Table 7 outlines Michael Baker’s standard quality control measures that are in place for each pavement data collection project. Appendix C (*Data Quality Activities Roles & Responsibilities Matrix*) contains a detailed list of quality activities that each key member of a project performs that relate to each quality control measure shown below.

**Table 7. Quality Control Measures.**

Quality Control Measures		
Pre-Production	During Production	Post-Production
<ul style="list-style-type: none"> <li>• Personnel Training &amp; Certification</li> <li>• Define Quality Standards &amp; Acceptance Criteria</li> <li>• Equipment Configuration &amp; Calibration</li> <li>• Project Database Setup &amp; Application Configuration</li> <li>• Mission Planning</li> <li>• Pre-Collection Test Runs</li> </ul>	<ul style="list-style-type: none"> <li>• Daily Log Sheet</li> <li>• Weather, Equipment &amp; Road Conditions Report</li> <li>• Real-Time Data Checks</li> <li>• Real-Time Vehicle Tracking</li> <li>• Field Data Checker</li> <li>• XML Data Loader</li> <li>• Distress Rater Consistency Checks</li> </ul>	<ul style="list-style-type: none"> <li>• Post-Data Collection Processing</li> <li>• Network Data Spatial Validation</li> <li>• Global Database Checks</li> <li>• GPS Verification</li> <li>• LCMS QA/QC Tool</li> <li>• Panoramic Image QA/QC</li> <li>• Quality Management Reporting</li> </ul>

### 5.1.Pre-Production

#### ➤ Personnel Training and Certification

A foundational component of Michael Baker’s data collection process is the adequate and comprehensive training and certification of field technicians.

#### Field Technician Training & Certification

All LCMS field technicians are required to complete an extensive week-long training program. This training includes classroom-style instruction, equipment demonstrations, and first-hand LCMS data collection and equipment setup/shutdown procedures. Field technicians will receive a copy of the *Guide for LiDAR and LCMS Van Manual* which describes the items and procedures listed below in detail. These items are explained in detail during training.

**Table 8. LCMS Field Technician training topics.**

Guide for LiDAR and LCMS Van Manual – Training Topics	
Topic	Sample Details
1. Required Equipment	Laptop / Power Supply MiFi / Charger iPad / Charger Field Notes SSD Drives and Spare Drives External Hard Drive
2. Procedure for Base Station Setup/Breakdown	Connecting hemisphere, power cable, battery
3. Van Startup Process	Uncover, setup, and clean cameras Secure DMI cables Describes order that equipment must be turned on Describes order that software must be turned on Describes configuration of software settings Formatting disks to prepare for collection Setting up location to save collected data to Acquiring GPS Satellites



	Perform 3 test runs before collection
<b>4. Data Collection</b>	Monitoring of GAMS Solution (GPS) Monitoring of LCMS images in real-time Tracking Progress in Collector Application on iPad Vehicle and Passenger Safety Monitoring Disk Space Completing Field Notes
<b>5. Changing Drives During Collection</b>	Perform 3 test runs before continuing collection
<b>6. Van Shutdown Process</b>	Obtain Fixed Integer GAMS solution Transfer logging files Describes order to shutdown software programs Power down equipment Remove DMI Cover and close camera
<b>7. Data Extracting</b>	LiDAR, Ladybug, CORS, SBET, LCMS, Profiler data transfer
<b>8. Boresight Calibration</b>	Calculate heading, pitch, roll, and lever arm adjustments to each individual sensor
<b>9. Troubleshooting</b>	Describes procedures if cameras images do not display or LiDAR lasers do not fire

In addition to the above knowledge included in the *Guide for LiDAR and LCMS Van Manual*, field technicians will also learn techniques to verify if the equipment is calibrated:

- *Verification of DMI*
- *Accelerometer “bounce” test*
- *Sensor “block test”*

Field technicians are also instructed on factors that may affect data quality (environmental, GPS, calibration, etc.), so acceptable collection conditions can be attained.

At the end of the week-long training, all field technicians must pass an internal written test and a practical test demonstrating their ability to startup and configure the van for collection, follow LCMS data collection protocols, shutdown equipment and transfer data, and perform DMI, bounce, and block calibration tests on both the LCMS and inertial profiler systems. If the field technician passes both the written and practical exam, he/she will receive LCMS Data Collection Certification by Michael Baker.

National Center for Asphalt Technology (NCAT) Certification

In addition to receiving LCMS Data Collection Certification internally, data collectors are also required to obtain internal profiler certification through the National Center for Asphalt Technology (NCAT) at Auburn University. This certification program is compliant with *ASSHTO R 56-14 – Standard Practice for Certification of Inertial Profiling Systems* and is considered the ultimate test of pavement profiling equipment. Completing this certification prior to data collection so there is a high level of confidence that the data is collected accurately and represents real world conditions.

Operators are required to renew their certification status every three (3) years, while the SSI internal profiling system is re-certified on an annual-basis. As part of the NCAT certification process users are required to:

- *Partake in a pavement profiling training course;*
- *Pass a written exam;*
- *Successfully perform the block test, bounce test and DMI calibration and*
- *Operate the internal profiler on various test sections and achieve repeatability and accuracy scores of 92% and 90% respectively.*

The certification process is completed in accordance with the Alabama Department of Transportation procedures *ALDOT 448-12 – Evaluating Pavement Profiles*, which can be found in Appendix D. Major component repairs and/or replacement to the inertial profiler also warrant recertification. This includes repairs or replacement of:

- *The accelerometer and/or associated hardware*
- *Laser height sensor and/or associated hardware*
- *DMI*
- *Any circuit of the inertial profiler's CPU*
- *Modification of software parameters and scale factors as required by the manufacturer that are foundational to the certification process*

➤ ***Define Quality Standards & Acceptance Criteria***

It is Michael Baker's SOP to have established Data Quality Standards and Acceptance Criteria before the start of data collection project. If an agency does not have standards or acceptance criteria in place, Michael Baker will work with the agency to establish them. See Section 4 on Data Quality Standards and Acceptance Criteria for the NJDOT data collection project.

➤ ***Equipment Configuration & Calibration***

Equipment configuration and calibration is performed before the start of a project, and at regular intervals during the project based on the data collection system. See Section 3 for specifics on Michael Baker's equipment calibration protocols.

➤ ***Project Database Setup & Application Configuration***

Michael Baker has built and continuously refines post-processing methods and applications to process and deliver high-quality pavement condition data in a variety of standardized formats. Central to the project delivery system is an enterprise project database which is established for each project. The Project Manager works with a Database Administrator to define project parameters during the database configuration process at the onset of each new project. An existing database schema that contains tables, views, procedures, users, roles, etc. has been developed and designed to work with data outputted from the mobile data collection equipment. This database schema is replicated on each new project database which allows for consistent project delivery every data collection cycle

In addition to the establishment of a project database, the following tools and applications are configured for each project:

- ***LCMS Field Data Checker*** – Application used in the field after data collection to validate raw field data collected by the LCMS system.
- ***LCMS XML Loader*** – Used to load processed LCMS data (in XML format) into the project database.
- ***LCMS QA/QC Tool*** – Used to verify types and quantities of distresses automatically detected by the LCMS system
- ***GPS Loader*** – Loads post-processed GPS data from the Applanix system into the project database.

➤ ***Mission Planning***

An important step in the pre-production activities is the field inventory mission planning conducted by the Field Crew Coordinator in conjunction with the Project Manager and the agency. This involves clearly defining the location, names, mileages, and inventory direction of all routes scoped for data collection. GIS Technicians define the routes in ESRI's Collector application before data collection begins. Michael Baker will also work with the agency in the mission planning effort to best align the inventory schedule with other planned pavement maintenance activities.

During data collection, the ESRI Collector application tracks the van's position on the ESRI Open Street Map, thus verifying that the operator is driving the intended route. The map also provides the operator with the ability to see the exact starting and ending positions of each route. The application allows users to track progress by marking off collected/completed routes, thus minimizing accidental recollection and tracking progress and percent of total mileage complete.

➤ **Pre-Collection Test Runs**

Once the LCMS system is running, field technicians are required to do a minimum of three (3) sample collections to verify that adequate GPS acquisition and to check that the LCMS images are displaying with acceptable clarity and exposure. Once test runs are completed and pre-production quality control measures are documented, data collection for production may begin.

## 5.2. During Production

➤ **Daily Log Sheet**

A Daily Log Sheet is provided to field technicians which is completed during each day’s collection. It includes the date, collection vehicle, van startup time, personnel names, routes collected in consecutive order, special notes/circumstances for each route, potential risks to data quality/corrective actions, and shutdown time. If issues arise in post-processing the data, the user can refer to these field notes for additional information or guidance and determine if reprocessing or recollection is necessary.

➤ **Weather, Equipment & Road Conditions Report**

To effectively perform accurate data collection that is representative of true pavement conditions at the time of inventory, air temperatures must be above 35°F and the roadway surface must be dry and ideally be free of debris. Our team will work with an agency to schedule data collection when roads are clear and generally free of salt and sand that may have been applied as part of a winter weather treatment program.

Field technicians will observe if conditions are suitable for collection. The LCMS van cannot be operated in freezing temperatures as the accuracy of data collection may be compromised. A vehicle safety inspection is conducted to verify that equipment is secured within and outside the vehicle (DMI attached securely, monitors secured, etc.) and that tire pressure is at appropriate levels. Weather conditions and results of the vehicle safety inspection are documented in the report.

➤ **Real-Time Data Checks**

During field data collection, the System Operator checks that data collection systems are continuously recording valid data streams.

**Table 9. Real-Time system checks with stop\pause conditions.**

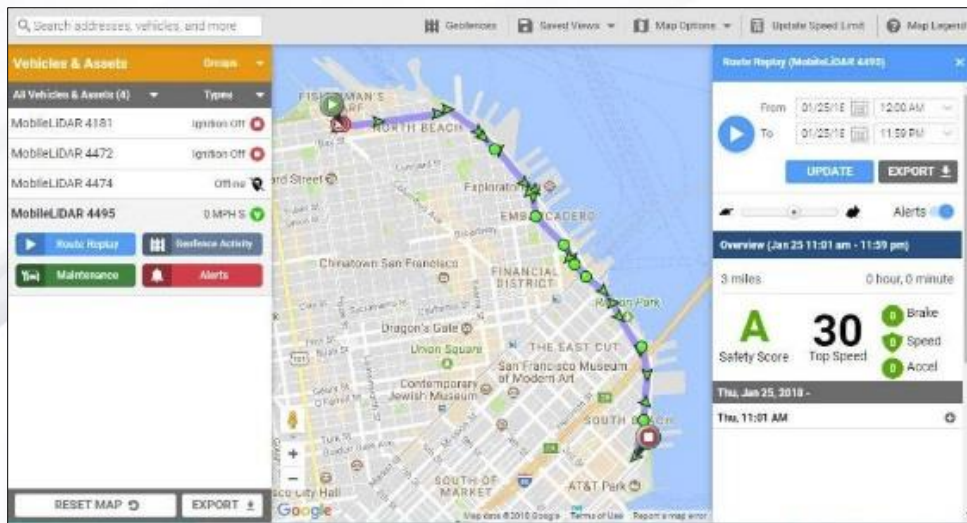
System	Checks	Stop \ Pause Collection Conditions
LCMS	<ul style="list-style-type: none"> <li>Images are in focus, clear with minimal ‘Out of Range’ data.</li> <li>Good GPS data stream</li> </ul>	<ul style="list-style-type: none"> <li>&gt; 30 second delay in images appearing on screen</li> <li>5 consecutive bad images</li> <li>GPS loss &gt; 1 minute</li> <li>Acquisition application or computer crash</li> </ul>
Profiler	<ul style="list-style-type: none"> <li>Continuous live profiler data stream</li> </ul>	<ul style="list-style-type: none"> <li>&gt; 15 second delay of profiler data stream</li> <li>Profiler application or computer crash</li> </ul>
Applanix	<ul style="list-style-type: none"> <li>Good GPS data stream</li> </ul>	<ul style="list-style-type: none"> <li>GPS signal loss &gt; 1 minute</li> <li>Applanix application or computer crash</li> </ul>
Imagery	<ul style="list-style-type: none"> <li>Images are in focus, clear with good contrast and coloring</li> </ul>	<ul style="list-style-type: none"> <li>&gt; 30 second of bad image stream</li> <li>Ladybug application or computer crash</li> </ul>

The Driver and System Operator should also monitor that the van is driven in the predefined lane of travel and make note of areas where construction, lane closures, or other interferences prevented collection in the selected lane. In addition, both field technicians are responsible for verifying that the van is driven as close to the center of the lane as possible to eliminate potential noise from rumble strips, debris on the side of the road, dropoffs, etc. These protocols help to obtain the most accurate and representative data is collected for the roadway section.

➤ **Real-Time Vehicle Tracking**

Michael Baker’s designated field crew coordinator maintains daily contact with inventory crews to track progress and address issues that may arise. The mobile data collection vehicle is also equipped with tracking technology that allows the field coordinator to view the real-time location of crews and track route history which aids in monitoring productiveness.

**Figure 6. Vehicle real-time tracking system.**

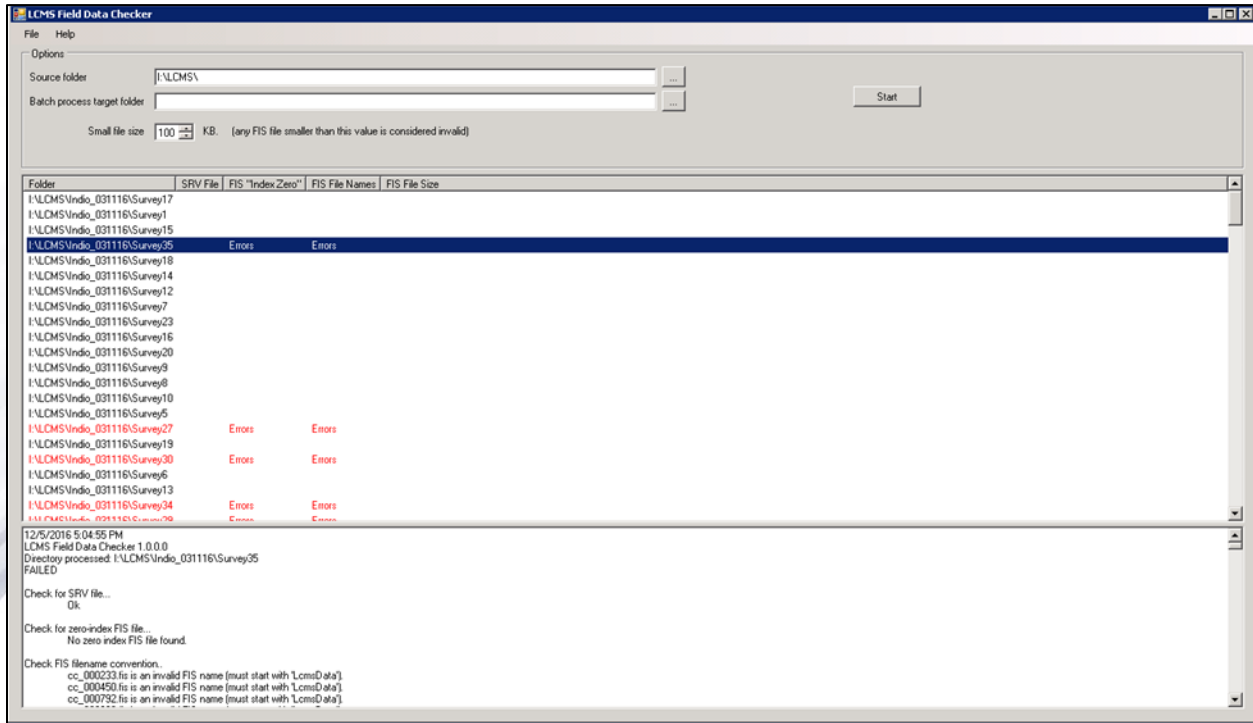


➤ **LCMS Field Data Checker**

After data collection is complete, field technicians run raw collected data through Michael Baker’s custom-built LCMS Field Data Checker tool. This tool validates the integrity of the raw LCMS data and produces warnings and/or errors where discrepancies may exist. It should be noted that the Field Data Checker validates data conditions and not whether the data was collected acceptably or if the system operated correctly. However, data discrepancies can indicate that operational errors may have occurred and errors produced from this tool must be documented and evaluated. It is SOP that this tool be run after each day’s collection before the system is shutdown. The LCMS Field Data Checker tool validates for the following:

- *Each folder must contain only 1 SRV file (more than 1 SRV file may indicate a collected data was overwritten)*
- *Correct FIS file naming convention - Prefix of “LcmsData\_”*
- *Correct FIS file naming convention – Must have a 6-digit number following the prefix, such as “LcmsData\_000123.fis”*
- *FIS files must be “zero-indexed.” The first FIS file must be “LcmsData\_000000.fis”*
- *FIS Files should be 3 – 5 MB in size and usually about 4 MB (FIS files significant less than this indicate possible drive-write errors and/or file corruption).*

Figure 7. LCMS Field Data Checker with error conditions in red.



Field technicians complete and submit their Field Note logs to the Field Crew Coordinator at the end of each collection day and follow defined LCMS van shutdown protocol.

➤ **LCMS XML Data Loader**

The LCMS XML Data Loader is another custom-built application that is used to load processed LCMS data (in XML format) to the enterprise project database. A key component of this application is the ability to check and verify the schema of the incoming processed data. Errors are triggered if the schema of the XML data does not match the defined schema of the target database.

Figure 8. LCMS XML Data Loader.



## 5.3. Post Production

### ➤ *Post-Data Collection Processing*

After data collection is complete, field technicians upload raw field data to Michael Baker's secure data center daily or weekly, depending on the project scope. The data should be delivered and uploaded on schedule, so that if errors are identified, ample time exists to recollect and reprocess new data. Michael Baker's Pavement SME will process the collected data through proprietary LCMS RoadInspect software and apply the standard and appropriate configuration parameters. The Pavement SME may reprocess the data multiple times with different configurations to verify that distresses are correctly identified and classified. Once data processing is complete, the LCMS data is loaded through Michael Baker's custom-built XML Loader application to the project database.

### ➤ *Network Data Spatial Validation*

Network-wide validation of the data is performed spatially with GIS, which is used to display GPS tracks that allows the reviewer to verify accurate and consistent spacing between FIS files (each FIS file represents one survey), verify lane location and drive path, identify FIS files with missing GPS information, identify routes not collected, and potentially locate other miscellaneous errors. Ground-truthing can also be performed by comparing markings/stripings in the aerial imagery with those displayed in the LCMS images, and it allows the reviewer to verify the reported pavement type matches the ground pavement type. This spatial review of the data helps verify that the GPS, DMI, and LCMS lasers functioned correctly, that the data matches real world conditions, and that the data collection crew drove the routes correctly.

### ➤ *Global Database Checks*

A series of checks are completed on the compiled raw data in the project database. Within a Pavement Management Project Database, there are several key tables containing high-level data collection information, as well as detailed distress data. For the high-level data collection information, it is required that the LCMS Analysts run global database checks to verify formatting of key fields (date, time, file path, etc.), check for missing or null data in required fields (survey set, survey id, GPS, etc.), and verify that key fields have values within the expected ranges or domains.

#### Distress Specific Database Checks

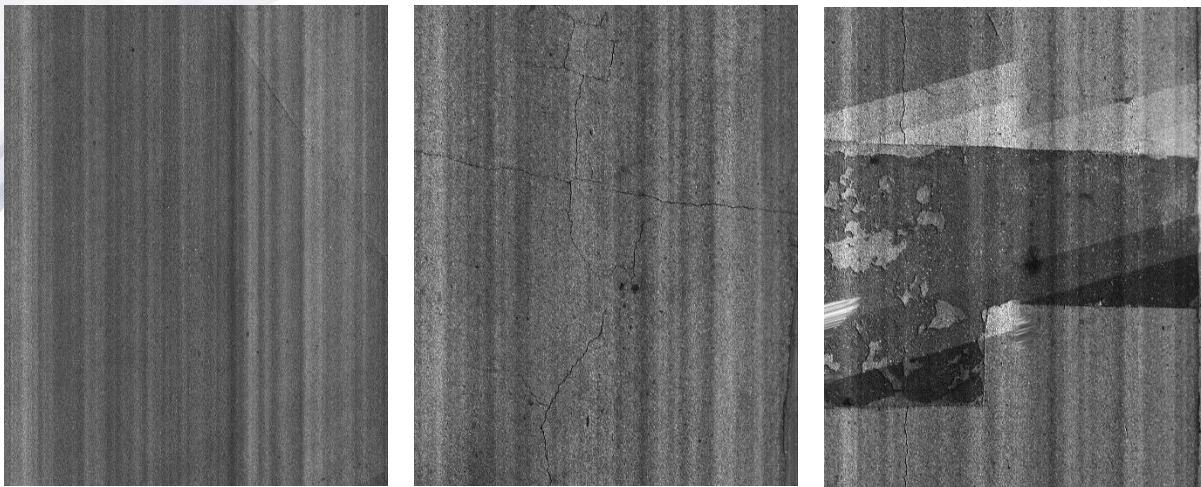
Review of the distress data (IRI, rutting, faulting, fatigue cracking, etc.) requires detailed analysis and section sampling. Due to the large quantity of raw distress data, this information is typically reviewed at an aggregate level by route and/or milepost sections (for example, tenth mile sections). The following measures are reviewed for each distress type through validation scripts:

- *Data exists for each road segment and milepost section*
  - *There should be ~20 FIS files for every 1/10<sup>th</sup> mile section*
- *Data is in the correct unit (mm, ft.) and rounded correctly*
- *Data is in the expected value range for the distress type*
  - *IRI should generally not have values of less than 30 or greater than 300*
  - *Distress rating for linear segments cannot be greater than segment length*
- *Null and negative distress values used appropriately*
- *Missing condition data*
- *Duplicate records*

- *Gaps in data*
- *Sudden changes in distress values, such as IRI or rutting values*
- *Distress type matches reported pavement type*

#### Visual Verification of Distresses

As standard protocol, the LCMS Analyst will select sample sections for each distress type and condition rating. They will verify that the data low points (good conditions), high points (poor conditions), and mid points (average conditions) correspond to the distresses displayed on the LCMS images. The LCMS Analyst will verify that the pavements sections marked as 'poor condition' visibly appear to be more deteriorated than those sections marked as in 'good condition.'



*Low IRI*

*Medium IRI*

*High IRI*

In addition, roadway sections containing distress values outside of the expected range require further analysis. For example, if a half mile section possesses an unusually high IRI compared to the adjacent sections, then further evaluation is required. The Pavement SME should query out the LCMS images for this section and review them to determine if the reported IRI values correspond with the assessed pavement quality. This assessment should be completed by the Pavement SME, as they will have the best judgement on whether distress values coincide with the LCMS image pavement quality. For example, the Pavement SME would verify that a high IRI value exists because the corresponding images show signs of deteriorated pavement. If no correlation is found between abnormal distress data values and the LCMS images, recollection or data reprocessing may be required. When necessary, Michael Baker will perform field verification of distress and condition ratings to verify the data is accurate.

#### Compare Distress Values to Historical Data

Distress data will be verified by comparing it with the previous year's data. The roadway names and sections can be joined to the previous year's collection data. The distress values can then be compared through a calculated percent change or difference and represented graphically comparing both years' data side by side. Sections where distress values have changed more than the defined threshold will be investigated. The reviewer will analyze the LCMS images, comparing both years, to determine if there are detectable pavement changes (resurfacing, new patching, etc.) that may contribute to changes in the reported distress values. If a significant data change cannot be explained, recollection or data reprocessing may be required.

The following table summarizes the quality control actions taken for major deliverables.

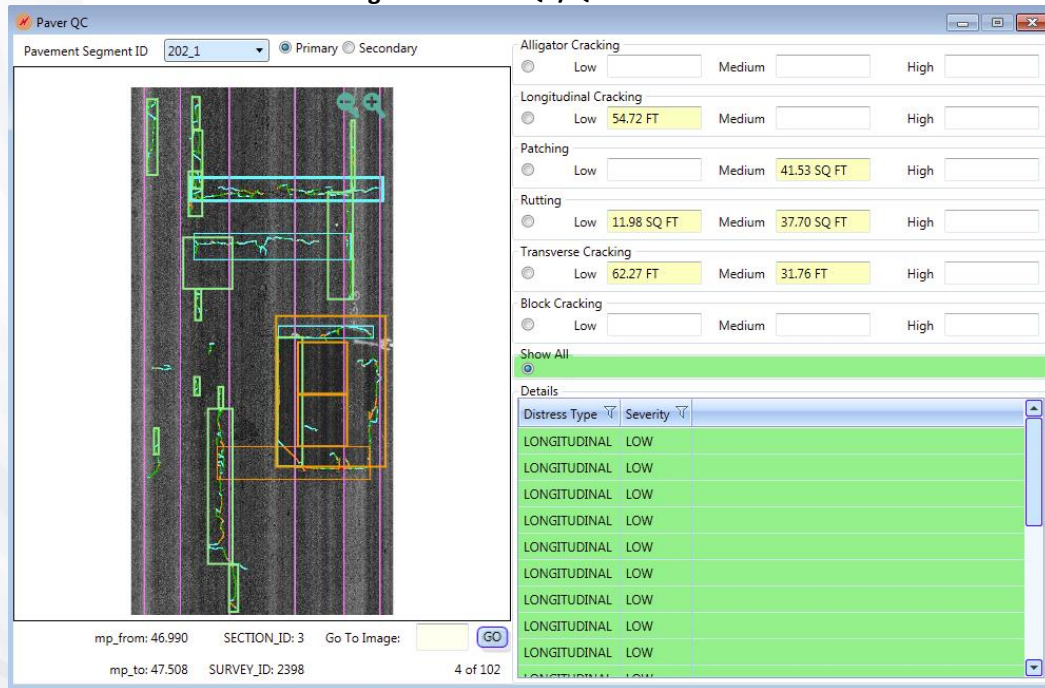
**Table 20. Quality control activities.**

Quality Control Activities			
Deliverable	Quality Expectations	QC Activity	Frequency/Interval
IRI Rut Depth Faulting Cracking Percent	95% Compliance with Standards	Initial equipment configuration, calibration, verification	Pre-collection
		Daily equipment checks and monitor real-time	Daily
		End of day data review and Field Data Checker	Daily
		Control, blind, or verification testing	Weekly
		Inspect uploaded data samples	Daily
		Inspect processed data	Daily
		Final data review	Prior to input into the PMS database

➤ *LCMS QA/QC Tool*

The Michael Baker LCMS QA/QC Tool is used to verify the quantities and classification of distresses automatically detected by the LCMS RoadInspect software. The Quality Manager, Data Processing Manager and Pavement Engineer for the project work together to review a 2.5% sample of the network-level to verify that distress types, severities and extents are correctly being classified. If significant discrepancies are found, they can usually be corrected by adjusting LCMS RoadInspect application configuration parameters and reprocessing the raw field data.

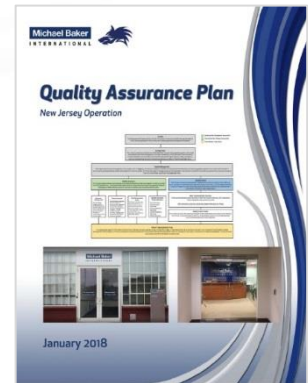
**Figure 9. LCMS QA/QC Tool.**





➤ **Quality Management Reporting**

Pavement quality control procedures in the LCMS data review will be documented as per Michael Baker International’s regional Quality Assurance Plan (QAP). This office QAP describes office-wide planned processes and systematic actions, quality practices, and resources that are to be undertaken and which Michael Baker will follow to deliver quality data products. It requires that all client deliverables must be reviewed by the person executing the task, by a qualified colleague, the project manager, and all reviews must be documented in the office-wide Michael Baker Quality Management Application. Under this plan, a ‘Form A’ or ‘Form B’ must be completed to document that all required items were reviewed and corrective actions must be documented. This plan holds each party responsible for their part in quality control and serves as an archive of quality control measures completed for each project. These standard procedures are applied to all steps in the review of Pavement Management Projects.



**Figure 10. Michael Baker International Quality Management Tool.**

Baker Quality Management Tool

File New

Quality Control Tools  
Request A Form A Review  
Request A Form B Review  
Subconsultant Form A

Task Manager Tools  
Project Manager Tools

Form A Form B

### Form A Workflow For Kenneth Contrisciane

ID	Project Number	Review Item	Completed By	Reviewer	Update By	Design Phase	Review Phase
902	145168	U\SLD\SLD_20...	Thomas Bruestle	Kenneth Contris...	11/12/2015	Other	Reviewed
1569	154025	PTC Pavement...	Kenneth Contris...	Justin Furch	12/16/2016	Other	Requested
1571	154025	Alpha Pavement	Jiayi Ding	Kenneth Contris...	12/22/2016	Other	Requested
1572	154025	Alpha Pave DV...	Joel Wilson	Kenneth Contris...	12/22/2016	Other	Requested
1575	154025	QC of PTC auto...	Kenneth Contris...	Vahid Ganji	12/23/2016	Other	Requested
1576	154025	Alpha Pavement...	Jiayi Ding	Kenneth Contris...	12/23/2016	Other	Requested
1577	154025	LCMS PRC Calc...	Kenneth Contris...	Justin Furch	1/6/2017	Other	Requested
1578	154025	Manually Collect...	Matthew Staunton	Kenneth Contris...	1/6/2017	Other	Requested
1580	154025	QC of all PTC d...	Kenneth Contris...	Vahid Ganji	1/13/2017	Other	Requested
1581	154025	Match distresse...	Jiayi Ding	Kenneth Contris...	1/18/2017	Other	Requested
1582	154025	Pavement Data	Kenneth Contris...	Tom Tiner	1/20/2017	Other	Requested

### Completed Form A Reviews For Kenneth Contrisciane

ID	Project Number	Review Item	Completed By	Reviewer	Update By	Design Phase	Review Phase
87	135376	Sussex County ...	Kenneth Contris...	Jason Kreyling	3/27/2014	Other	Approved
140	139438	Superload Netw...	Kenneth Contris...	Jason Kreyling	6/27/2014	Other	Approved
83	135376	Sussex County ...	Kenneth Contris...	Kevin McEwan	6/27/2014	Other	Approved
200	133168	Tech Memo #3 ...	Kenneth Contris...	Jason Kreyling	6/30/2014	Other	Approved
330	122526	Test Edits to C...	Jason Kreyling	Kenneth Contris...	7/17/2014	Other	Approved
893	100000	Sample Code	Thomas Bruestle	Kenneth Contris...	11/3/2015	Other	Approved
898	100000	U\SLD\SLD_20...	Thomas Bruestle	Kenneth Contris...	11/10/2015	Other	Approved
899	100000	http://hamivgt...	Thomas Bruestle	Kenneth Contris...	11/10/2015	Other	Approved
900	143148	http://hamivgt...	Thomas Bruestle	Kenneth Contris...	11/10/2015	Other	Approved
901	145168	http://hamivgt...	Thomas Bruestle	Kenneth Contris...	11/10/2015	Other	Approved
904	145168	http://hamivgt...	Thomas Bruestle	Kenneth Contris...	11/10/2015	Other	Approved

Complete Form A Review    Address Form A Comments    Approve Form A    Print

Main | BKR\kcontrisciane | HAMLKONTRIS | BAKER\_QAQC

## 6. References

Pierce, L., McGovern, G., and Zimmerman, K. 2013. *Practical Guide for Quality Management of Pavement Condition Data Collection*. Report No. FHWA-HIF-14-006. Washington, D.C., U.S. Dept. of Transportation, Federal Highway Administration.

Alabama Department of Transportation (ALDOT), *ALDOT Procedures 448-12 Evaluating Pavement Profiles*. March 2015.

**APPENDIX A**  
**Off-State NHS Routes**

Off-State NHS Routes			
Route	Start Milepost	End Milepost	Length
00000501__	0	3.36	3.36
00000501__	3.61	6.99	3.38
00000501__	23.73	37.21	13.48
00000501__	42.86	53.07	10.21
00000502__	7.23	23.79	16.56
00000503__	0	17.95	17.95
00000504__	8.96	15.83	6.87
00000505__	0.66	1.32	0.66
00000505__	1.93	20.64	18.71
00000506__	0	7.28	7.28
00000506S_	0	4.3	4.3
00000506SZ	0	0.29	0.29
00000507__	3.22	20.76	17.54
00000507__	21.35	28.34	6.99
00000508__	0	6.22	6.22
00000508__	6.58	11.53	4.95
00000508__	12.55	12.78	0.23
00000508S_	0	0.31	0.31
00000509__	0.21	0.25	0.04
00000509__	11.55	11.56	0.01
00000509__	22.35	25.78	3.43
00000509S_	0.93	2.98	2.05
00000509Z_	0	0.38	0.38
00000509Z_	2.61	2.87	0.26
00000510__	11.8	29.58	17.78
00000510Z_	0	1.21	1.21
00000511__	21.13	28.26	7.13
00000511A_	7.93	9.77	1.84
00000512__	31.34	32.96	1.62
00000513__	41.35	41.89	0.54
00000514__	24.35	24.59	0.24
00000514__	25.84	34.58	8.74
00000514__	34.67	34.91	0.24
00000516__	7.19	9.22	2.03
00000520__	14.86	16.81	1.95
00000524__	0	1.91	1.91
00000526__	31.73	35.56	3.83
00000527__	42.57	42.92	0.35
00000527__	47.08	51.58	4.5
00000527__	70.1	71.19	1.09
00000527__	76.48	78.17	1.69
00000527Z_	0	0.3	0.3
00000528__	30.25	34.94	4.69
00000529__	0.25	7.53	7.28
00000530__	0	14.28	14.28
00000531__	0.69	1.99	1.3
00000534__	0	0.4	0.4
00000534__	3.83	7.92	4.09
00000535__	14.96	25.84	10.88
00000536S_	0	1.54	1.54
00000537__	0.52	0.64	0.12
00000537__	27.46	28.44	0.98
00000537__	50.81	52.2	1.39

Off-State NHS Routes			
Route	Start Milepost	End Milepost	Length
00000537__	52.38	55.24	2.86
00000541__	16.34	23.84	7.5
00000543__	1.7	16.03	14.33
00000544__	0	1.84	1.84
00000544__	2.16	11.12	8.96
00000545__	6.59	6.94	0.35
00000545__	13.78	14.05	0.27
00000547__	0	0.81	0.81
00000547__	27.08	27.37	0.29
00000549__	1.19	12.35	11.16
00000549S2	1.45	4.09	2.64
00000551__	31.66	34.22	2.56
00000551__	34.22	34.57	0.35
00000553__	41.89	44.25	2.36
00000561__	36.33	43.28	6.95
00000561__	43.28	43.62	0.34
00000561__	43.62	44.9	1.28
00000561__	48.7	48.8	0.1
00000561__	50.42	50.95	0.53
00000563__	4.47	6.27	1.8
00000563__	7.14	13.74	6.6
00000571__	0	1.45	1.45
00000571__	36.51	40.87	4.36
00000571__	41.21	43.96	2.75
00000577__	0	1.43	1.43
00000577__	6.36	9.96	3.6
00000577Z__	0	0.37	0.37
00000585__	0	0.85	0.85
01000620__	0	0.21	0.21
01000629__	0	5.53	5.53
01000638__	0	3.91	3.91
01000692__	0	0.1	0.1
01000694__	0	0.09	0.09
01021017__	0.42	0.84	0.42
01021363__	0	0.12	0.12
01021364__	0.12	0.24	0.12
01021368__	0.12	1.12	1
01081204__	0	0.12	0.12
01151100__	5.51	7.45	1.94
01211145__	0	0.65	0.65
01221307__	0	1.63	1.63
02000039__	0.4	9.63	9.23
020000411__	5.86	6.05	0.19
02000049__	0	0.49	0.49
02000049__	4.06	4.64	0.58
02000049__	4.99	6.16	1.17
02000049__	6.27	7.54	1.27
02000049S__	0	0.3	0.3
02000059__	2	6.17	4.17
020000592__	0	0.51	0.51
02000068__	0	0.17	0.17
02000069__	0	0.3	0.3
02000070__	0	0.33	0.33

Off-State NHS Routes			
Route	Start Milepost	End Milepost	Length
02000070__	0.52	3.97	3.45
02000072__	0	2.05	2.05
02000077__	0	0.89	0.89
020000801__	0	1.69	1.69
020000801__	1.79	6.48	4.69
02000081__	5.01	5.1	0.09
02000081__	5.1	5.34	0.24
02000084__	2.48	2.84	0.36
02000084__	4.43	7.86	3.43
02000087__	4.05	7.27	3.22
02000087__	7.27	7.85	0.58
02000087S__	0	0.57	0.57
02000094__	0	2.44	2.44
02000096__	0	3.12	3.12
020001101__	3.83	4.68	0.85
020001242__	0.23	0.55	0.32
02000s68__	0	0.14	0.14
02000S81__	0	0.42	0.42
02000S89__	1	1.28	0.28
02031258__	2.54	2.55	0.01
02151042__	0	0.07	0.07
02151676__	0	0.18	0.18
02151677__	0.13	2.3	2.17
02191168__	0.39	0.47	0.08
02201305__	0	0.15	0.15
02321149__	0	1.61	1.61
02481229__	0	0.15	0.15
02521125__	0	0.07	0.07
02611255__	0	0.14	0.14
03000626__	1.83	9.01	7.18
03000632__	0	0.63	0.63
03000680__	0	2.51	2.51
04000603__	0	0.62	0.62
04000603__	2.3	2.41	0.11
04000605__	0	1.43	1.43
04000606__	0	0.18	0.18
04000611__	1.11	1.36	0.25
04000623__	0.32	0.55	0.23
04000631__	0	0.68	0.68
04000633__	0	0.85	0.85
04000635__	1.6	2.73	1.13
04000636__	0	2.83	2.83
04000644__	0	6.46	6.46
04000667__	1.2	1.56	0.36
04000670__	2.84	3.16	0.32
04000686__	0	0.54	0.54
04000689__	0	6.93	6.93
04081537__	0	0.21	0.21
04081551__	0.2	0.74	0.54
04081580__	0.09	0.71	0.62
04081585__	0.14	0.28	0.14
04081587__	0.51	0.57	0.06
04081630__	0	1.05	1.05

Off-State NHS Routes			
Route	Start Milepost	End Milepost	Length
05000601__	0	0.53	0.53
05000621__	5.3	7.79	2.49
05000623__	0.28	2.33	2.05
05081275__	0	2.67	2.67
05081277__	0	0.38	0.38
07000603__	0	3.72	3.72
07000609__	0	4.12	4.12
07000613__	2.64	6.06	3.42
07000630__	0	1.17	1.17
07000636__	1.86	4.88	3.02
07000638__	0	0.86	0.86
07000649__	0	5.3	5.3
07000658__	0	3.88	3.88
07000659__	0	0.7	0.7
07000660__	0	0.66	0.66
07000677__	0	1.02	1.02
07111073__	0.79	0.93	0.14
07111127__	0.35	0.54	0.19
07111787__	3.61	4.06	0.45
07111792__	0.58	0.86	0.28
07141814__	0	1.46	1.46
07141819__	0	1.34	1.34
07141820__	0.04	2.78	2.74
07141821__	0	0.6	0.6
07141822__	0	0.57	0.57
07141844__	0	2.13	2.13
07141846__	0	1.11	1.11
07141865__	0	2.38	2.38
07141867__	0	0.33	0.33
07141868__	0	1.98	1.98
07171203__	0	4.1	4.1
08000640__	0.45	1.24	0.79
08000689__	0	5.47	5.47
08221113__	0	2.51	2.51
09000653__	0	3.01	3.01
09000659__	0	1.82	1.82
09000678__	0.48	1.74	1.26
09000681__	0	0.48	0.48
09000681__	3.79	5.31	1.52
09000697__	0.12	0.91	0.79
09011547__	0	0.2	0.2
09061546__	0	0.95	0.95
09061561__	0.77	0.91	0.14
09061562__	0	0.2	0.2
09061564__	0	0.55	0.55
09061699__	1.08	1.58	0.5
09061706__	0.33	0.53	0.2
09061709__	0.44	0.6	0.16
09061722__	0.15	0.62	0.47
09061726__	0	0.44	0.44
09061728__	0.41	0.6	0.19
09111120__	0	0.42	0.42
09111121__	0.61	0.92	0.31

Off-State NHS Routes			
Route	Start Milepost	End Milepost	Length
09121076__	0	0.83	0.83
11000672__	1.97	2.17	0.2
11031969__	3.41	3.55	0.14
11111422__	0	0.09	0.09
11111526__	0	0.66	0.66
11131008__	0	0.21	0.21
11131424__	0.37	1.35	0.98
12000604__	1.81	3.74	1.93
12000604__	3.74	5.4	1.66
12000604__	5.44	6.32	0.88
12000607__	0	0.07	0.07
12000609__	0	0.8	0.8
12000609__	1.69	3.44	1.75
12000617__	0.22	6.58	6.36
12000622__	0	1.62	1.62
12000622__	1.87	6.97	5.1
12000622__	7.04	7.44	0.4
12000649__	0	0.53	0.53
120006573__	0.65	0.96	0.31
120006651__	0	1.32	1.32
12041282__	0.25	0.52	0.27
12101193__	0	0.18	0.18
12102054__	0.68	2.27	1.59
12141066__	0	0.47	0.47
12141070__	0	0.24	0.24
12141231__	0	0.1	0.1
12251948__	0	0.21	0.21
130000042__	7.4	8.03	0.63
13000008__	0	0.43	0.43
13000008__	2.91	3.25	0.34
13000013__	1.92	3.06	1.14
13000013A__	2.64	3.76	1.12
13000050__	6.27	6.79	0.52
13000055__	0	1.1	1.1
13041034__	0	0.24	0.24
13161208__	0	0.07	0.07
13161330__	1.31	1.63	0.32
13171049__	0.45	0.67	0.22
13312101__	0	0.59	0.59
13312101__	0.79	1.66	0.87
13312102__	1.87	2.23	0.36
13312102__	2.34	3.22	0.88
14000623__	0	2.34	2.34
14000630__	0	0.51	0.51
14000654__	0.62	0.66	0.04
14000665__	0	1.1	1.1
14000694__	0	0.22	0.22
14041173__	1.63	1.78	0.15
14171001__	0	0.09	0.09
14171002__	0.61	0.73	0.12
14171177__	0	0.11	0.11
14221332__	0.45	1.07	0.62
14241160__	0	0.33	0.33



Off-State NHS Routes			
Route	Start Milepost	End Milepost	Length
14241165__	0	0.31	0.31
15000623__	0	8.71	8.71
15000631__	3.12	3.51	0.39
15000632__	0	1.76	1.76
15000632__	2.52	3.5	0.98
15000635__	2.05	2.36	0.31
15062138__	0	0.37	0.37
15250010__	0.18	0.4	0.22
15250016__	0	1.03	1.03
16000601__	0.28	6.64	6.36
16000621__	0.99	3.49	2.5
16000640__	0.79	2.25	1.46
16000646__	1.46	4.18	2.72
16000649__	0	3.07	3.07
16000659__	0	2.62	2.62
16000673__	0	2.44	2.44
16000681__	0	3.22	3.22
16000689__	0	2	2
16000689__	2.79	3.85	1.06
16000697__	0	3.54	3.54
16000709__	0	0.26	0.26
16081233__	0	0.05	0.05
16081447__	0.24	2.12	1.88
16081455__	0	1.38	1.38
16081467__	0	0.09	0.09
16081508__	0	0.04	0.04
16141702__	0	1.25	1.25
18000617__	1.86	1.91	0.05
20000608__	0.53	1.29	0.76
20000613__	0	1.53	1.53
20000613__	1.57	1.9	0.33
20000613__	1.9	4.46	2.56
20000623__	0	1.4	1.4
20000624__	0.66	2.51	1.85
20000630__	0.17	0.72	0.55
20000638__	0.32	0.55	0.23
20000655__	3.42	4.82	1.4
20041015__	0	0.97	0.97
20041150__	0	0.09	0.09
200413861__	0.18	0.29	0.11
200413862__	1.73	2.06	0.33
200413892__	0	1.47	1.47
200413893__	0	0.55	0.55
20041394__	0	0.27	0.27
20041427__	0.09	0.16	0.07
21000678__	0	0.92	0.92
21191211__	0	0.64	0.64
<b>Total Mileage</b>			<b>629.59</b>

**APPENDIX B**  
**NCAT Certification Documentation**

# Certificate of Completion

Vahid Ganji, Michael Baker International, Inc.

This is to certify that the person named above has successfully completed the

## Operator Certification for Inertial Profilers

By NCAT at Auburn University

March 6, 2018

Profiler	Ames Engineering – Model 8300			
Repeatability (≥92%) Accuracy (≥90%)	Rough DGA	Medium-Smooth DGA	Smooth DGA	OGFC (≤5%)
	99%	99%	99%	1%
	97%	95%	96%	



Jason Nelson, Training Coordinator  
National Center for Asphalt Technology



DEPARTMENT OF TRANSPORTATION

# Certificate of Completion

Clark Jackson, Michael Baker International, Inc.

This is to certify that the person named above has successfully completed the

## Operator Certification for Inertial Profilers

By NCAT at Auburn University

March 6, 2018

Profiler	Ames Engineering - Model 8300			
Repeatability ( $\geq 92\%$ )	Rough DGA	Medium-Smooth DGA	Smooth DGA	OGFC ( $\leq 5\%$ )
Accuracy ( $\geq 90\%$ )	99%	98%	99%	2%
	96%	93%	93%	



Jason Nelson, Training Coordinator  
National Center for Asphalt Technology



DEPARTMENT OF TRANSPORTATION

# Certificate of Completion

**Jack King, Michael Baker International, Inc.**

This is to certify that the person named above has successfully completed the

## Operator Certification for Inertial Profilers

By NCAT at Auburn University

March 6, 2018

Profiler	Ames Engineering - Model 8300			
Repeatability ( $\geq 92\%$ )	Rough DGA	Medium-Smooth DGA	Smooth DGA	OGFC ( $\leq 5\%$ )
Accuracy ( $\geq 90\%$ )	99%	98%	99%	2%
	96%	93%	93%	



Jason Nelson, Training Coordinator  
National Center for Asphalt Technology

# Certificate of Completion

**Kenneth Contrisciane, Michael Baker International, Inc.**

This is to certify that the person named above has successfully completed the

## Operator Certification for Inertial Profilers

By NCAT at Auburn University

March 6, 2018

Profiler	Ames Engineering - Model 8300			
	Rough DGA	Medium-Smooth DGA	Smooth DGA	OGFC ( $\leq 5\%$ )
Repeatability ( $\geq 92\%$ )	99%	99%	99%	1%
Accuracy ( $\geq 90\%$ )	97%	95%	96%	



DEPARTMENT OF TRANSPORTATION

Jason Nelson, Training Coordinator  
National Center for Asphalt Technology

## **APPENDIX C**

### **Data Quality Activity Roles & Responsibilities Matrix**

### Data Quality Activity Roles & Responsibilities Matrix

<b>Activity</b>	<b>Project Manager (PM)</b>	<b>Quality Manager (QM)</b>	<b>Field Crew Coordinator (FCC)</b>	<b>Fleet Manager (FM)</b>	<b>Field Crew (FC)</b>	<b>Data Processing Manager (DPM)</b>	<b>Pavement Engineer (PE)</b>
<b>General Roles &amp; Responsibilities</b>	<ul style="list-style-type: none"> <li>Verify that scoped project work is complete on-time and on-budget.</li> <li>Develop work plans for assigned tasks.</li> <li>Client communication.</li> </ul>	<ul style="list-style-type: none"> <li>Assist PM in assuring that all deliverables meet established data quality standards &amp; acceptance criteria.</li> </ul>	<ul style="list-style-type: none"> <li>Provide oversight and training of FC.</li> <li>Monitor FC daily production and handle data collection issues.</li> </ul>	<ul style="list-style-type: none"> <li>Provide oversight of all equipment and vehicle maintenance activities.</li> <li>Verify that vehicle and equipment is maintained in a state of good repair.</li> </ul>	<ul style="list-style-type: none"> <li>Perform field data collection.</li> </ul>	<ul style="list-style-type: none"> <li>Provide oversight of incoming raw field data through processed final deliverables.</li> </ul>	<ul style="list-style-type: none"> <li>Serve as Technical Advisor and SME through the project.</li> </ul>
<b>Personnel Training &amp; Certification</b>	<ul style="list-style-type: none"> <li>Verify that assigned staff &amp; equipment have certification at project onset.</li> </ul>	<ul style="list-style-type: none"> <li>Verify that assigned staff &amp; equipment maintain certification throughout project.</li> <li>Conduct Distress Rater certification program, training and testing &amp; related documentation.</li> </ul>	<ul style="list-style-type: none"> <li>FC training and documentation.</li> </ul>	<ul style="list-style-type: none"> <li>Verify that assigned staff &amp; equipment maintain certification throughout project.</li> <li>Verify that profiler meets and maintains Class 1 status.</li> </ul>	<ul style="list-style-type: none"> <li>Achieve and maintain NCAT certification status.</li> </ul>	<ul style="list-style-type: none"> <li>Assist QM with Distress Rating training and certification.</li> </ul>	<ul style="list-style-type: none"> <li>Assist QM with Distress Rating training and certification.</li> </ul>
<b>Define Quality Standard &amp; Acceptance Criteria</b>	<ul style="list-style-type: none"> <li>Verify that data quality standards &amp; acceptance criteria are well defined &amp; documented before project onset.</li> </ul>	<ul style="list-style-type: none"> <li>Communicate data quality standards &amp; acceptance criteria to staff.</li> <li>Verify that quality standards and acceptance is achieved on all final deliverables.</li> </ul>	<ul style="list-style-type: none"> <li>Awareness of data quality standards &amp; acceptance criteria.</li> </ul>	<ul style="list-style-type: none"> <li>Awareness of data quality standards &amp; acceptance criteria.</li> </ul>	<ul style="list-style-type: none"> <li>Awareness of data quality standards &amp; acceptance criteria.</li> </ul>	<ul style="list-style-type: none"> <li>Awareness of data quality standards &amp; acceptance criteria.</li> </ul>	<ul style="list-style-type: none"> <li>Awareness of data quality standards &amp; acceptance criteria.</li> </ul>
<b>Equipment Configuration &amp; Calibration</b>		<ul style="list-style-type: none"> <li>Assuring equipment calibration process is documented.</li> </ul>	<ul style="list-style-type: none"> <li>Training Field Crew on equipment calibration process</li> </ul>	<ul style="list-style-type: none"> <li>Verify that equipment meets and maintains Class 1 status.</li> </ul>	<ul style="list-style-type: none"> <li>Performing equipment calibration per documented standards.</li> </ul>		<ul style="list-style-type: none"> <li>Aid in calibration training if necessary.</li> </ul>
<b>Project Database Setup &amp; Application Configuration</b>	<ul style="list-style-type: none"> <li>Work with DPM and PE to defined project parameters.</li> </ul>	<ul style="list-style-type: none"> <li>Verify that project and application parameters have been documented.</li> </ul>				<ul style="list-style-type: none"> <li>Establish project database &amp; parameters with PM and PE in conjunction with a database administrator.</li> </ul>	<ul style="list-style-type: none"> <li>Assist PM &amp; DPM in establishing project parameters.</li> </ul>
<b>Mission Planning</b>	<ul style="list-style-type: none"> <li>Work with FCC to develop mission plan.</li> </ul>	<ul style="list-style-type: none"> <li>Verify mission plan is documented.</li> </ul>	<ul style="list-style-type: none"> <li>Develop mission plan and engage FC.</li> <li>Verify that mission plan is being carried out during production</li> </ul>	<ul style="list-style-type: none"> <li>Awareness of mission plan.</li> </ul>	<ul style="list-style-type: none"> <li>Execute mission plan and notify FCC of issues in field, data collection problems or deviations of mission plan</li> </ul>		



**Data Quality Activity Roles & Responsibilities Matrix**

<b>Activity</b>	<b>Project Manager (PM)</b>	<b>Quality Manager (QM)</b>	<b>Field Crew Coordinator (FCC)</b>	<b>Fleet Manager (FM)</b>	<b>Field Crew (FC)</b>	<b>Data Processing Manager (DPM)</b>	<b>Pavement Engineer (PE)</b>
<b>Pre-Collection Test Runs</b>	<ul style="list-style-type: none"> <li>Verify that test run results meet project quality standards &amp; acceptance criteria.</li> </ul>	<ul style="list-style-type: none"> <li>Verify that test run results meet project quality standards &amp; acceptance criteria.</li> </ul>	<ul style="list-style-type: none"> <li>Work with FC to conduct test runs</li> </ul>		<ul style="list-style-type: none"> <li>Perform test runs in field.</li> </ul>	<ul style="list-style-type: none"> <li>Oversee processing of test run data.</li> </ul>	<ul style="list-style-type: none"> <li>Aid in test runs if needed.</li> </ul>
<b>Daily Log Sheet</b>	<ul style="list-style-type: none"> <li>Verify that Daily Log template is established for project.</li> </ul>	<ul style="list-style-type: none"> <li>Perform periodic checks of Daily Logs for completeness</li> </ul>	<ul style="list-style-type: none"> <li>Establish project Daily Log and Verify FC is submitting each inventory day.</li> <li>Review Daily Log</li> </ul>		<ul style="list-style-type: none"> <li>Complete Daily Log each day and submit to FCC.</li> </ul>	<ul style="list-style-type: none"> <li>Review Daily Log as necessary as part of data review process.</li> </ul>	
<b>Weather, Equipment &amp; Road (WER) Conditions Report</b>	<ul style="list-style-type: none"> <li>Verify that WER report template is established for project.</li> </ul>	<ul style="list-style-type: none"> <li>Perform periodic checks of WER report for completeness</li> </ul>	<ul style="list-style-type: none"> <li>Establish WER report and Verify FC is submitting each inventory day.</li> <li>Review WER report.</li> </ul>		<ul style="list-style-type: none"> <li>Complete WER report each day and submit to FCC.</li> </ul>	<ul style="list-style-type: none"> <li>Review WER report as necessary as part of data review process.</li> </ul>	
<b>Real-Time Data Checks</b>	<ul style="list-style-type: none"> <li>Approve issue resolution resulting from real-time data check issues.</li> </ul>	<ul style="list-style-type: none"> <li>Verify issues with real-time data checks are resolved.</li> </ul>	<ul style="list-style-type: none"> <li>Keep daily communication with all FC. Report issues related to equipment immediately to PM, QC &amp; FM.</li> </ul>	<ul style="list-style-type: none"> <li>Resolve equipment problems in conjunction with FCC &amp; FC.</li> </ul>	<ul style="list-style-type: none"> <li>Perform real-time data checks and document &amp; report issues immediately to FCC</li> </ul>		
<b>Real-Time Vehicle Tracking</b>			<ul style="list-style-type: none"> <li>Utilize Real-Time Tracking software to keep track of crew location.</li> </ul>	<ul style="list-style-type: none"> <li>Maintain Real-Time Tracking software &amp; hardware.</li> </ul>			
<b>Field Data Checker</b>	<ul style="list-style-type: none"> <li>Approve issue resolution resulting from Field Data Checker.</li> </ul>	<ul style="list-style-type: none"> <li>Verify issues with Field Data Checker are resolved.</li> </ul>	<ul style="list-style-type: none"> <li>Verify that crew is running Field Data Checker. Inform DPM of potential issues.</li> </ul>		<ul style="list-style-type: none"> <li>Run Field Data Checker each inventory day and provide data logs to FCC.</li> </ul>	<ul style="list-style-type: none"> <li>Oversee application design and updates.</li> <li>Review Field Data Checker logs and identify recollect conditions.</li> </ul>	
<b>XML Data Loader</b>	<ul style="list-style-type: none"> <li>Approve issue resolution resulting from XML Data Loader.</li> </ul>	<ul style="list-style-type: none"> <li>Verify issues with XML Data Loader are resolved.</li> </ul>				<ul style="list-style-type: none"> <li>Oversee application design and updates.</li> <li>Run XML Data Loader and resolved issues. Log &amp; report issues to QM.</li> </ul>	

**Data Quality Activity Roles & Responsibilities Matrix**

<b>Activity</b>	<b>Project Manager (PM)</b>	<b>Quality Manager (QM)</b>	<b>Field Crew Coordinator (FCC)</b>	<b>Fleet Manager (FM)</b>	<b>Field Crew (FC)</b>	<b>Data Processing Manager (DPM)</b>	<b>Pavement Engineer (PE)</b>
<b>Distress Rater Consistency Checks</b>	<ul style="list-style-type: none"> <li>Approve issue resolution resulting from distress rater consistency check.</li> </ul>	<ul style="list-style-type: none"> <li>Conduct distress rater consistency checks with PE.</li> <li>Document consistency check and review with DR.</li> </ul>					<ul style="list-style-type: none"> <li>Aid the QM with the distress rater consistency check.</li> </ul>
<b>Post-Data Collection Processing</b>	<ul style="list-style-type: none"> <li>Approve issue resolution resulting from post-data collection processing.</li> </ul>	<ul style="list-style-type: none"> <li>Verify issues with post-data collection processing are documented and resolved.</li> </ul>				<ul style="list-style-type: none"> <li>Oversees all post-production activities.</li> </ul>	
<b>Network Data Spatial Validation</b>	<ul style="list-style-type: none"> <li>Approve issue resolution resulting from network data spatial validation.</li> </ul>	<ul style="list-style-type: none"> <li>Verify issues with network data spatial validation are resolved.</li> </ul>				<ul style="list-style-type: none"> <li>Oversee network data spatial validation process</li> </ul>	
<b>Global Data Checks</b>	<ul style="list-style-type: none"> <li>Approve issue resolution resulting from global data checks.</li> </ul>	<ul style="list-style-type: none"> <li>Verify issues with global data checks are resolved.</li> </ul>				<ul style="list-style-type: none"> <li>Oversee global data checking process</li> </ul>	
<b>GPS Verification</b>	<ul style="list-style-type: none"> <li>Approve issue resolution resulting from GPS verification.</li> </ul>	<ul style="list-style-type: none"> <li>Verify issues with GPS verification process are resolved.</li> </ul>				<ul style="list-style-type: none"> <li>Oversee GPS verification process.</li> </ul>	
<b>LCMS QA/QC Tool</b>	<ul style="list-style-type: none"> <li>Approve issues resolution resulting from LCMS QA/QC Tool process.</li> </ul>	<ul style="list-style-type: none"> <li>Verify sampling, documentation and issues are resolved.</li> </ul>				<ul style="list-style-type: none"> <li>Oversee LCMS QA/QC Tool checking.</li> </ul>	<ul style="list-style-type: none"> <li>Support DPM in LCMS AQ/QC Tool checking process.</li> </ul>
<b>Panoramic Image QA/QC</b>	<ul style="list-style-type: none"> <li>Approve issues resolution resulting from Panoramic Image QA/QC process.</li> </ul>	<ul style="list-style-type: none"> <li>Verify sampling, documentation and issues are resolved.</li> </ul>				<ul style="list-style-type: none"> <li>Oversee Panoramic Image QA/QC process.</li> </ul>	
<b>Quality Management Reporting</b>	<ul style="list-style-type: none"> <li>Approve Quality Management Plan.</li> <li>Project point person for Quality Audits conducted by third-party.</li> </ul>	<ul style="list-style-type: none"> <li>Create Quality Management and share with project team upon PM approval.</li> <li>Verify that all Quality Management activities</li> </ul>	<ul style="list-style-type: none"> <li>Document all role appropriate project related QA/QC activities using Baker Quality Management Tool.</li> </ul>	<ul style="list-style-type: none"> <li>Document all role appropriate project related QA/QC activities using Baker Quality Management Tool.</li> </ul>	<ul style="list-style-type: none"> <li>Document all role appropriate project related QA/QC activities using Baker Quality Management Tool.</li> </ul>	<ul style="list-style-type: none"> <li>Document all role appropriate project related QA/QC activities using Baker Quality Management Tool.</li> </ul>	<ul style="list-style-type: none"> <li>Document all role appropriate project related QA/QC activities using Baker Quality Management Tool.</li> </ul>

**Data Quality Activity Roles & Responsibilities Matrix**

<u>Activity</u>	Project Manager (PM)	Quality Manager (QM)	Field Crew Coordinator (FCC)	Fleet Manager (FM)	Field Crew (FC)	Data Processing Manager (DPM)	Pavement Engineer (PE)
		<p><i>have been conducted and documented.</i></p> <ul style="list-style-type: none"> <li>• <i>Support PM with Quality Audits.</i></li> </ul>					

**APPENDIX D**  
**ALDOT 448-12 – Evaluating Pavement Profiles**

## **ALDOT-448-12 EVALUATING PAVEMENT PROFILES**

### **1. Scope**

- 1.1. This procedure covers the certification requirements and the use of a roadway surface inertial profiler for ride quality measurement for both quality control (QC) and quality assurance (QA) construction testing.

### **2. Referenced Documents**

- 2.1. *AASHTO Standards:*
  - 2.1.1. R 56, Standard Practice for Certification of Inertial Profiling Systems

### **3. Inertial Profiler**

- 3.1. *Housing vehicle*, capable of traveling at consistent speeds while collecting pavement profile data.
- 3.2. *Distance measuring subsystem*, accurate to within 0.15 percent of the actual distance traveled.
- 3.3. *Inertial referencing subsystem*, capable of measuring the movement of the housing vehicle as it traverses the pavement under test.
- 3.4. *Non-contact height measurement subsystem*, capable of measuring the height from the mounted sensor face to the surface of the pavement under test.
- 3.5. *Integrated System*
  - 3.5.1. Shall include hardware and software capable of producing and storing inertial profiles by combining the data from the inertial referencing subsystem, the distance measurement subsystem, and height measurement subsystem.
  - 3.5.2. Shall have the capability of measuring and storing profile elevations at intervals sufficiently frequent to meet the requirements of Section 4.
  - 3.5.3. Shall have the capability of summarizing (computing) the profile elevation data into summary roughness statistics over a section length equal to 0.1 mile. The International Roughness Index (IRI) for each longitudinal path profiled is the summary roughness statistic prescribed in this procedure.
  - 3.5.4. Shall have design to allow field verification for the distance measurement (longitudinal) subsystem and the height measurement (vertical) subsystem described in Section 6.
  - 3.5.5. Shall be certified for use as described in Section 4.

- 3.5.6. Air pressure in the tires of the housing vehicle will fall within the vehicle manufacturer's recommendation. The housing vehicle and all system components shall be in good repair and proven to be within the manufacturer's specifications. The operator of the inertial profiler shall have all tools and components necessary to adjust and operate the inertial profiler according to the manufacturer's instructions.

#### **4. Inertial Profiler Certification**

- 4.1. This section provides minimum certification requirements for inertial profilers used for quality control for acceptance testing of surface smoothness on Department paving projects where the profile-based smoothness specification is applicable.
- 4.2. The certification process covers test equipment that measures longitudinal surface profile based on an inertial reference system mounted on a housing vehicle. The intent of minimum requirements stipulated herein is to address the need for accurate, precise, uniform, and comparable profile measurements during construction.
- 4.3. *Minimum Requirements:*
  - 4.3.1. *Operating Parameters:*
    - 4.3.1.1. The inertial profiler shall be capable of reporting relative profile elevations less than or equal to 4 inches that have been filtered with an algorithm that uses a cutoff wavelength of no less than 200 ft and no more than 300 ft.
    - 4.3.1.2. The inertial profiler shall also be able to calculate and report the IRI (in inches/mile) from the corresponding measured profile, where the operator is permitted to automatically trigger the start and stop of data collection at the designated locations. Measured profiles shall be provided in electronic text files suitable for importing into the latest version of Profile Viewing and Analysis (ProVAL) Software as described in Section 9.
    - 4.3.1.3. The inertial profiler shall also be verifiable for measurements in height and distance as described herein.
  - 4.3.2. *Equipment Certification:*
    - 4.3.2.1. Equipment certification involves using the inertial profiler to collect profile data on test sections designated by the Department for this purpose at the NCAT Pavement Test Track. NCAT certification personnel will administer this program. Before equipment certification, as a recommendation, the inertial profiler owner should verify the longitudinal and vertical calibration of his or her equipment following manufacturer's recommendations. This recommended verification should be conducted at the owner's facility prior to the scheduled date of certification testing.
    - 4.3.2.2. On an annual basis, the inertial profiler shall undergo certification tests at the NCAT Pavement Test Track to establish that it complies with the minimum

requirements for accuracy and repeatability set forth in this test method. At that time, the proficiency of certified operators will also be demonstrated as required in Section 5. An inertial profiler shall also undergo certification testing after undergoing major component repairs or replacements as identified in Section 7.

- 4.3.2.3. For certification, the inertial profiler's distance measurement subsystem shall be accurate to within 0.15 percent of the actual distance traveled.
- 4.3.2.4. Certification tests will be run on the swept inside lane of the NCAT Pavement Test Track on designated dense mix test sections with smooth, medium-smooth, and rough surface profiles, and on a designated open-graded mix test section with a smooth surface profile. Each section will be 528 ft in length with 300 ft of lead-in distance. Ten repeat runs shall be made of the inertial profiler with data produced for both test wheel paths in the prescribed direction of measurement. Inertial profilers will be evaluated by comparing results to those generated by the reference SurPRO profiler. The inertial profiler owner shall provide data to NCAT certification personnel that is suitable for importing into the latest version of ProVAL.
- 4.3.2.5. NCAT certification personnel will use the latest version of ProVAL to evaluate the repeatability of the owner's data and compare the accuracy of results generated by the owner's data to results generated by the reference SurPRO profiler. Performance will be differentiated between dense and open graded mixes. In order to earn certification for dense graded mixes, ProVAL generated values for accuracy and repeatability cannot exceed those values specified in AASHTO R 56. In order to earn certification for open graded mixes, a profiler shall have passed certification for dense graded mixes and shall also produce average IRIs within 5% of the SurPRO average in each wheelpath on the smooth OGFC section.
- 4.3.2.6. NCAT will report the results of the certification tests to include the following information:
- Make and manufacturer of inertial profiler tested.
  - Unique hardware serial number of inertial profiler tested.
  - Version number of software used to generate ProVAL import file.
  - Operator of the profiler tested.
  - Names of the NCAT certification personnel responsible for the evaluation.
  - Date of data collection.
  - Overall outcome of the testing process (i.e., pass or fail). A separate certification will be provided for dense and open-graded pavement

surfaces. It will be possible to pass on dense surfaces but fail on open-graded surfaces.

- The ProVAL report that shows the accuracy and repeatability of the tested inertial profiler on each of the four certification pavement surfaces.

4.3.2.7. A decal will be placed on the inertial profiler by NCAT certification personnel following successful certification. Separate decals will be used to designate acceptability for use on dense and open-graded pavement surfaces. Each decal will show the month and year of certification and the month and year the certification expires.

## 5. Operator Certification

- 5.1. Operators of inertial profilers used for testing of pavement ride quality shall pass a proficiency test and be certified to operate an inertial profiler in Alabama. NCAT certification personnel at the NCAT Pavement Test Track will administer the test for the Department. The test for the applicants for certification will include knowledge of Department's smoothness specifications, this ALDOT Procedure, verification of inertial profiler calibration, and collection of certification profile data.
- 5.2. To qualify as a certified inertial profiler operator in Alabama, the applicant shall pass the written examination with a score of 70 percent or higher, pass the practical examination for verification of inertial profiler calibration, and pass the practical examination for profile measurements. All practical examinations shall be demonstrated using the inertial profiler provided by the applicant.
- 5.3. The applicant shall demonstrate that he/she can perform the longitudinal and vertical verifications described under Sections 6.2, 6.3 and 6.4. Additionally, the applicant shall perform profile measurements along a given route established by NCAT. The route will be at least 2,500 ft long, with designated 0.1 mile test sections and "leave-out" segment(s). The applicant shall profile the designated wheel paths of the test route in the specified direction following the procedures given in this test method. The applicant shall provide the test data in electronic files suitable for importing into the most recent version of ProVAL. For the practical examination, the applicant's performance is evaluated as passing or failing.
- 5.4. Upon passing the written examination and proficiency test, the NCAT certification personnel will give the successful applicant an identification card, which will verify the certification to operate an inertial profiler for testing on Department paving projects. The card will identify the specific types or brands of inertial profilers for which the operator certification is valid. This card will also specify the expiration date of the operator certification. The Department has the authority to revoke the card and operator certification at any time because of misuse.
- 5.5. Recertification of the operator will require successful completion of another proficiency test as described in this section for initial operator certification. Proficiency of certified operators shall be demonstrated at the time of each inertial profiler's annual recertification. A new written examination for certifying operators shall be required every three years.



## 6. Verifying Calibration and Consistency

- 6.1. A longitudinal and vertical verification procedure shall be performed at least once before an inertial profiler is used for either QA or QC testing on a project. Although the specific steps to complete the verifications will vary in accordance with the manufacturer's recommendations, the basic procedures will not change. The results of all longitudinal and vertical verification checks shall be documented in a profiler log. The profiler log shall be a collection of the required equipment and operator certifications and BMT forms (BMT 202 through 207) found in the ALDOT Testing Manual. The Engineer will review the profiler log prior to use on the project.
- 6.2. Longitudinal verification
  - 6.2.1. The longitudinal verification standard will be a straight roadway test section at least 528 ft in length. This distance shall be measured accurately to within 0.15 percent using a steel measurement tape or electronic measuring device. An analog measuring wheel or roll-a-tape is not sufficient for accurate measurement and will not be allowed. The inertial profiler owner shall establish the longitudinal verification standard and notify the Engineer prior to the first time the longitudinal verification is performed.
  - 6.2.2. Air pressure on the tires of the housing vehicle shall be checked and maintained according to the manufacturer's recommendations and documented in the profiler log.
  - 6.2.3. Perform the longitudinal verification by navigating the inertial profiler over a measured test section at least 528 ft in length.
  - 6.2.4. If the inertial profiler's distance measuring subsystem measures the length of the test section to within 0.15 percent of its actual length, no additional verification is necessary.
  - 6.2.5. If the inertial profiler's distance measuring subsystem fails to measure the length of the test section to within 0.15 percent of its actual length, the calibration shall be adjusted according to the manufacturer's guidelines and the longitudinal verification repeated.
  - 6.2.6. The results of the longitudinal verification shall be documented on BMT 203 "Inertial Profiler Calibration Log."
- 6.3. Vertical verification - Block Test
  - 6.3.1. The vertical verification standard will be flat plates or blocks of known thicknesses and low thermal expansion. As a minimum, two uniform base plates and three 1-in. measurement plates will be needed. Alternatively, a precisely machined block that provides all the required heights is acceptable. The actual thickness of the three measurement plates shall be measured to within 0.001 in. All vertical calibration plates shall be provided and maintained by the inertial profiler owner. The

thicknesses will be certified by the NCAT certification personnel at the time of annual certification.

- 6.3.2. The vertical verification shall be performed on a flat and level area using a base plate and three flat 1-in. measurement plates. It is acceptable to perform the test indoors, which may be necessary when windy conditions exist.
  - 6.3.3. Place a uniform base plate under the inertial profiler's non-contact height sensor. The inertial profiler's height measurement subsystem shall use this as the reference height for the first set of measurements.
  - 6.3.4. Place the first 1-in. measurement plate on top of the uniform base plate below the non-contact sensor. The inertial profiler's height measurement subsystem shall measure this displacement to within 0.01 in. of the 1-in. plate's actual measured thickness.
  - 6.3.5. Place the second 1-in. measurement plate on top of the two existing plates below the non-contact sensor. The inertial profiler's height measurement subsystem shall measure this displacement to within 0.01 in. of the 2-in. total thickness of the two measurement plates.
  - 6.3.6. Place the third 1-in. measurement plate on top of the two existing plates below the non-contact sensor. The inertial profiler's height measurement subsystem shall measure this displacement to within 0.01 in. of the 3-in. total measured thickness of the three measurement plates.
  - 6.3.7. Remove the three measurement plates and verify that the inertial profiler's height measurement subsystem returns to zero, within 0.01 in., on top of the base plate.
  - 6.3.8. Vertical verification shall be performed for all non-contact height sensors.
  - 6.3.9. The results of the Block Test shall be documented on BMT 203.
- 6.4. Vertical Verification – Bounce Test
- 6.4.1. With the base plates in position simultaneously under both wheel path sensors, place the vehicle in an operating mode that simulates longitudinal movement and initiate profile data collection. Data is collected with the vehicle as motionless as possible for the time required to travel 828 ft.
  - 6.4.2. Without interrupting the data collection process, both sensors are repeatedly subjected to a vertical displacement of approximately 1 to 2 in. This bouncing motion shall be maintained and data collected for the time required to travel 528 ft.
  - 6.4.3. Without interrupting the data collection process, continue to collect data with the vehicle as motionless as possible for the time required to travel 828 ft.

- 6.4.4. Measured profiles shall be saved and analyzed in ProVAL using the Ride Statistics Continuous analysis option with a 528 ft base length and 300 ft of lead in and lead out. Computed IRI values in the first and last (static) 528 ft segments shall not exceed 3 in. per mile, while the IRI for the middle (bouncing) segment shall not exceed 8 in. per mile. If the computed IRI values exceed 3 in. per mile for the static test and/or exceed 8 in. per mile for the bounce test, then the manufacturer's recommendations for performing sensor operational checks shall be followed. The static and bounce tests shall then be repeated. If the tests fail to meet these requirements, the inertial profiler will be deemed to be not certified and barred from use on ALDOT projects until it passes the certification program at NCAT.
- 6.4.5. The results of the Bounce Test shall be documented on BMT 203.
- 6.5. IRI consistency
- 6.5.1. The Department will designate at least one control section in each Division that will be used as a basis for consistency measurements the first time an inertial profiler is used on a given project. Control sections will be established by selecting 1000-foot sections with a maximum IRI of 120 inches per mile that will maintain a consistent ride profile over the time period when daily checks are needed. Information regarding the control section locations is available from the State Materials and Tests Engineer.
- 6.5.2. An inertial profiler certified within the past 90 days shall be used to determine the IRI of the section by making a series of at least five profile measurements. The average IRI of the measurements shall be used to establish the IRI of the control section; provided that the cross correlation of the measurements as determined using the latest version of ProVAL is at least 88 percent (dependent upon the filters used, spectral content of the measured surface, operator, etc.). Once established, this control section can be used to validate that an inertial profiler is operating properly at any time.
- 6.5.3. An inertial profiler is consistent when a single IRI determination does not vary more than 5 percent from the initial control section IRI established by the inertial profiler owner.
- 6.5.4. After an inertial profiler has been used for the first time on a project, it is acceptable to re-run 528 ft of pavement that was measured on the previous day for comparison purposes. An inertial profiler is verified to be consistent when the current day's value does not differ by more than 5 percent from the previous day's value.
- 6.5.5. If the contractor owns more than one certified inertial profiler, it is acceptable to compare separate runs made by the two devices. A certified inertial profiler is consistent when it does not differ from another certified inertial profiler by more than 10 percent.
- 6.5.6. The Department may also choose to run random consistency checks by bringing in a certified inertial profiler. A contractor's certified inertial profiler is consistent when it does not differ from the Department's certified inertial profiler by more than 10 percent. If the contractor's inertial profiler differs by more than 10 percent from the

Department's certified inertial profiler, then the contractor's inertial profiler will be deemed to be not certified and barred from use on Department projects until it passes the certification program at NCAT.

- 6.5.7. The results of the initial IRI consistency check shall be documented on BMT 207 "Control Site Target IRI Report."
- 6.6. Major component repairs of the type referenced in Section 7 may be needed when specified longitudinal or vertical verification tolerances are not met or consistency cannot be verified. Major component repairs shall require recertification as described in Section 4.
- 6.7. The profiler log shall be kept with the inertial profiler at all times that is subject to review by the Engineer. Verifications, calibrations, consistency checks, and certifications shall all be included in the profiler log.

## **7. Repair and Adjustment of Inertial Profilers**

- 7.1. All repair and adjustment of inertial profilers shall be documented on BMT 204 "Inertial Profiler Maintenance Log."
- 7.2. Major component repairs or replacement to an inertial profiler require recertification of the equipment. These may include but are not limited to:
  - Repair or replacement of the accelerometer and its associated hardware.
  - Repair or replacement of the non-contact height sensor and its associated hardware.
  - Repair or replacement of the distance measuring instrument.
  - Repair or replacement of any printed circuit board necessary for the collection of raw sensor data or the processing of the inertial profiles and IRI.
  - Modification of software parameters and scale factors as required by the manufacturer that are foundational to the certification process.
- 7.3. The operator of the inertial profiler may make minor adjustments to the equipment without having to complete the recertification process as long as the adjustments allow the equipment to fulfill the procedure in Section 5.
  - 7.3.1. Inspecting, resoldering, or replacing connectors is considered a minor adjustment.
  - 7.3.2. Cleaning components or normal adjustments to voltage levels as required by the manufacturer is considered a minor adjustment.
  - 7.3.3. Setting software parameters and scale factors as required by the manufacturer is considered a minor adjustment as long as they are not foundational to the certification process.

## 8. Test Procedure

- 8.1. IRI measurements shall be in each wheel path, then averaged and summarized every 0.10 mile. Technically speaking, this average of the left IRI and right IRI is termed the Mean Roughness Index (MRI).
- 8.2. The Bounce Test, described under Section 6.4, and the IRI consistency check, described under Section 6.5, shall be performed daily before any data is collected. The results of the daily Bounce Tests and IRI consistency check will be documented by the Contractor and verified by the Project Engineer on BMT 202 "Daily Inertial Profiler Log."
- 8.3. Locate and mark all sections that will not be included in the evaluation of pavement smoothness for payment of bonuses or penalties. Sections that will not be used include the first and last 25 ft of the paving project, 25 ft either side of bridge ends, and those areas as directed by the Engineer.
- 8.4. Contractor shall provide the distances and descriptions of features that may be subject to exclusion using BMT-206 "Project Feature Log."
- 8.5. Clean the roadway path of all debris and other loose material before data is collected.
- 8.6. All data collected outside the certified speed range shall not be acceptable. Re-measure any pavement segment where the travel speed of the inertial profiler is less than or exceeds the manufacturer's recommended operational speed at any point during data collection.
- 8.7. A pre-section length of roadway of up to 450 ft may be required to stabilize the inertial profiler's filters and achieve the same accuracy in the first 0.1 mile that is achieved through the rest of the job. The pre-section length is dependent on the filter type, the grade change on entering the test segment, and the accuracy required of the first 0.1 mile of measured pavement. Typically, this pre-section shall be at least 300 ft in length and located immediately before the section of pavement to be tested. Shorter sections may be used at the discretion of the Engineer when the physical constraints of the project require it and other project conditions make it acceptable.
- 8.8. Inertial profiler measurements shall be made in both wheel paths of the paved surface using sensor path spacing of between 65 and 71 inches.
- 8.9. Measurements shall be made in the direction of traffic.
- 8.10. Data collection for payment purposes is meant to be performed at the end of the paving operation or staged as prescribed by the Department.
- 8.11. The contractor shall submit to the Engineer a table that identifies the lanes, wheel paths, and distance locations tested for each file created during profile testing on BMT-202 "Daily Inertial Profiler Log." Profile elevation data shall be presented to the Engineer in an electronic format on a USB flash drive with a file format as described in Section 9. The Engineer will use the latest version of ProVAL to calculate the IRI values and applicable tables to determine associated pay factors.

8.12. The Engineer will:

8.12.1. Determine all features that will be excluded from the pay computations.

8.12.2. Calculate and record the IRI from each longitudinal line profiled for a pavement travel lane (The payment schedule will be based on the MRI calculated from both wheel paths in a travel lane.).

8.13. The Engineer will use the latest version of ProVAL to calculate the pay adjustment for segment lengths no more than 0.1 mile long.

## 9. Test Data Description and Format

9.1. Report test data in .ERD format that can be read directly into the latest version of ProVAL. This will permit the Department to directly input profile data, collected with any inertial profiler, into its data reduction program for QA testing.

## 10. References

### 10.1 AASHTO Standards

- M 328, Standard Specification for Inertial Profiler
- R 54, Standard Practice for Accepting Pavement Ride Quality When Measured Using Inertial Profiling Systems
- R 57, Standard Practice for Operating Inertial Profiling Systems
- R 43M/R 43, Quantifying Roughness of Pavements

### 10.2 ASTM Standards

- E 867, Standard Terminology Relating to Vehicle Pavement Systems
- E 950, Standard Test Method for Measuring the Longitudinal Profile of Traveled Surfaces with an Accelerometer Established Inertial Profiling Reference



## Current NJDOT Personnel Roles/Contacts (2020)

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Bureau of Transportation Data and Support (BTDS) - HPMS Unit

NJTA/County/Township roadways pavement data collection